

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Aron, Catharine R.	2. Court or Organization U S Bankruptcy Court MDNC	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U. S. Bankruptcy Court P. O. Box 448 Greensboro, NC 27402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Valic-Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NC Bankruptcy Institute	Nov 21-22. 2019	Wilmington, NC	seminar	(lodging, food, transportation)
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Aron, Catharine R.	05/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1.	First National Bank Bank money market	A	Interest	J	T				
2.									
3.	AIG-Valic Aim Intern.ADR Growth Fund								
4.	BTI	A	Dividend	J	T				
5.	CNI	A	Dividend	J	T	Buy (add'l)	02/19/19	J	
6.	CMPGY	A	Dividend	J	T				
7.	FANUY		None	J	T				
8.	FMX	A	Dividend	J	T				
9.	JBAXY		None	J	T				
10.	NVS	A	Dividend	J	T				
11.	RELX	A	Int./Div.	J	T	Buy (add'l)	05/02/19	J	
12.	RDSB		None			Sold	10/08/19	J	
13.	SAP	A	Dividend	J	T	Buy (add'l)	05/01/19	J	
14.						Buy (add'l)	07/22/19	J	
15.	TSM	A	Dividend	J	T				
16.	UN	A	Dividend			Sold (part)	01/14/19	J	A
17.						Sold	08/08/19	J	A

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. AMADY	A	Dividend	J	T	Buy (add'l)	01/17/19	J		
19. GIB	A	Dividend	J	T					
20. SU	A	Dividend	J	T	Buy (add'l)	08/02/19	J		
21. SBGSY		None	J	T					
22. AVGO	A	Dividend	J	T					
23. BIDU		None			Sold	03/01/19	J		
24. AZSEY		None	J	T					
25. AMCRY		None	J	T					
26. CABGY	A	Int./Div.	J	T					
27. DPSGY	A	Int./Div.			Sold	03/15/19	J		
28. PPERY	A	Int./Div.	J	T	Buy (add'l)	02/26/19	J		
29. UBS		None			Sold	07/29/19	J		
30. KPCPY	A	Dividend			Sold	07/17/19	J		
31. UOVEY	A	Dividend	J	T					
32. CFRUY	A	Int./Div.	J	T					
33. JAPAY	A	Int./Div.			Sold	05/16/19	J		
34. CKHUY		None			Sold	02/13/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. WTKWY		None	J	T					
36. CSLLY		None	J	T					
37. PDRDY		None	J	T					
38. IFJPY		None	J	T	Buy (add'l)	12/23/19	J		
39. BBD	A	Int./Div.	J	T					
40. BXBLY	A	Int./Div.			Sold (part)	06/25/19	J	A	
41.					Sold	09/10/19	J		
42. DBOEY	A	Int./Div.	J	T					
43. ESLOY	A	Int./Div.	J	T					
44. GEAGY	A	Int./Div.			Sold	06/24/19	J	A	
45. ING	A	Int./Div.	J	T	Buy (add'l)	04/02/19	J		
46.					Buy (add'l)	04/16/19	J		
47. ISNPY	A	Int./Div.			Sold (part)	03/06/19	J	A	
48.					Sold	11/07/19	J		
49. LVS	A	Int./Div.	J	T					
50. MDIBY	A	Int./Div.	J	T					
51. MDLZ	A	Int./Div.	J	T	Buy (add'l)	11/14/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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05/05/2020

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. OTEX	A	Int./Div.	J	T	Buy (add'l)	02/05/19	J		
53.					Buy (add'l)	08/09/19	J		
54. PDYPY	A	Int./Div.	J	T					
55. RBGLY		None	J	T	Buy (add'l)	04/02/19	J		
56. VCISY		None	J	T					
57. WHGLY	A	Int./Div.			Sold (part)	02/15/19	J	A	
58.					Sold	08/01/19	J	A	
59. AKBTY	A	Int./Div.			Sold	10/29/19	J		
60. BABA		None	J	T	Buy (add'l)	05/09/19	J		
61.					Buy (add'l)	11/05/19	J		
62. CRTO		None	J	T					
63. HOCPY	A	Int./Div.	J	T	Buy (add'l)	02/28/19	J		
64. KAOOY		None	J	T	Buy (add'l)	01/29/19	J		
65.					Buy (add'l)	08/23/19	J		
66. NTR	A	Int./Div.	J	T	Buy (add'l)	08/01/19	J		
67. PM	A	Int./Div.	J	T	Buy (add'l)	02/19/19	J		
68.					Buy (add'l)	08/06/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. FTI	A	Int./Div.	J	T	Buy (add'l)	10/04/19	J		
70. VIVHY	A	Int./Div.	J	T	Buy (add'l)	08/02/19	J		
