

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hamilton, Jean C.	2. Court or Organization US District Court, EDMO	3. Date of Report 4/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 111 South Tenth Street St. Louis, MO 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Care and Counseling
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Missouri Judges Retirement System - pension	\$39,139.55
2. 2019	IRA Distribution	\$3,873.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	David A. Blanton	January - February 2019	Florida	vacation	partial lodging, partial food & partial transportation
2.	David A. Blanton	April - May 2019	Jordan, Israel, UK	vacation	Lodging, partial food, partial transportation
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David A. Blanton	gift card	\$500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. US Bank -2 accts.	A	Interest	M	T					
2. Bank of America 1 acct.	A	Interest	K	T					
3. IRA - TD Ameritrade									
4. a. DFA International Core Equity Institutional	A	Dividend	J	T					
5. b. DFA US Vector Equity Institutional	A	Dividend	J	T					
6. c. DFA US Core Equity 2 Institutional	A	Dividend	K	T					
7. d. DFA Emerging Markets Core Equity Institutional	A	Dividend	J	T					
8. e. DFA Int. Value Port III	A	Dividend			Sold	10/16/19	J	A	
9. f. DFA Large Cap Value Institutional	A	Dividend	J	T	Sold (part)	10/16/19	J	A	
10. g. DFA Five Year Global Fixed Inc. 1	A	Dividend	J	T					
11. h. Vanguard Short Term Invest. Grade Admiral	A	Dividend	J	T					
12.									
13. Brokerage Account #3									
14. a. 3M (Minn. Mining & Mfg) - com.	C	Dividend	M	T					
15. b. Home Depot - com.	C	Dividend	M	T					
16. c. IBM - com.	D	Dividend	M	T					
17. d. Pfizer - com.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. e. Proctor & Gamble - com.	B	Dividend	L	T					
19. f. Walt Disney - com.	A	Dividend	K	T					
20. g. American International Group warrant	A	Dividend	J	T					
21. h. AT & T - com.	C	Dividend	L	T					
22. i. Kraft Heinz - com.	A	Dividend	J	T					
23. j. Mondelez Internationall. - com.	A	Dividend	K	T					
24. k. Philip Morris International - com.	D	Dividend	M	T					
25. l. DFA Emerging Markets Institutional	B	Dividend	L	T					
26. m. Dreyfus Tax Exempt Bond Fund com.	A	Dividend			Sold	06/03/19	L	A	
27. n. DFA Int'l Core Equity Institutional	D	Dividend	N	T	Buy	10/16/19	K		
28. o. DFA US Vector Equity Institutional	D	Dividend	M	T	Buy	10/16/19	K		
29. p. DFA Tax Managed International Value Institutional	D	Dividend	M	T	Buy	08/08/19	K		
30. q. DFA Tax Managed US Marketwide Value Institutional	D	Dividend	N	T	Buy	10/16/19	K		
31. r. Vanguard Short Term Investment Grade Admiral	D	Dividend	N	T					
32. s. DFA US Core Equity 2 Institutional	E	Dividend	O	T					
33. t.. DFA TA U.S. Core Equity 2 Institutional	D	Dividend	M	T	Buy	10/16/19	K		
34. u. DFA Emerging Markets Core Equity Institutional	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. v. DFA Two Year Global Fixed Income 1 Institutional	C	Dividend	M	T					
36. w. BNY Mellon Muni Bond Fund	B	Dividend	L	T	Buy	06/03/19	K		
37. x. DFA Five Year Global Fixed Income Institutional	A	Dividend	J	T	Buy	10/16/19	J		
38.									
39.									
40.									
41.									
42.									
43.									
44.									
45.									
46.									
47.									
48.									
49.									
50.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VIII. Investments and Trusts

In this section, the title of some mutual funds contained in the IRA Ameritrade Account and in the Brokerage Account #3 have changed. Following is a list of those mutual funds as they appeared in the 2018 Disclosure Report and their corresponding title in the 2019 Disclosure Report

A. IRA Ameritrade

2018 Report Symbol 2019 Report Symbol

- a. DFA International Core Equity PTFL DFIEX a. DFA International Core Equity Institutional DFIEX
b. DFA US Vector Equity DFVEX b. DFA US Vector Equity Institutional DFVEX
c. DFA U.S. Core Equity 2 DFQTX c. DFA US Core Equity 2 Institutional DFQTX
d. DFA Emerging Markets Core Equity DFCEX d. DFA Emerging Markets Core Equity Institutional DFCEX
e. DFA International III DFA International Value Port III -- sold all in 2019
f. DFA US Lg III DFUVX e. DFA Lg. Cap Value III Institutional DFUVX
g. DFA Five-Year Global Fixed PTF DFGBX g. DFA Five-Year Global Fixed Income 1 DFGBX
h. Vanguard Short Term Investment Grade Admiral VFSUX f. Vanguard Short Term Investment Grade Admiral VFSUX

Brokerage Acct. #3

2018 Report Symbol 2019 Report Symbol

- l. DFA Emerging Markets PTF DFEMX l. DFA Emerging Markets Institutional DFEMX
n. DFA International Core Equity PTFL DFIEX n. DFA International Core Equity Institutional DFIEX
o. DFA US Vector Equity DFVEX o. DFA US Vector Equity Institutional DFVEX
p. DFA Tax Managed International Value Fund DTMIX p. DFA Tax -Managed International Value Institutional DTMIX
q. DFA Tax Managed US Marketwide Value II DFMVX q. DFA Tax -Managed US Marketwide Value Institutional DFMVX
r. Vanguard Short Term Investment Grade Admiral VFXUX r. Vanguard Short Term Investment Grade Admiral VFSUX
s. DFA US Core Equity 2 DFQTX s. DFA US Core Equity 2 Institutional DFQTX
t. DFA TA US Core Equity 2 DFTCX t. DFA TA US Core Equity 2 Institutional DFTCX
u. DFA Emerging Markets Core Equity I DFCEX u. DFA Emerging Markets Core Equity Institutional DFCEX
v. DFA Two-Year Global Fixed PTF DFGFX v. DFA Two-Year Global Fixed Income 1 DFGFX

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jean C. Hamilton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544