

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shadid, James E.	2. Court or Organization United States District Court, Central District of Illinois	3. Date of Report 07/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 100 N.E. Monroe, Room 204 Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/01-3/11	Judges Retirement System of Illinois - Pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 8/1/2012	John Marshall Law School - Teaching	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Government	Student Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Camden Cnty NJMUN Utils Auth.	B	Interest			Matured	09/30/12	K		
2. Bank Deposit Program - Morgan Stanley Smith Barney	A	Interest	N	T					
3. Illinois St. Zero CPN College - Savings Bonds	A	Interest	K	T					
4. IRA I - All common Stock in lines 5 - 63	D	Dividend	N	T					
5. - Abbott Laboratories									
6. -ABBVIE (Y)									
7. - Apple Inc.					Sold	04/20/12	J		
8. - Bank of America Corp.									
9. - Barrick Gold Corp CAD									
10. - Berkshire Hathaway inc. CL B									
11. - Biogen IDEC Inc. - (Y)									
12. - Cablevision Sustems Corp									
13. - Caterpillar Inc.									
14. - Chevron Corp									
15. - Chubb Corp									
16. - Cisco Sys. Inc.									
17. - DirectTV CLA									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Dolby Laboratories Inc.									
19. - Dreyfus Money Market									
20. - EBAY Inc.									
21. - Emerson Electric Co.									
22. -Engility Holdings (y)									
23. - Exxon Mobil Corp.									
24. -First Eagle Global Fund					Buy	01/20/12	J		
25.					Sold	10/03/12	J		
26. - Fluor Corp. New									
27. - Freeport McMoran Copper & Gold									
28. - Frontier Communications Corp. (Y)									
29. - Gap Inc. Delaware									
30. - General Electric					Sold	01/30/12	J		
31. - Google Inc.									
32. - Home Depot Inc.									
33. - Honeywell					Sold	01/30/12	J		
34. - Intel Corp.									

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35. - Intl. Business Machines Copr.									
36. - Johnson & Johnson									
37. - JPMorgan Chase & Co.									
38. - Juniper Networks inc.									
39. - Kraft Foods Inc. Class A									
40. - L3 Communications Hlds Inc.									
41. - Liberty Media Corp. Liberty Cap									
42. - Liberty Media Hldg. Corp.									
43. - McKesson Corporation									
44. - Merck & Co. Inc. New									
45. - Microsoft Corp.									
46. - Nasdaq OMX Group Inc.									
47. - Novartis AG ADR									
48. - Lam Research (fka Novellus)									
49. - Mondelez Intl. (Y)									
50. - Nucor Corp.									
51. - Pepsico inc.									

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52. - Petroleo Brasileiro SA									
53. - Pfizer Inc.									
54. - Proctor & Gamble Co.									
55. - Starz Liberty (Y)									
56. - State Street Corp.									
57. - Taiwan Semiconductor Mfg.									
58. - Texas Instruments Inc.									
59. -Thornburg Investment Income					Buy	01/20/12	J		
60.					Sold	10/03/12	J		
61. - Unilever PLC Spons ADR NEW									
62. - United Parcel Service CLB									
63. - Verizon Communications									
64. - VISA Inc. Com CLA									
65. - Vodafone Group PLC Spons									
66. -Walgreens					Buy	1/4/2012	J		
67. - Walt Disney Co.									
68. IRA 2 - Lines 65-67	A	Dividend	J	T					

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69. - Bank Deposit Program - Morgan Stanley Smith Barney	A	Interest	J	T					
70. - Consolidated Edison Inc.									
71. - Legg Mason CB Large Cap Growth - SBGLX									
72. Bank Deposit Program - Morgan Stanley Smith Barney	A	Interest	J	T					
73. Legg Mason CB Appreciation CL - SHAPX	A	Dividend	J	T					
74. Legg Mason Clearbridge Aggress - SHRAX	A	Dividend	J	T					
75. Legg Mason CB Large Cap Growth - SBGLX	A	Dividend	J	T					
76. Bank Deposit Program - Morgan Stanley Smith Barney	A	Interest			Distributed	08/31/12	L		
77. Vertex Pharmaceuticals Inc. VRTX					Sold	01/04/12	J		
78.									

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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 1 - Camden Municipal matured in September of 2012 and went into the Bank Deposit Program/Morgan Stanley Smith Barney (line 2).

Part VII - Lines 18 - Dreyfus Money Market was converted to an asset in the Bank Deposit Program (line 2).

Part VII - Line 47 Novellus Systems was bought by Lam Research in June of 2012.

Part VII Lines 6, 22, 49 and 55 - Abbvie(6), Engility Holdings(22), Mondelez Intl.(49), and Starz Liberty (55) are part of IRA I and were inadvertently omitted from prior years filing.

Part VII Lines 72-75 - Custodial Account [REDACTED]

Part VII - Line 76 was a custodial account [REDACTED], which was distributed to [REDACTED] in August of 2012 and is no longer under my control.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James E. Shadid**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544