71. YUMC	A	Int./Div.	J	T	Buy (add'l)	02/22/19	J		
72.					Buy (add'l)	05/01/19	J		
73.					Buy (add'l)	05/14/19	J		
74. xAAGIY	A	Int./Div.	J	T	Buy	10/10/19	J		
75.					Buy (add'l)	10/29/19	J		
76.					Buy (add'l)	12/19/19	J		
77. xALC		None	J	T	Buy	05/06/19	J		
78. xABEV	A	Int./Div.	J	T	Buy	04/30/19	J		
79.					Buy (add'l)	05/09/19	J		
80.					Buy (add'l)	05/22/19	J		
81.					Buy (add'l)	08/28/19	J		
82. xBDRFY	A	Int./Div.	J	T	Buy	05/24/19	J		
83. xPAC	A	Int./Div.	J	T	Buy	04/23/19	J		
84. xICLR		None	J	T	Buy	08/09/19	J		
85.					Buy (add'l)	11/07/19	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. xKOTMY		None	J	T	Buy	11/12/19	J		
87.					Buy (add'l)	12/30/19	J		
88. xKMTUY	A	Int./Div.	J	T	Buy	06/28/19	J		
89.					Buy (add'l)	08/19/19	J		
90. xKHNGY	A	Int./Div.	J	T	Buy	10/10/19	J		
91.					Buy (add'l)	10/25/19	J		
92. xEDU		None	J	T	Buy	01/09/19	J		
93. xSMCAY	A	Int./Div.	J	T	Buy	09/17/19	J		
94.					Buy (add'l)	10/10/19	J		
95. xBKNG		None	J	T	Buy	08/06/19	J		
96.					Buy (add'l)	11/14/19	J		
97. SSGAMoney Market Fund	A	Int./Div.	J	T					
98.									
99. AIG- Valic money market account	A	Interest	J	T					
100.									
101. AIG/Valic Select IRA 1 Transamerica Large Growth									
102. AAPL	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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103. V	A	Dividend	K	T					
104. DIS	A	Dividend	J	T					
105. GILD		None			Sold	02/27/19	J	D	
106. GOOG		None	J	T					
107. A	A	Int./Div.	J	T					
108. LOW	A	Dividend	J	T					
109. AGN		None			Sold	06/28/19	J	B	
110. ATVI	A	Int./Div.	J	T					
111. AMGN	A	Int./Div.	J	T					
112. BLK	A	Int./Div.	J	T					
113. MSFT	A	Int./Div.	K	T					
114. UTX	A	Int./Div.	J	T					
115. FB		None	J	T					
116. NVDA	A	Dividend	J	T					
117. NXPI		None	J	T					
118. AKAM		None	J	T					
119. INTC		None			Sold	05/24/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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120. TJX		None	J	T					
121. HRS * merged to LHX (HRS and LLL merged to become LHX)	A	Int./Div.				07/01/19	J		
122. INTU	A	Dividend	J	T					
123. MLM		None			Sold	01/29/19	J		
124. NKE	A	Dividend	J	T					
125. NOC	A	Dividend	J	T					
126. SCHW		None			Sold	04/22/19	J	A	
127. CVS		None			Sold	01/17/19	J		
128. AMZN		None	K	T					
129. AVGO	A	Dividend	K	T					
130. COST	A	Dividend	J	T					
131. CRM		None	K	T					
132. LLL* merged to LHX (HRS and LLL merged to become LHX)	A	Dividend			Merged (with line 121)	07/01/19	J		
133. xLHX	A	Dividend	K	T					
134. PANW		None	J	T					
135. IQV		None	J	T					
136. CSCO		None	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. WM	A	Dividend	J	T					
138. WMT	A	Dividend	J	T					
139. ZTS	A	Dividend	J	T	Buy (add'l)	01/14/19	J		
140. XLNX	A	Dividend	J	T					
141. BAH	A	Dividend	J	T					
142. PLNT		None	J	T					
143. ILMN		None	J	T	Buy (add'l)	01/14/19	J		
144. xGPN	A	Dividend	J	T	Buy	02/20/19	J		
145. xGH		None	J	T	Buy	04/17/19	J		
146. xLMT	A	Dividend	J	T	Buy	07/22/19	J		
147. xQRVO		None	J	T	Buy	11/12/19	J		
148. xTTD		None	J	T	Buy	01/22/19	J		
149.					Buy (add'l)	03/05/19	J		
150. xTW	A	Dividend	J	T	Buy	10/18/19	J		
151. xVRTX		None	J	T	Buy	10/18/19	J		
152. xZS		None	J	T	Buy	01/22/19	J		
153.					Buy (add'l)	03/07/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. xMOH		None			Buy	01/22/19	J		
155.					Sold	09/20/19	J		
156. xALC		None			Buy	04/22/19	J		
157.					Sold	12/09/19	J	A	
158. SSGA Money Market	A	Interest	K	T					
159.									
