

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Cox, Susan E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 1/19-12/19	Servier Pharmaceuticals LLC - Salary & Bonus	
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Cox, Susan E.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Chase Bank	A	Interest			Closed	06/30/19	K	A	
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	J	T					
5. Baxter International - Exercisable Stock Options		None			Sold	02/01/19	O	G	
6. Baxter Int'l Common Stock	C	Dividend	N	T					
7. Shire PLC - Common Stock	A	Dividend			Merged (with line 8)	02/01/19	M		
8. Takeda Pharmaceutical Common Stock	A	Dividend	M	T	Open	02/01/19	M		
9. Edward Lifesciences Common		None	K	T					
10. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
11. SHIRE PLC 401K PLAN									
12. Shire PLC Common Stock	A	Dividend			Merged (with line 13)	02/01/19	K		
13. Takeda Pharmaceutical Common Stock	A	Dividend			Open	02/01/19	K		
14. Takeda Pharmaceutical Common Stock	A	Dividend			Merged (with line 17)	02/01/19	K		
15. FIAM Target Date 2030 Fund	D	Int./Div.			Merged (with line 18)	02/01/19	P1		
16. TAKEDA SAVINGS & RETIRE PLAN									
17. Takeda Pharmaceutical Common Stock	A	Dividend	K	T	Open	02/01/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18. FIAM Target Date 2030 Fund	D	Int./Div.	P1	T	Open	02/01/19	P1		
19. TAKEDA ESPP STOCK PLAN									
20. Takeda Pharmaceutical Common Stock	A	Dividend	L	T	Buy	02/01/19	L		
21. SERVIER PHARMACEUTICALS 401K PLAN									
22. Vanguard Target Rmt 2030 Fund	A	Int./Div.	L	T	Buy (add'l)	12/31/19	K		
23. BROKERAGE ACCOUNT #1									
24. Alibaba Group		None	K	T					
25. Amazon.com		None	K	T					
26. Apple Inc	A	Dividend	K	T					
27. Baidu Inc		None	K	T	Buy (add'l)	06/07/19	J		
28. Berkshire Hathaway Inc Cl B		None	K	T					
29. Enterprise Products LP	A	Dividend	J	T	Buy	06/07/19	J		
30. Fidelity Blue Chip Value	A	Dividend	J	T	Buy	09/06/19	J		
31. Fidelity Cash Reserves Fund	A	Interest	J	T					
32. Fidelity Convertible Securities	A	Int./Div.	J	T					
33. Fidelity Floating Rate High Income	A	Int./Div.			Sold	06/07/19	J	A	
34. Fidelity Short Term Bond Fund	A	Interest	J	T	Sold (part)	06/24/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Invesco QQQ ETF	A	Dividend	L	T					
36. Las Vegas Sands Corp	A	Dividend	J	T					
37. Matthews Asia Dividend	A	Dividend	J	T					
38. Market Vectors Gaming ETF	A	Dividend	J	T					
39. QQQ Calls - 01/25/19		None			Closed	01/25/19	J	A	
40. Robotics & Automation ETF	A	Dividend	J	T					
41. S&P 500 Calls - 01/25/19		None			Closed	01/25/19	J	A	
42. S&P 500 ETF	A	Dividend	L	T					
43. Starbucks	A	Dividend	J	T					
44. BROKERAGE ACCOUNT #2									
45. Alphabet		None	K	T					
46. Amazon.com		None	J	T	Buy	03/25/19	J		
47. Apple Inc	A	Dividend	J	T	Buy	03/25/19	J		
48. Berkshire Hathaway Inc Cl B		None	K	T					
49. Boeing Co	A	Dividend			Buy	03/25/19	K		
50.					Sold	03/28/19	K	A	
