

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mashburn, Randal S.	2. Court or Organization Bankruptcy Court - Middle District - Tennessee	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 220 Customs House 701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	_____	_____
2.	_____	_____
3.	_____	_____
4.	_____	_____
5.	_____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	_____	_____
2.	_____	_____
3.	_____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/31/19 to 11/02/19	Washington, D.C.	Attendance at meetings/seminars at annual conference of bankruptcy judges.	Portion of lodging expense.
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK ACCOUNTS (H) -- Lines 2-11									
2. -Bank of America (Accounts/CDs)	A	Interest	M	T					
3. -Synovus Bank (Accounts/CDs)	B	Interest			Closed	05/15/19	L		
4. -Franklin Synergy Bank (formerly Civic Bank & Trust) (Accounts/CDs)	D	Interest	M	T					
5. -Reliant Bank (formerly Community First Bank & Trust) (Accounts/CDs)	D	Interest	N	T					
6. -Pinnacle National Bank (Accounts/CDs)	A	Interest	L	T					
7. Fifth Third Bank	B	Interest	M	T					
8. -Insbank (Accounts/CDs)	D	Interest	N	T					
9. -Legends Bank (Accounts/CDs)	B	Interest	M	T					
10. -First Citizens National Bank (Account)	A	Interest	K	T					
11. -UBS CD	B	Interest	L	T	Open	04/24/19	L		
12. BROKERAGE ACCT (H) -- Items 13 to 58 below									
13. -America Movil S.A.B. De C.V. (Common Stock)	A	Dividend	J	T					
14. -Anheuser Busch InBev Spon ADR (Common Stock)	A	Dividend	J	T					
15. -Apple Inc. (Common Stock)	A	Dividend	L	T					
16. -Bristol Myers Squibb Co. (Common Stock)	A	Dividend	J	T					
17. -Duke Energy Corp. (Common Stock)	A	Dividend	J	T	Buy	06/26/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. -EOG Resources Inc. (Common Stock)	A	Dividend	J	T					
19. -EQT Corp (Common Stock)	A	Dividend			Sold	10/21/19	J	A	
20. -Equitrans Midstream Corp. (Common Stock)	A	Dividend			Sold	10/21/19	J	A	
21. -Exxon Mobil Corp. (Common Stock)	A	Dividend	J	T					
22. -Fortinet Inc. (Common Stock)		None			Sold	06/26/19	K	D	
23. -Genuine Parts Co. (Common Stock)	A	Dividend	J	T					
24. -Home Depo Inc. (Common Stock)	A	Dividend	K	T					
25. -Merck & Co. Inc. New Com (Common Stock)	A	Dividend	J	T					
26. -Microsoft Corp. (Common Stock)	A	Dividend	K	T					
27. -Nextera Energy Inc. (Common Stock)	A	Dividend	J	T	Buy	06/26/19	J		
28. -Pepsico Inc. (Common Stock)	A	Dividend	K	T					
29. -Pfizer Inc. (Common Stock)	A	Dividend	J	T					
30. -Walmart de Mexico S.A.B. de CV (Common Stock)	A	Dividend	J	T					
31. -Walgreen Co. (Common Stock)	A	Dividend			Sold	10/21/19	J	A	
32. -W.P. Carey Inc. REIT (Common Stock)	A	Dividend	K	T	Buy	06/26/19	K		
33. -Alger Spectra Fund Class C (Mutual Fund)	D	Dividend	M	T					
34. -American Funds Euro Pacific Growth Fun (Mutual Fund)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -FT-Franklin Federal Fund (Mutual Fund)	B	Dividend	L	T					
36. -John Hancock Preferred Income Fund	B	Dividend	K	T					
37. -Nuveen PFD & Income Sec. Fund	B	Dividend	K	T					
38. -Vertus Global Multi Sector (Common Stock)	B	Dividend	J	T					
39. -Metro Govt. Nashville/Davidson Bonds #1 (Govt. Bonds)	B	Interest	L	T					
40. -Metro Govt. Nashville/Davidson Bonds #2 (Govt. Bonds)	B	Interest	K	T					
41. -Blount County Tenn. Public Bonds (Govt. Bonds)	B	Interest			Redeemed	06/01/19	L	A	
42. -Hamilton County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T					
43. -Metro Govt. Nashville/Davidson Bonds #3 (Govt. Bonds)	B	Interest			Redeemed	10/01/19	L	A	
44. -New York Municipal Water Fin. Auth. (Govt. Bonds)	B	Interest	K	T					
45. -Tennessee State School Bonds #2 (Govt. Bonds)	A	Interest			Redeemed	05/01/19	K	A	
46. -Tennessee State Bonds (Govt. Bonds)	A	Interest	L	T	Buy	06/04/19	L		
47. -Texas A&M Univ. (Govt. Bonds)	A	Interest			Redeemed	05/15/19	K	A	
48. -Memphis Airport Bonds (Govt. Bonds)	B	Interest	K	T					
49. Metro Govt. Nashville/Davidson Bonds #4 (Govt. Bonds)	B	Interest	K	T					
50. Loudon County Tenn. Bonds (Govt. Bonds)	A	Interest	K	T					