160. AIG- Valic Select IRA 2 Columbia value and restruct									
161.									
162. JPM	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
163.					Sold (part)	02/21/19	J	B	
164.					Buy (add'l)	04/12/19	J		
165. MA	A	Dividend	J	T	Sold (part)	08/20/19	J	B	
166.					Sold (part)	10/30/19	J	B	
167. CVX	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
168. CI	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
169.					Buy (add'l)	05/28/19	J		
170. GOOG		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. AON	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
172.					Sold (part)	11/13/19	J	A	
173. ABT	A	Dividend	J	T					
174. AAPL	A	Dividend	K	T	Sold (part)	01/08/19	J	A	
175.					Buy (add'l)	02/04/19	J		
176.					Sold (part)	12/11/19	J	B	
177. BRKB		None	J	T	Buy (add'l)	08/07/19	J		
178.					Buy (add'l)	08/30/19	J		
179.					Buy (add'l)	09/04/19	J		
180.					Buy (add'l)	09/06/19	J		
181. BLK	A	Dividend	J	T	Buy (add'l)	10/28/19	J		
182. C	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
183.					Buy (add'l)	01/18/19	J		
184.					Buy (add'l)	04/12/19	J		
185. CMCSA	A	Dividend	J	T	Buy (add'l)	01/18/19	J		
186.					Buy (add'l)	03/01/19	J		
187.					Buy (add'l)	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. FDX	A	Dividend			Sold	05/14/19	J	A	
189. HON	A	Dividend	J	T					
190. JNJ	A	Dividend	J	T	Buy (add'l)	02/04/19	J		
191.					Sold (part)	02/05/19	J	A	
192.					Sold (part)	06/26/19	J	A	
193. LOW	A	Dividend	J	T	Buy (add'l)	11/08/19	J		
194. MSFT	A	Dividend	K	T	Sold (part)	07/23/19	J	B	
195. CNQ		None	J	T					
196. CVS	A	Int./Div.			Sold (part)	01/23/19	J		
197.					Sold	07/09/19	J		
198. EA		None	J	T	Sold (part)	05/14/19	J	A	
199.					Buy (add'l)	11/08/19	J		
200. BIIB		None			Sold (part)	01/08/19	J	A	
201.					Sold	04/08/19	J		
202. MDT	A	Dividend	J	T	Sold (part)	02/05/19	J	A	
203.					Buy (add'l)	03/15/19	J		
204. VZ	A	Dividend	J	T	Buy (add'l)	08/16/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	11/26/19	J	A	
206. ATVI	A	Int./Div.	J	T	Sold (part)	01/08/19	J	A	
207.					Buy (add'l)	03/01/19	J		
208.					Buy (add'l)	08/16/19	J		
209. GOOGL		None	J	T					
210. AMT	A	Int./Div.	J	T	Sold (part)	01/08/19	J	A	
211. FB		None	J	T	Buy (add'l)	01/04/19	J		
212.					Sold (part)	02/05/19	J	B	
213.					Buy (add'l)	03/15/19	J		
214.					Sold (part)	10/02/19	J	A	
215.					Buy (add'l)	11/08/19	J		
216.					Buy (add'l)	11/22/19	J		
217.					Buy (add'l)	12/09/19	J		
218. MCD	A	Int./Div.	J	T	Sold (part)	10/30/19	J	A	
219.					Sold (part)	11/13/19	J	A	
220. PFE		None	J	T	Sold (part)	02/05/19	J	B	
221.					Sold (part)	08/07/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. PM	A	Int./Div.	J	T	Buy (add'l)	04/12/19	J		
223.					Sold (part)	09/04/19	J		
224. PVH		None			Sold (part)	03/19/19	J	A	
225.					Sold	06/26/19	J		
226. ALXN		None	J	T					
227. ANTM	A	Int./Div.	J	T	Sold (part)	03/05/19	J	B	
