

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lungstrum, John W.	2. Court or Organization USDC, District of Kansas	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 517 U.S. Courthouse 500 State Avenue Kansas City, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Lungstrum, John W., 05/05/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Lungstrum, John W.	05/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Lungstrum, John W.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Bank (money market account)	A	Dividend	J	T					
2. College Station TX School District (Bond)	A	Interest	K	T					
3. MSILF Govt PtfInsi (Mutual Fund)	A	Dividend	L	T					
4. MSILF Prime PTF (Mutual Fund)	A	Dividend	K	T	Buy	09/12/19	K		
5. Eaton Vance Tax MGD Growth Fund (Mutual Fund)	A	Dividend	L	T					
6. EV Floating Rate A (Mutual Fund)	B	Interest	K	T					
7. Blackstone REIT	A	Dividend	K	T	Buy				
8. EV Kansas Municipals (Mutual fund)	A	Interest	K	T					
9. Tortoise MLP Fund Inc. (Mutual Fund)	B	Dividend	J	T					
10. IRA									
11. -AQR Managed Futures (Mutual Fund)	A	Dividend			Sold (part)	12/31/19	J	A	
12.					Sold (part)	04/12/19	J	A	
13.					Sold (part)	08/27/19	J	A	
14.					Sold (part)	09/04/19	J	A	
15. -AQR Market Neutral Inst (Mutual Fund)	A	Dividend			Sold (part)	01/02/19	K	A	
16.					Sold (part)	03/06/19	J	A	
17. -AQR Style Premia Alternative (Mutual Fund)	A	Dividend			Sold	01/25/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Lungstrum, John W.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Blackrock Event Driven	A	Dividend	J	T	Buy	12/31/19	J		
19. -Blackrock GLB Long Short	A	Dividend	J	T	Buy	03/12/19	J		
20.					Buy	07/19/19	J		
21.					Buy	09/04/19	J		
22.					Buy	12/26/19	J		
23. -Blackrock Strategic Inc. OPPI (Mutual Fund)	B	Dividend	L	T	Sold (part)	01/25/19	J	A	
24.					Buy	03/08/19	L		
25.					Sold (part)	08/27/19	J	A	
26. -Blackstone Alt Mutl-Strat	A	Dividend	K	T	Buy	01/04/19	J		
27.					Buy	01/25/19	J		
28.					Buy	03/06/19	K		
29.					Buy	03/08/19	J		
30.					Sold (part)	09/04/19	J	A	
31. -Causeway Intl Value (Mutual Fund)	B	Dividend			Sold	01/02/19	L	A	
32. -Columbia Strategic Inc. Inst. (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
33. -Fidelity ADV FLTG RT (Mutual Fund)	A	Dividend			Sold	01/02/19	L	A	
34. -Fidelity ADV Total Bond I	B	Dividend	L	T	Buy	12/26/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	01/25/19	J	A	
36.					Buy	03/08/19	J		
37.					Sold (part)	08/27/19	J	A	
38. -Gabelli Gold I (Mutual Fund)	A	Dividend			Sold	03/08/19	J	A	
39. -Harding Loevner Int's Eq (HLMIX)	B	Dividend	M	T	Buy	01/02/19	M		
40.					Sold (part)	03/08/19	K	A	
41.					Sold (part)	08/27/19	J	A	
42. -Healthcare Sel (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
43. -Henderson Intl Opport I (Mutual Fund)	B	Dividend			Sold	01/02/19	L	B	
44. -Invesco Small Cap Y (Mutual Fund)	A	Dividend	J	T	Sold (part)	03/08/19	J	A	
45. -Invesco Opp Ltd Team	A	Dividend	J	T	Buy	03/01/19	J		
46. -iShares Russell 200 GRWTH ETF (Mutual Fund)	A	Dividend	J	T	Sold (part)	08/27/19	J	A	
47. -iShares Russell Midcap G ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
48.					Sold (part)	08/27/19	J	A	
49. -iShares Russell Midcap V ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
50.					Sold (part)	03/05/19	J	A	
51. -iShares S&P 500 Growth (Mutual Fund)	A	Dividend	L	T	Sold (part)	01/25/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Sold (part)	03/07/19	J	A	
53.					Sold (part)	03/08/19	K	A	
54.					Sold (part)	08/27/19	J	A	
55. -iShares S&P 500 Val (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
56.					Buy	07/19/19	J		
57. -iShares S&P Mid-Cap 400 G ETF (Mutual Fund)	A	Dividend	K	T	Sold (part)	03/08/19	J	A	
58.					Sold (part)	08/27/19	J	A	
59. -iShares Small Cap 600 Index	A	Dividend	J	T	Buy	01/25/19	J		
60. -MSDW Liq. Asset (Money market fund)	A	Dividend	J	T					
61. -Oppenheimer Ltd Term BDY (Mutual Fund)	A	Dividend			Sold	01/25/19	J	A	
62. -Pimco Foreign Bd US \$ Hedged P (Mutual Fund)	A	Dividend	J	T	Sold (part)	08/27/19	J	A	
63. -Pimco 1-5 Yr U.S. TIPX IDX FD (Mutual Fund)	A	Dividend	J	T	Sold (part)	08/27/19	J	A	
64. -Pimco Income P (Mutual Fund)	B	Dividend	K	T	Buy	03/08/19	J		
65.					Sold (part)	08/27/19	J	A	
66. -Pioneer MLTI Ast Ult Sht	A	Dividend	K	T	Buy	03/08/19	K		
67.					Sold (part)	08/27/19	J	A	
68. -Principal GLB REL EST (POSIX) (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	

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3 Value Method Codes (See Column C2)					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -Tortoise MLP & Pipeline (TORIX)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
70. -The Financial Sel Sect SPDR FD (Mutual Fund)	A	Dividend	J	T	Buy	01/25/19	J	A	
71. -Utilities Sel Sect SPDR Fund (XLU) (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
72. -Vanguard Info Tech (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
73.					Sold (part)	03/07/19	J	A	
74. -Vanguard REIT ETF (Mutual Fund)	B	Dividend			Sold	03/08/19	K	A	
75. -Vanguard SHR-TM Infat Protec (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
76. -Vanguard Value ETF (Mutual Fund)	B	Dividend	M	T	Buy	03/08/19	K	F	
77.					Sold (part)	08/27/19	J	A	
78. -Vanguard FTSE Dev. Mkts E (Mutual Fund)	A	Dividend	K	T	Buy	01/25/19	J		
79.					Sold (part)	07/19/19	J	A	
80. -Vanguard SHR-TM Inflation Protec (Mutual Fund)	A	Dividend	J	T	Sold (part)	08/27/19	J	A	
81. -Vanguard Small Cap Indx Inv (Mutual Fund)	A	Dividend			Sold	01/25/19	J	A	
82. -Virtus Insight Emerg Mkts (Mutual Fund)	A	Dividend			Sold	01/02/19	K	A	
83. -Virtus NWFLT MLTSEC SHTM	B	Dividend	K	T	Buy	01/25/19	J		
84.					Sold (part)	08/27/19	J	A	
85. -Virtus Vontobel Emry Mkt	A	Dividend	K	T	Buy	01/25/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Sold (part)	03/07/19	J	A	
87.					Sold (part)	03/08/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Lungstrum, John W.

Date of Report

05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John W. Lungstrum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544