51. Franklin Tenn. Spl Sch District Bonds (Govt. Bonds)	A	Interest			Redeemed	06/01/19	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Southern Company Bonds	B	Interest	K	T					
53. -Metro Govt. Nashville/Davidson Bonds #5 (Govt. Bonds)	B	Interest	L	T					
54. -Metro Govt. Nashville/Davidson Bonds #6 (Govt. Bonds)	B	Interest	L	T	Buy	10/01/19	L		
55. -Metro Govt. Nashville/Davidson Bonds #7 (Govt. Bonds)	A	Interest	K	T	Buy	10/21/19	K		
56. -Metro Govt. Nashville/Davidson Bonds #8 (Govt. Bonds)	A	Interest	K	T	Buy	10/21/19	K		
57. -White House Utility District Bonds (Govt. Bonds)	A	Interest	K	T					
58. -UBS Money Market Account	A	Interest	K	T					
59. ROTH IRA (H) #1 -- Lines 60-72									
60. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 61-62)		None	M	T					
61. -- NW AMFDS NVIT AsstAlloc. II -- 52.6%									
62. -- NW NVIT Inv. Dest. Mod II - 47.4%									
63. - Ohio National Oncore Value Annuity (Variable) (%s on lines 64-71)		None	K	T					
64. -- Ohio National Bond Portfolio - 14.8%									
65. -- Janus Henderson Overseas VIT - 5.1%									
66. -- JPMorgan Mid Cap Value Fund - 10%									
67. -- PIMCO Total Return Fund - 14.7%									
68. -- Ivy Science & Technology Fund - 5.1%									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -- Janus Henderson Low Volatility VIT - 25.1%									
70. -- Janus Henderson Balanced VIT - 20%									
71. -- Janus Henderson Venture Portfolio - 5.1%									
72. - First Eagle Global Fund (Mutual Fund)	B	Dividend	K	T					
73. ROTH IRA (H) #2 -- Lines 74-91									
74. - Genworth Retireready Annuity (Variable) (%s shown lines 75-76)		None	M	T					
75. -- Blk Global Allocation V.I -- 50%									
76. -- SSG Total Return - Class 3 - 50%									
77. - Nationwide Dest. All Am Gold Annuity (Variable) (%s on lines 78-88)		None	M	T					
78. -- NW AMFDS NVIT AsstAlloc. II -- 52.6%									
79. -- NW NVIT Inv. Dest. Mod II - 47.4%									
80. - Ohio National Oncore Value Annuity (Variable) (%s on lines 77-84)		None	M	T					
81. -- Ohio National Bond Portfolio - 14.8%									
82. -- Janus Henderson Overseas VIT - 5.1%									
83. -- JPMorgan Mid Cap Value Fund - 10%									
84. -- PIMCO Total Return Fund - 14.7%									
85. -- Ivy Science & Technology Fund - 5.1%									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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86. -- Janus Henderson Low Volatility VIT - 25.1%									
87. -- Janus Henderson Balanced VIT - 20%									
88. -- Janus Henderson Venture Portfolio - 5.1%									
89. - First Eagle Global Fund (Mutual Fund)	B	Dividend	L	T					
90. - American Funds New Perspective (Mutual Fund)	A	Dividend	J	T					
91. - UBS Bank Deposit Account	A	Interest	J	T					
92. 529 COLLEGE FUND #1(H) -- Lines 93-96									
93. - Growth Fund of America	B	Dividend	K	T					
94. - Bond Fund of America	A	Dividend	J	T					
95. - American Balanced Fund	A	Dividend	K	T					
96. - Capital Income Builder Fund	A	Dividend	J	T					
97. NORTHWESTERN BROKERAGE ACCT (H) Lines 98-102									
98. -Northwestern Mutual Single Premium Whole Life Insurance Policy	A	Dividend	M	T					
99. -Northwestern Mutual General Money Market Fund Cl B	A	Int./Div.	J	T					
100. -EuroPacific Growth Fund	A	Dividend	K	T					
101. -Tax-Exempt Bond Fund of America	A	Dividend	J	T					
102. -Vanguard Index Funds	A	Dividend	L	T					

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103. IRA #1 -- UBS (prior 401k) (H) -- Lines 104-120									
104. - Pace Money Market Investment Fund	A	Dividend	J	T					
105. - Alger Spectra Fund Class A	C	Dividend	L	T	Sold (part)	06/24/19	J	A	
106. - Delaware Value Fund	B	Dividend	L	T					
107. - First Eagle Overseas Fund Class A	C	Dividend	L	T					
108. - Loomis Sayles Bond Fund Class Retail	D	Dividend	K	T	Sold (part)	06/24/19	J	A	
109. - Invesco Oppenheimer Intl Bond Fund f/k/a Oppenheimer Intl Bond Fund	A	Dividend	K	T					
110. - Principal Preferred Securities Fund	B	Dividend	K	T					
111. - Alliaanzgi Global Water Fund Class P	A	Dividend	K	T					
112. - Diamond Hill Small Mid Cap Fund Class I	B	Dividend	K	T					