228.					Buy (add'l)	05/10/19	J		
229.					Sold (part)	10/30/19	J	A	
230. AVGO	A	Int./Div.	J	T	Sold (part)	01/08/19	J	A	
231.					Sold (part)	02/21/19	J	A	
232.					Sold (part)	05/30/19	J	A	
233. EXPE	A	Int./Div.			Sold (part)	01/08/19	J		
234.					Sold	01/23/19	J	A	
235. FIS	A	Int./Div.	J	T	Buy (add'l)	09/30/19	J		
236. MS	A	Int./Div.	J	T	Buy (add'l)	03/29/19	J		
237.					Buy (add'l)	04/26/19	J		
238.					Buy (add'l)	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. PANW		None	J	T	Sold (part)	07/09/19	J	A	
240.					Buy (add'l)	10/28/19	J		
241. SPGI	A	Int./Div.			Sold	01/08/19	J		
242. SHW		None	J	T					
243. T		None	J	T	Buy (add'l)	07/05/19	J		
244.					Sold (part)	12/11/19	J	A	
245. AGN		None	J	T	Sold (part)	07/23/19	J		
246. AMZN		None	J	T	Buy (add'l)	02/04/19	J		
247.					Sold (part)	10/02/19	J	A	
248. AIG		None			Sold	03/19/19	J		
249. CSCO	A	Int./Div.	J	T					
250. CAG		None	J	T					
251. DG	A	Int./Div.			Sold	09/19/19	J	B	
252. EOG	A	Int./Div.	J	T	Buy (add'l)	09/16/19	J		
253. MDLZ	A	Int./Div.	J	T	Sold (part)	02/21/19	J	A	
254.					Sold (part)	11/13/19	J	A	
255. LUV	A	Int./Div.			Sold	03/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. SYMC		None			Sold	01/08/19	J		
257. SYY	A	Int./Div.	J	T	Buy (add'l)	02/19/19	J		
258.					Sold (part)	08/07/19	J	A	
259. TPR	A	Int./Div.			Sold	08/07/19	J		
260. TSS	A	Int./Div.			Sold	08/07/19	J	A	
261. A	A	Int./Div.			Sold	06/12/19	J	A	
262. APD		None	J	T	Buy (add'l)	02/01/19	J		
263.					Sold (part)	09/18/19	J	A	
264. CAT	A	Int./Div.	J	T	Sold (part)	02/05/19	J		
265.					Buy (add'l)	04/26/19	J		
266.					Sold (part)	05/30/19	J		
267.					Sold (part)	08/20/19	J		
268.					Buy (add'l)	10/28/19	J		
269.					Buy (add'l)	11/08/19	J		
270. XEC		None			Sold	04/02/19	J		
271. STZ	A	Int./Div.			Sold	06/12/19	J		
272. XRAY		None	J	T	Buy (add'l)	09/30/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. DWDP	A	Int./Div.			Buy (add'l)	03/05/19	J		
274.					Sold (part)	04/02/19	J		
275.					Sold	04/16/19	J		
276. EBAY	A	Int./Div.	J	T	Buy (add'l)	07/19/19	J		
277. EMR	A	Int./Div.	J	T	Buy (add'l)	02/19/19	J		
278.					Buy (add'l)	10/28/19	J		
279. EQIX	A	Int./Div.			Sold	01/08/19	J		
280. FDC		None			Sold	03/15/19	J		
281. HRS		None			Buy (add'l)	02/05/19	J		
282.					Sold	05/30/19	J	A	
283. LRCX		None	J	T	Buy (add'l)	02/19/19	J		
284. MCHP	A	Int./Div.			Sold	03/05/19	J	A	
285. NOC		None	J	T	Buy (add'l)	01/18/19	J		
286.					Buy (add'l)	05/28/19	J		
287.					Sold (part)	11/22/19	J	A	
288. NVDA		None	J	T	Sold (part)	05/30/19	J		
289.					Buy (add'l)	07/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. QCOM		None			Sold	02/05/19	J		
291. QSR		None			Sold (part)	02/21/19	J	A	
292.					Sold	10/02/19	J	A	
293. SCHW		None			Sold	02/05/19	J		
294. STT		None			Sold (part)	02/05/19	J	A	
295.					Sold	02/27/19	J	A	
296. TMUS		None	J	T	Buy (add'l)	02/19/19	J		
