

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) ELLISON, KEITH P.	2. Court or Organization U.S.D.C. SOUTHERN DISTRICT OF TEXAS	3. Date of Report 7/22/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 515 RUSK STREET, ROOM 3716 HOUSTON, TEXAS 77002		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Harry A. Blackmun Scholarship Foundation
2.	Director	Executive Committee Order of Coif
3.	Secretary	Rhodes Scholarship Selection Committee -District VIII
4.	Director	Houston Achievement Place
5.	Counsel	American Inn of Court, Nancy F. Atlas Chapter

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018 Year	Norton Rose Fulbright, L.L.P. partnership profits
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Order of Coif	January 2019	New Orleans, LA	Committee Meeting	Transportation / Meals / Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 401(K) SAVINGS PLAN Vanguard									
2. - Vanguard Prime Money Market Fund	A	Interest	L	T					
3. - Vanguard Total Bond Market Index Fund	E	Interest	O	T					
4. - Vanguard International Stock Index Fund	E	Dividend	M	T					
5. - Vanguard Total Stock Market Index Fund	E	Dividend	P1	T					
6. - Adams Express Co. Common Stock	D	Dividend	M	T	Sold	12/30/19	M	D	
7. RETIREMENT SAVINGS PLAN Govt Plan									
8. - Spartan U. S. Equity Income	B	Dividend	O	T					
9. WELLS FARGO BANK	A	Interest	J	T					
10. WELLS FARGO BANK	A	Interest	J	T					
11. AMEGY BANK OF TEXAS CHECKING ACCOUNT	A	Interest	N	T					
12. AMEGY BANK OF TEXAS SAVINGS ACCOUNT	A	Interest	M	T					
13. FIDELITY - INDIVIDUAL ACCOUNT (H)									
14. - Fidelity Municipal Money Market	A	Interest	J	T					
15. Chevron Corp.	A	Dividend	K	T	Sold	04/14/19	M	E	
16. Adams Express Co. (y)	A	Dividend	J	T					
17. - Adams Express	D	Dividend	M	T	Sold (part)	10/28/19	K	D	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Adams Express	D	Dividend	M	T	Sold (part)	08/13/19	L	D	
19. Fairpoint Communications, Inc. (y)	A	Dividend	J	T					
20. Merek & Co. (y)	A	Dividend	L	T					
21. Verizon (y)	A	Dividend	L	T					
22. Lehman Bros	A	Interest	J	T					
23. Govt. Natl. Mortg. Assn	A	Interest	L	T					
24. FIDELITY IRA									
25. -Lehman Bros Hldgs Inc	A	Interest	K	T					
26. -Time Warner Cos Inc DEB	A	Interest	L	T					
27. -Adams Express Company	C	Dividend	L	T	Sold (part)	09/17/19	L	D	
28. -Fidelity Inflation Protected Bond	A	Interest	L	T					
29. -Fidelity Strategic Income	A	Interest	M	T					
30. -Frontier -Communications Corp Com	A	Dividend	J	T					
31. -Merck & Co Inc New Com	A	Dividend	L	T					
32. -Verizon Communications	B	Dividend	L	T					
33. - Fidelity Money Market	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Under Fidelity Individual Account:

Fairpoint Communications, Inc was previously listed erroneously. It has been deleted.

Merek & Co., Verizon , and Lehman Bros were previously erroneously listed under both my Fidelity Individual account and my Fidelity IRA account. They have been deleted from my individual account.

Government National Mortgage Associan was listed in error and has been deleted.

Under Fidelity IRA Account, Van Kampen Internet Mutual Fund was listed erroneously. It has been deleted.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **KEITH P. ELLISON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544