

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Pechman, Marsha J.	2. Court or Organization U.S. District Court	3. Date of Report 04/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III judge - senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 700 Stewart Street, Room 14229 Seattle, WA 98101		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Trustees	Justice Advocacy Africa
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/99	Public Employees Retirement System, State of Washington (retirement benefit plan)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Pechman, Marsha J.

Date of Report

04/21/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Washington State PERS 1 Pension Fund	\$25,397.76
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Preg O'Donnell & Gillett
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Cornell University School of Law	4/8 - 4/10/19	Ithaca, New York	Participation in panel of moot court judges	Transportation, lodging, meals
2.	National Judicial Institute of Canada	6/10 - 6/14/19	Toronto, Ontario, Canada	Faculty member at conference of Canadian judges	Transportation, lodging, meals
3.	U.S. District Court Historical Society	11/7 - 11/8/19	Portland, OR	Speaker at commemorative dinner for U.S. District Judge Anna Brown	Lodging
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Pechman, Marsha J.

Date of Report

04/21/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa	Credit card	J
2.	Capital One	Credit card	J
3.	Flagstar Bank	Mortgage	N
4.	Boeing Employee Credit Union (BECU)	Car loan	K
5.	Boeing Employee Credit Union (BECU)	HELOC	J

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Pechman, Marsha J.

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04/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -- Amgen, Inc (AMGN)	A	Int./Div.	K	T					
3. -- Bank of Baroda (CD)	B	Interest			Sold	11/29/19	L	A	
4. -- BMO Harris Bank	A	Interest	K	T	Buy	05/02/19	K		
5. -- Discovery Bank Greenwood (CD)	B	Interest			Sold	11/07/19	K	A	
6. -- Dodge & Cox Income (DODIX)	B	Int./Div.	K	T					
7. -- Doubleline Total Return Bond Fund (DBLTX)	A	Int./Div.	K	T	Buy	04/06/19	K		
8.					Buy (add'l)	05/21/19	K		
9. -- Europacific Growth Fund - Amer Funds (AEPFX)	A	Int./Div.	J	T					
10. -- Exxon/Mobil Corp. (XOM)	A	Int./Div.	K	T					
11. -- Federated Government Market Obligations Fund MMKT Inst			K	T	Buy	12/16/19	K		
12. -- FMI Large Cap Fund (FMIHX)	B	Int./Div.	J	T					
13. -- Harbor Bond Fund (HABDX)	B	Int./Div.	K	T	Buy	04/09/19	K		
14.					Buy (add'l)	05/21/19	K		
15. -- Harris Assoc Inv Tr Oakmark Intl Fund (OAKIX)	A	Int./Div.	K	T					
16. -- Harris Assoc Inv Tr - Oakmark Eq&Inc Fund (OAKBX)	C	Int./Div.	K	T					
17. --Invesco S&P 500 (EWMC)	A	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -- Ishares Tr Russell 1000 ETF (IWM)	A	Int./Div.	J	T					
19. -- Johnson & Johnson (JNJ)	B	Int./Div.	K	T					
20. -- JP Morgan (JVAIX)	B	Int./Div.	K	T					
21. -- Parnassus Core Equity (PRBLX)	C	Int./Div.	K	T					
22. -- Principal Funds Inc (PCBIX)	B	Int./Div.	K	T					
23. -- RJ Bank Deposit Program	A	Interest	L	T					
24. -- T Rowe Price Growth Stock Fund Inc. (PRGFX)	B	Int./Div.	L	T					
25. -- U.S. Treasury Bills			N	T	Redeemed (part)	01/17/19	K		
26.					Buy (add'l)	01/17/19	K		
27.					Redeemed (part)	01/24/19	K		
28.					Redeemed (part)	02/07/19	M		
29.					Buy (add'l)	02/26/19	L		
30.					Redeemed (part)	05/02/19	K		
31.					Buy (add'l)	05/22/19	L		
32.					Buy (add'l)	05/22/19	L		
33.					Redeemed (part)	05/23/19	M		
34.					Buy (add'l)	07/17/19	L		

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Pechman, Marsha J.

Date of Report

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35.					Redeemed (part)	07/18/19	L		
36.					Buy (add'l)	12/03/19	K		
37.					Redeemed (part)	12/05/19	L		
38.					Buy (add'l)	12/16/19	K		
39. -- Vanguard Dividend Apprec (VIG)	B	Int./Div.	L	T					
40. -- Vanguard Prime Money Market Fund - Inv (VMMXX)	B	Int./Div.	L	T	Buy (add'l)	01/24/19	K		
41.					Buy (add'l)	02/26/19	K		
42.					Buy (add'l)	12/03/19	K		
43. -- Vanguard Short Term Corp Fund - Adm (VFSUX)	A	Int./Div.	M	T	Buy	04/09/19	K		
44.					Buy (add'l)	11/07/19	K		
45.					Buy (add'l)	12/03/19	K		
46. -- Wells Fargo & Co.	A	Int./Div.			Sold	12/09/19	J	D	
47.									
48. IRA #2									
49. -- Vanguard Emerging Mkts Stk Idx - Adm (VEMAX)	A	Int./Div.	J	T					
50. -- Vanguard Inflation- Protected Sec Inv (VIPSX)	A	Int./Div.	J	T					
51. -- Vanguard International Growth Inv (VWIGX)	A	Int./Div.	K	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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52. -- Vanguard Long-Term Invest-Gr Inv (VWESX)	B	Int./Div.	K	T					.
53. -- Vanguard Mid-Cap Index Fund Adm (VIMAX)	A	Int./Div.	K	T					.
54. -- Vanguard Prime Money Mkt Fund (VMMXX)	A	Int./Div.	J	T					
55. -- Vanguard REIT Index Fund Adm (VGSLX)	A	Int./Div.	K	T					
56. -- Vanguard S-T Investmt- Grade Inv (VFSTX)	A	Int./Div.	K	T					
57. -- Vanguard Small-Cap Index Fund - Adm (VSMAX)	A	Int./Div.	J	T					
58. -- Vanguard Total Intl Bond Idx Adm (VTABX)	A	Int./Div.	J	T					
59. -- Vanguard Wellesley Income Fund Inv (VWINX)	B	Int./Div.	L	T					
60. -- Vanguard Wellington Fund Admiral (VWENX)	C	Int./Div.	K	T					
61. -- Vanguard 500 Index Fund Adm (VFIAX)	B	Int./Div.	M	T					
62.									
63.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Pechman, Marsha J.	04/21/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

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04/21/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marsha J. Pechman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544