297. USB		None			Sold	02/05/19	J		
298. WY		None			Sold	03/05/19	J		
299. xNXPI	A	Int./Div.	J	T	Buy	03/01/19	J		
300.					Buy (add'l)	08/05/19	J		
301.					Buy (add'l)	09/16/19	J		
302. xADBE		None	J	T	Buy	01/04/19	J		
303.					Buy (add'l)	01/18/19	J		
304.					Buy (add'l)	05/28/19	J		
305.					Buy (add'l)	08/30/19	J		
306. xARMK	A	Int./Div.	J	T	Buy	03/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. xADSK		None	J	T	Buy	09/18/19	J		
308. xBDX	A	Int./Div.	J	T	Buy	05/28/19	J		
309.					Buy (add'l)	06/10/19	J		
310. xCDK	A	Int./Div.	J	T	Buy	03/01/19	J		
311. xCL	A	Int./Div.	J	T	Buy	02/01/19	J		
312.					Buy (add'l)	02/19/19	J		
313. xCPRI		None	J	T	Buy	11/26/19	J		
314. xCAG	A	Int./Div.	J	T	Buy	01/04/19	J		
315. xGWL	A	Int./Div.	J	T	Buy	06/24/19	J		
316.					Buy (add'l)	08/30/19	J		
317.					Sold (part)	09/18/19	J		
318.					Buy (add'l)	11/08/19	J		
319. xCTVA	A	Int./Div.	J	T	Buy	04/12/19	J		
320.					Buy (add'l)	08/30/19	J		
321.					Buy (add'l)	11/08/19	J		
322.					Sold (part)	11/26/19	J		
323. xDD	A	Int./Div.	J	T	Buy	03/01/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	03/29/19	J		
325.					Buy (add'l)	04/12/19	J		
326.					Sold (part)	11/26/19	J		
327. xDHI	A	Int./Div.	J	T	Buy	02/01/19	J		
328.					Buy (add'l)	02/19/19	J		
329. xDXC		None	J	T	Buy	12/09/19	J		
330. xINTC	A	Int./Div.	J	T	Buy	06/10/19	J		
331.					Sold (part)	07/09/19	J	A	
332. xIBM	A	Int./Div.	J	T	Buy	05/10/19	J		
333.					Buy (add'l)	07/19/19	J		
334.					Sold (part)	12/23/19	J	A	
335. xLHX	A	Int./Div.	J	T	Buy	01/18/19	J		
336.					Buy (add'l)	02/01/19	J		
337. xLYFT		None	J	T	Buy	12/20/19	J		
338. xMPC	A	Int./Div.	J	T	Buy	10/28/19	J		
339. xMHK		None	J	T	Buy	11/08/19	J		
340. xMRVL		None	J	T	Buy	02/21/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. xNEM	A	Int./Div.	J	T	Buy	02/05/19	J		
342.					Buy (add'l)	06/10/19	J		
343. xSLB	A	Dividend	J	T	Buy	01/04/19	J		
344.					Buy (add'l)	02/01/19	J		
345.					Sold (part)	08/20/19	J		
346.					Buy (add'l)	10/28/19	J		
347. xSPR	A	Int./Div.	J	T	Buy	08/30/19	J		
348. xMMM	A	Int./Div.	J	T	Buy	11/08/19	J		
349.					Sold (part)	11/13/19	J	A	
350. xVLO	A	Int./Div.	J	T	Buy	09/30/19	J		
351. xVIAC		None	J	T	Buy	12/09/19	J		
352. xWP		None			Buy	01/23/19	J		
353.					Buy (add'l)	02/05/19	J		
354.					Sold	04/16/19	J	A	
355. xBAX		None			Buy	02/05/19	J		
356.					Sold	10/30/19	J	A	
357. xLVS		None			Buy	02/05/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Sold	09/04/19	J		
359. xFLR		None			Buy	04/16/19	J		
360.					Sold	05/14/19	J		
361. xWDC		None			Buy	05/03/19	J		
362.					Sold	06/12/19	J		
363. xKR		None			Buy	06/26/19	J		
364.					Sold	07/23/19	J		
365. xMOS		None			Buy	07/09/19	J		
366.					Sold	10/30/19	J		
367. xHUM		None			Buy	07/09/19	J		
368.					Sold	10/16/19	J	A	
369. xURI		None			Buy	08/07/19	J		
370.					Sold	09/04/19	J	A	
371.									
