

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donnelly, Ann M.	2. Court or Organization United States District Court, Eastern District of New York	3. Date of Report 07/17/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court Eastern District of New York 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Advisory Board Member	Thurgood Marshall Junior Mock Trial Program
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	New York State Retirement System	\$94,379.52
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Ernst & Young salary
2. 2019	Citigroup Pension
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. William Miils	2 Tickets City Harvest Charity Dinner	\$2,000.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. American Funds International Growth and Income Fund	B	Dividend	L	T					
3. American Funds New World Fund	C	Dividend	O	T					
4. American Funds Growth Fund of America	F	Dividend	O	T					
5. American Funds Capital Income Builder	C	Dividend	J	T	Sold (part)	06/04/19	N	E	
6. American Funds Income Fund of America	D	Dividend	M	T					
7. JP Morgan Large Cap Growth Fund	F	Dividend	O	T	Buy	06/04/19	N		
8. Cash (UBS)	A	Interest	J	T					
9. IRA #2 (H)									
10. American Funds Capital Income Builder	C	Dividend	M	T					
11. American Funds, Income Fund of America	C	Dividend	M	T					
12. Cash (UBS)	A	Interest	J	T					
13. 401K (H)									
14. Dodge &Cox Stock Large Cap Value (X)	D	Int./Div.	L	T					
15. TRP New Horizons I Mid Cap Growth (X)	C	Int./Div.	L	T					
16. AF New Perspect R6 World (X)	B	Int./Div.	K	T					
17. FID GR Co Pool CL 2 Large Cap Growth (X)	B	Int./Div.	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. AF Europac Growth R6 Foreign (X)	A	Int./Div.	K	T					
19. PIM Total RT Inst Intermediate Term Bond (X)	B	Int./Div.	L	T					
20. Joint Account (H)									
21. American Funds, American Mutual Fund	D	Dividend	M	T					
22. Cisco Systems, Inc.	B	Dividend	K	T					
23. American Funds Capital Income Builder	E	Dividend	N	T	Sold (part)	06/04/19	O	E	
24. American Funds Growth Fund of America	E	Dividend	N	T					
25. Travelers Cos., Inc.	A	Dividend	K	T					
26. JP Morgan Large Cap Growth Fund	F	Dividend	O	T	Buy	06/04/19	O		
27. United States Treasury Bill	A	Dividend			Buy	11/26/19	M		
28.					Sold	12/17/19	M	A	
29. Columbia Threadneedle Columbia Acorn Fund (X)	C	Dividend	L	T					
30. Lord Abbett Bond Debenture A (X)	A	Dividend	L	T					
31. American Century Ultra Fund (X)	B	Dividend	L	T					
32. Nicholas Funds Nicholas Fund, Inc. (X)	B	Dividend	K	T					
33. DWS Core Equity Fund S (X)	A	Dividend			Sold	09/12/19	K	E	
34. DWS Croci Us Fund s (X)		None			Sold	09/12/19	K	E	

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. DWS Croci International Fund S (X)		None			Sold	09/12/19	K	E	
36. Franklin Templeton Inv. Class Z Mutual Shares Fund (X)		None			Sold	11/08/19	K	E	
37. Schwab Small Cap Index Fund (X)	B	Dividend	K	T					
38. Schwab 1000 Index Fund (X)	A	Dividend	L	T					
39. T. Rowe Price Spectrum Growth (X)	B	Dividend	K	T					
40. Ford Motor Co.(X)	A	Dividend	J	T					
41. Southern Co. (X)	A	Dividend	K	T					
42. First Energy Corp. (X)	A	Dividend	L	T					
43. Cash (UBS)	A	Interest	L	T					
44. Rental Property, Brooklyn, NY	E	Rent	K	W					
45. Citibank Account	A	Interest	J	T					
46. UBS Account	A	Interest	M	T					
47.									
48.									
49.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The information on Lines 13 through 19 was inadvertently omitted from prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ann M. Donnelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544