113. - RMB Mendon Fin. Serv. Fund Class A	B	Dividend	K	T					
114. - Gabelli Gold Fund	A	Dividend	K	T					
115. - Loomis Sayles Global Allocation Fund	A	Dividend	L	T					
116. -T CW Emerging Market Income Fund	B	Dividend	K	T					
117. - Victory Trivalent International Small Cap Fund	A	Dividend	K	T					
118. - Alpha Centric Income Opportunities Fund Class I	C	Dividend	L	T					
119. - Vanguard Industrials Index Fund Admiral	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - Franklin Custodian Utilities Fund	A	Dividend	K	T	Buy	06/24/19	K		
121. IRA #2 -- UBS (prior 401k) (H) -- Lines 122-141									
122. - UBS Bank USA Deposit Account	A	Interest	J	T					
123. - Berkshire Hathaway Inc New CL B (Common Stock)		None	M	T					
124. - ConocoPhillips B/E Bonds	A	Interest	K	T					
125. - United Parcel Service DPB Inc B/E Bonds	B	Interest			Redeemed	04/01/19	K	A	
126. - Duke Energy Carolina Bonds	B	Interest	K	T					
127. - Coca Cola Co. Com NTS B/E Bonds	A	Interest	K	T					
128. - Anheuser-Busch Inbev WDR Bonds	A	Interest	J	T	Redeemed (part)	10/29/19	J	A	
129.					Redeemed (part)	11/12/19	J	A	
130. - Verizon Communications Bonds	B	Interest	K	T					
131. - CVS Health Corp.	A	Interest	K	T					
132. - Eaton Vance Senior Income Trust	A	Dividend	J	T					
133. - Kroger Company Bonds	A	Interest	K	T					
134. - Campbell Soup Company Bonds	A	Interest	K	T					
135. - Dr. Pepper Snapple Group Bonds	A	Interest	K	T					
136. - Heinz (HJ) Company Bonds	B	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Kraft Heinz Foods Company Bonds	B	Interest	K	T					
138. - Tennessee State School Revenue Bonds	B	Interest	K	T					
139. - Capital One CD	A	Interest	K	T	Open	06/24/19	K		
140. - State Bank of India New York Bonds	A	Interest			Buy	04/04/19	K		
141.					Redeemed	06/12/19	K	A	
142. OTHER MISC. INVESTMENTS (H) Lines 143-144			K	T					
143. -Maury County TN Farm	D	Rent	O	W					
144. -Center Hill Lake TN Undeveloped Real Estate		None			Sold	11/22/19	M	C	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Regarding lines 60 thru 71 and lines 74 thru 88: As requested by the Committee in a prior year, information is provided on these lines regarding the investment components of the annuities in the Roth IRA accounts. Filer is reporting this information in the same format as was reported for 2016, 2017 & 2018. The end-of-year reporting received by the filer reflects the gross values of each annuity -- not the individual amounts attributable to any particular investment (and the filer has no control over these investment decisions). The information available to filer shows only the percentages attributable to each investment vehicle in each annuity, and those percentages are shown in the financial disclosure. Therefore the component investments and respective percentages have been reported on the lines immediately below each annuity to reflect the makeup of the investments in each annuity. Since the end-of-year information is limited to the gross values for each annuity as a whole, the lines under columns B and C have been left blank for the investment components of the annuity, while the lines next to the overall annuity have been filled in with the appropriate cumulative information about the respective annuity's value, etc. based on the year-end information provided to the filer. It should also be noted that the filer does not receive information about how individual investment vehicles in the annuities perform, and the ultimate value of the annuities is tied to contractual death benefits, lifetime annuity payments, surrender value and other factors rather than simply the performance of specific investment components. Since no dividends are made to filer, and since the information available to filer is primarily tied to the increase or decrease in overall value of the policies and the makeup of the investments, Column B-1 next to each annuity has been left blank, and Column B-2 has been marked None.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Randal S. Mashburn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544