372. SSGA Money Market	A	Interest	J	T					
373.									
374. AIG Valic IRA DBA DavidsonMid Cap									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

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05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.									
376. STE	A	Int./Div.	J	T					
377. ACHC		None	J	T					
378. ACC	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
379. ANSS		None			Buy (add'l)	01/24/19	J		
380.					Sold (part)	08/08/19	J	A	
381.					Sold	09/04/19	J	A	
382. ATR	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
383. APAM	A	Int./Div.	J	T					
384. BLKB	A	Int./Div.			Sold	02/12/19	J	A	
385. BURL		None	J	T	Sold (part)	05/01/19	J	A	
386.					Sold (part)	05/15/19	J	A	
387. CPE		None			Sold	07/22/19	J		
388. CTLT		None	J	T	Sold (part)	04/11/19	J	A	
389. CVCO		None	J	T					
390. CGNX	A	Int./Div.	J	T					
391. DORM		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

392. DRQ		None	J	T					
393. EXPO	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
394. FIVE		None	J	T					
395. IT		None	J	T					
396. GBCI	A	Int./Div.	J	T					
397. ICUI		None	J	T					
398. MKTX	A	Int./Div.	J	T	Sold (part)	01/24/19	J	A	
399.					Sold (part)	04/11/19	J	A	
400.					Sold (part)	04/18/19	J	A	
401.					Sold (part)	09/17/19	J	A	
402. MAA	A	Int./Div.	J	T	Sold (part)	11/21/19	J	A	
403. MIDD		None	J	T	Sold (part)	04/11/19	J	A	
404. NDSN	A	Int./Div.	J	T					
405. POR	A	Int./Div.	J	T					
406. POWI	A	Int./Div.	J	T	Sold (part)	08/01/19	J	A	
407. PINC		None	J	T	Sold (part)	11/21/19	J	A	
408. PSMT	A	Int./Div.			Sold	02/12/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. PRA	A	Int./Div.			Sold	03/20/19	J		
410. KWR	A	Int./Div.	J	T					
411. RPM	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
412. ROLL		None	J	T	Sold (part)	04/11/19	J	A	
413. RBA	A	Int./Div.	J	T	Sold (part)	11/27/19	J	A	
414. SSNC	A	Int./Div.	J	T					
415. SBNY		None	J	T					
416. SUI	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
417. SPN		None			Sold	04/29/19	J		
418. TCBI		None			Sold	05/07/19	J	A	
419. TTC	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	
420. TYL		None	J	T	Sold (part)	01/24/19	J	A	
421. ULTI		None			Sold	05/01/19	J	A	
422. WAB		None	J	T					
423. WAGE	A	Int./Div.			Sold	03/19/19	J		
424. WBS	A	Int./Div.	J	T	Buy (add'l)	08/06/19	J		
425. WST	A	Int./Div.	J	T	Sold (part)	04/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. ZBRA		None	J	T	Sold (part)	04/11/19	J	A	
427.					Sold (part)	11/21/19	J	A	
428. BAH	A	Int./Div.	J	T					
429. BJRI	A	Int./Div.	J	T					
430. CRI	A	Int./Div.	J	T					
431. COR	A	Int./Div.	J	T					
432. EXP	A	Int./Div.	J	T					
433. DEA	A	Int./Div.	J	T					
434. GDI		None	J	T					
435. GGG	A	Int./Div.	J	T					
436. JRVR	A	Int./Div.	J	T	Sold (part)	10/17/19	J		
437. LECO	A	Int./Div.			Sold	09/04/19	J		
438. LAD	A	Int./Div.	J	T					
439. MTDR		None	J	T					
440. OGE	A	Int./Div.	J	T					
441. DOC	A	Int./Div.	J	T					
442. PNFP	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443. PII	A	Int./Div.	J	T	Buy (add'l)	09/13/19	J		
444. POOL	A	Int./Div.	J	T					
445. SLAB		None	J	T					
446. SNDR	A	Int./Div.	J	T					