51. Boeing Bond 8.75% 8-15-21	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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52. Caterpillar Inc	A	Dividend	J	T					
53. Cummins Inc	A	Dividend	J	T	Buy	04/11/19	J		
54. CVS Caremark	A	Dividend	J	T	Buy	11/18/19	J		
55. Disney Walt Co	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
56. FedEx Corp	A	Dividend			Buy (add'l)	03/25/19	K		
57.					Sold	06/17/19	K	A	
58. Fidelity Blue Chip Value	A	Dividend	K	T					
59. Fidelity Convertible Securities	A	Int./Div.	K	T	Buy	03/25/19	K		
60.					Sold (part)	05/31/19	J	A	
61. Fidelity Emerging Markets	A	Dividend	J	T	Sold (part)	08/27/19	J	A	
62. Fidelity Floating Rate High Income	A	Interest			Sold	04/18/19	J	A	
63. Fidelity International Growth	A	Dividend	K	T	Buy (add'l)	03/25/19	K		
64.					Sold (part)	08/19/19	J	A	
65. Fidelity Muni Money Market Fund	A	Interest	J	T					
66. Fidelity New Markets Income	A	Int./Div.			Sold	03/25/19	J	A	
67. Fidelity Select Healthcare	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
68.					Sold (part)	06/17/19	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Fidelity Total Bond	A	Interest	J	T	Buy	03/25/19	K		
70.					Sold (part)	07/17/19	K	A	
71. Fidelity Utilities	A	Dividend	K	T	Buy	03/25/19	K		
72. Invesco QQQ Trust	B	Dividend	M	T	Buy	03/25/19	L		
73. Ishares Treasury Inflation Protected	A	Interest			Sold	06/07/19	J	A	
74. Keycorp Note 2.9% 9-15-20	A	Interest	J	T					
75. Las Vegas Sands	A	Dividend	J	T	Buy	06/07/19	J		
76. Mainstay High Yield Muni	A	Interest	K	T	Buy	03/25/19	K		
77. Mastercard	A	Dividend	K	T	Buy	03/25/19	K		
78. Matthews Asia Dividend	A	Dividend	K	T	Buy	03/25/19	K		
79. Matthews Asia Growth Investor	A	Dividend	K	T	Buy	03/25/19	K		
80. McDonalds Corp	A	Dividend			Buy	03/25/19	K		
81.					Sold	08/27/19	K	C	
82. Microsoft	A	Dividend	K	T	Buy	03/25/19	K		
83. Netflix Inc		None			Buy	03/25/19	J		
84.					Sold	11/18/19	J	A	
85. Oakmark International Fund	A	Dividend	K	T	Buy	03/25/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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86. Powershares ETF Int'l Dividend	A	Dividend			Sold	08/27/19	J	B	
87. S&P 500 Calls - 01/25/19		None			Closed	01/25/19	J	A	
88. S&P 500 ETF	B	Dividend	M	T	Buy (add'l)	03/25/19	L		
89. SPDR Financial ETF	A	Dividend			Sold	06/07/19	K	A	
90. SPDR Gold Trust		None	K	T	Buy (add'l)	03/25/19	K		
91. Target Corp	A	Dividend	J	T					
92. United Parcel Service	A	Dividend	K	T	Buy	03/25/19	K		
93. Vanguard Dividend Growth	A	Dividend	J	T	Buy	11/18/19	J		
94. Vanguard Wellesley Income	B	Int./Div.	K	T					
95. Visa Inc	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
96. Waste Management Inc	A	Dividend	J	T					
97. Wells Fargo Bond 2.55% 12-7-20	A	Interest	J	T					
98. Wells Fargo Bond 3% 1-22-21	A	Interest	J	T					
99. Yum Brands Inc	A	Dividend	J	T					
100. BROKERAGE ACCOUNT #3									
101. Apple Inc.	A	Dividend	J	T					
102. Fidelity Blue Chip Growth	A	Dividend	J	T					