447. INN	A	Int./Div.	J	T					
448. MIK		None			Sold	09/04/19	J		
449. WAL		None	J	T					
450. BERY		None	J	T					
451. BIO		None	J	T	Buy (add'l)	02/08/19	J		
452.					Sold (part)	04/11/19	J		
453. EPAM		None	J	T					
454. HXL		None	J	T					
455. JAZZ		None	J	T	Buy (add'l)	03/29/19	J		
456.					Buy (add'l)	08/06/19	J		
457. NBIX		None	J	T					
458. PE		None	J	T	Buy (add'l)	08/30/19	J		
459. RPD		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460. TXRH	A	Int./Div.	J	T					
461. xAUB	A	Int./Div.	J	T	Buy	05/03/19	J		
462. xBJ		None	J	T	Buy	07/01/19	J		
463.					Buy (add'l)	12/02/19	J		
464. xENTG	A	Int./Div.	J	T	Buy	04/29/19	J		
465. xENV		None	J	T	Buy	04/25/19	J		
466. xROCK		None	J	T	Buy	09/13/19	J		
467. xLOPE		None	J	T	Buy	05/15/19	J		
468. xHUBS		None	J	T	Buy	11/19/19	J		
469. xPODD		None	J	T	Buy	02/08/19	J		
470. xPFGC		None	J	T	Buy	03/18/19	J		
471. xTCF	A	Int./Div.	J	T	Buy	02/08/19	J		
472. xMTN	A	Int./Div.	J	T	Buy (add'l)	03/18/19	J		
473.									
474.									
475. SSGAMoney Market Fund	A	Int./Div.	J	T					
476.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.									
478. Highwoods Pptys Inc	A	Dividend	K	T					
479. Hooker Furniture	A	Dividend	J	T					
480. Regency CTRS Corp	A	Int./Div.	K	T					
481. Carter Bank and Trust		None	K	T					
482. Calamos Growth Mutual Fund A	A	Int./Div.	K	T					
483. Mutual Series Mutual Shares A	A	Int./Div.	K	T					
484. Tempelton Growth Mutual Fund A	A	Int./Div.	K	T					
485. Franklin Income Mutual Fund A	A	Int./Div.	K	T					
486. Valic Freedom Plus IRA Annuity (Porfolio Director Fixed and Variable)	A	Int./Div.	L	T					
487. AIG 401K									
488. BSCFX	A	Int./Div.	K	T					
489. JNTFX	A	Int./Div.	K	T					
490. VPMCX	A	Int./Div.	K	T					
491. VEXMX	A	Int./Div.	K	T					
492. FTSE	A	Int./Div.	K	T					
493. TGVAX	A	Int./Div.	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

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05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494. VINIX	A	Int./Div.	K	T					
495. VWELX	A	Int./Div.	K	T					
496. MEIAX	A	Int./Div.	K	T					
497. JSIVX	A	Int./Div.	J	T					
498. VBMFX	A	Int./Div.	K	T					
499. AIG Stable Value Fund	A	Interest	J	T					
500. Sun America NQDA	A	Int./Div.	L	T					
501. Jackson National NQDA	A	Int./Div.	L	T					
502. VFA TransAmerica Laarge Growth	A	Int./Div.	N	T					
503. VFA Columbia Value	A	Int./Div.	N	T					
504. VFA Sterling Mid Cap Value	A	Int./Div.	N	T					
505. VFA GWK Small Core	A	Int./Div.	N	T					
506. VFA Nuveen Intermediate Muni	C	Interest	M	T					
507. VFA Intergrated Manager Account									
508. A	A	Dividend	K	T					
509. V	A	Dividend	K	T					
510. MSFT	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. AMGN	A	Distribution	K	T					
512. ATVI	A	Int./Div.	J	T					
513. BLK	A	Int./Div.	J	T					
514. DIS	A	Int./Div.	K	T	Buy (add'l)	02/26/19	J		
515. GILD		None			Sold	02/27/19	J	D	
516. GOOG		None	J	T					
517. UTX	A	Int./Div.	J	T					
518. CRM		None	K	T					
519. LOW	A	Dividend	K	T					
520. NXPI		None	K	T					
521. FB		None	K	T					
522. NVDA	A	Dividend	J	T					
523. AKAM		None	J	T					
524. TJX		None	J	T					
525. INTC	A	Int./Div.			Sold	05/24/19	J	B	
526. NKE	A	Int./Div.	J	T					
527. AGN		None			Sold	06/28/19	J	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. GOOGL		None	J	T					
529. APPL	A	Int./Div.	K	T					
530. NOC	A	Int./Div.	J	T					
531. SCHW		None			Sold	04/02/19	J	A	
532. HRS merged with LLL to become LHX	A	Int./Div.			Merged (with line 538)	07/01/19	J		
533. INTU	A	Int./Div.	K	T					
534. MLM		None			Sold (part)	01/29/19	J		
535.					Sold	09/20/19	J		
536. XLNX	A	Int./Div.	J	T	Buy (add'l)	02/28/19	J		
537. AVGO	A	Int./Div.	K	T	Buy (add'l)	02/26/19	J		
538. LLL merged with HRS to become LHX	A	Int./Div.				07/01/19	J		
539. xLHX (see merger of HRS and LLL)	A	Int./Div.	K	T			K		
540. AMZN		None	K	T					
541. CVS		None			Sold	01/17/19	J		
542. WM	A	Int./Div.	J	T					
543. ZTS	A	Int./Div.	K	T	Buy (add'l)	01/14/19	J		
544. PAWN		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545. IQV		None	K	T					
546. COST	A	Int./Div.	J	T					
547. WMT	A	Int./Div.	J	T					
548. CSCO	A	Int./Div.	K	T					
549. BAH	A	Int./Div.	K	T					
550. PLNT		None	K	T					
551. ILMN	A	Int./Div.			Buy (add'l)	01/14/19	J		
552.					Sold	11/21/19	J		
553. SPY	A	Interest			Sold	02/28/19	K	A	
554. xGPN	A	Int./Div.	J	T	Buy	02/20/19	J		
555. xGH	A	Int./Div.	J	T	Buy	04/17/19	J		
556. xLMT	A	Int./Div.	J	T	Buy	07/22/19	J		
557. xMOH	A	Int./Div.			Buy	01/22/19	J		
558.					Sold	09/20/19	J		
559. xQRVO	A	Int./Div.	J	T	Buy	11/12/19	J		
560. xTTD	A	Int./Div.	K	T	Buy	01/22/19	J		
561.					Buy (add'l)	03/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562. xTW	A	Int./Div.	J	T	Buy	10/18/19	J		
563. xVRTX	A	Int./Div.	J	T	Buy	10/18/19	J		
564. xZS	A	Int./Div.			Buy	01/22/19	J		
565.					Sold	11/21/19	J		
566. xSPAXX money market fund	A	Interest	K	T					
567.									
568. xDiversified equity mutual Funds									
569. FSPSX	B	Int./Div.	L	T					
570. FSSNX	A	Int./Div.	K	T					
571. FXAIX	B	Int./Div.	M	T					
572. PMDEX	B	Int./Div.	M	T					
573. AXBIS	B	Int./Div.	K	T					
574. WSBIS	A	Int./Div.	K	T					
575.									
576. SPDR (cash)	A	Interest	J	T					
577. Genworth Variable Life Insurance (Fixed Index Annuities)	A	Int./Div.	J	T					
578.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
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1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
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1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
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1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
630.									
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1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

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T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
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1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

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P3 =\$25,000,001 - \$50,000,000

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Aron, Catharine R.

Date of Report

05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catharine R. Aron**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544