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103. Fidelity Blue Chip Value	A	Dividend	J	T	Buy	09/06/19	J		
104. Fidelity Capital & Income	A	Int./Div.	J	T					
105. Fidelity Cash Fund	A	Interest	J	T					
106. Fidelity Conservative Income	A	Int./Div.	J	T					
107. Fidelity High Income	A	Int./Div.	J	T					
108. Fidelity New Markets Income	A	Int./Div.			Sold	08/27/19	J	A	
109. Fidelity Select Consumer Discr.	A	Int./Div.	J	T					
110. Fidelity Select Healthcare	A	Dividend	J	T					
111. Fidelity Short Term Bond	A	Interest	J	T					
112. Fidelity Utilities ETF	A	Dividend	J	T					
113. S&P Midcap 400	A	Dividend	J	T					
114. Vanguard Wellesley Income	A	Int./Div.	J	T					
115. BROKERAGE ACCOUNT #4									
116. Apple Inc.	A	Dividend	J	T					
117. Fidelity Blue Chip Growth	A	Dividend	J	T					
118. Fidelity Blue Chip Value	A	Dividend	J	T	Buy	09/06/19	J		
119. Fidelity Capital & Income	A	Int./Div.	J	T					

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity Cash Fund	A	Interest	J	T					
121. Fidelity Conservative Income	A	Int./Div.	J	T					
122. Fidelity High Income Fund	A	Int./Div.	J	T					
123. Fidelity New Markets Income	A	Int./Div.			Sold	08/27/19	J	A	
124. Fidelity Select Consumer Discr.	A	Dividend	J	T					
125. Fidelity Short Term Bond	A	Interest	J	T					
126. Fidelity Utilities ETF	A	Dividend	J	T					
127. Mainstay High Yield Muni	A	Interest			Sold	11/18/19	J	A	
128. Paychex Inc.	A	Dividend	J	T	Buy	11/18/19	J		
129. S&P Midcap 400	A	Dividend	J	T					
130. BROKERAGE ACCOUNT #5									
131. Alphabet		None	K	T	Sold (part)	10/15/19	J	D	
132. Amazon.com		None	J	T	Sold (part)	10/15/19	J	D	
133. Caterpillar Inc	A	Dividend	J	T					
134. China Mobile Ltd	A	Dividend			Sold	10/15/19	J	A	
135. Direxion Treasury Bear	A	Interest			Sold	03/27/19	J	A	
136. Exxon Mobil Corp	A	Dividend			Sold	11/18/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Enterprise Products LP	A	Dividend	J	T	Buy	06/07/19	J		
138. Facebook		None	K	T					
139. FedEx Corp	A	Dividend			Sold	10/15/19	J	A	
140. Fidelity Blue Chip Value	A	Dividend	J	T					
141. Fidelity Cash Reserves	A	Interest	J	T					
142. Fidelity Select Healthcare	A	Dividend	J	T					
143. Fidelity Total Bond	A	Interest	K	T	Buy	06/07/19	K		
144. Invesco QQQ Trust	A	Dividend	J	T					
145. Ishares Treasury Inflation Protect	A	Interest			Sold	06/07/19	J	A	
146. Lockheed Martin Corp	A	Dividend	K	T	Buy	11/18/19	K		
147. Magellan Midstream Partners	A	Dividend	J	T					
148. Matthews Asia Growth Investor	A	Dividend	J	T					
149. Procter & Gamble Co	A	Dividend			Sold	08/27/19	K	D	
150. Purefunds Cyber Security ETF	A	Dividend	K	T					
151. Southwest Airlines	A	Dividend			Sold	11/18/19	K	C	
152. SPDR Financial Sector ETF	A	Dividend			Sold	06/07/19	J	A	
153. Vanguard Total Bond ETF	A	Interest			Sold	11/18/19	K	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Vanguard Dividend Growth	A	Dividend	K	T	Buy	11/18/19	K		
155. Ventas Inc.	A	Dividend	J	T	Buy	09/06/19	J		
156. Winnebago Industries	A	Dividend	K	T	Buy	09/06/19	J		
157. CUSTODIAL ACCOUNT #1									
158. First National Bank of LaGrange Account	A	Interest	J	T					
159. IL Bright Directions 529 Plan #2 - Fund 60A	A	Int./Div.	J	T					
160. CUSTODIAL ACCOUNT #2									
161. First National Bank of LaGrange Account	A	Interest			Closed	06/30/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Cox, Susan E.	08/11/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Cox, Susan E.

Date of Report

08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan E. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544