

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Audero, Maria A.	2. Court or Organization U.S. District Court, Central District of California	3. Date of Report 05/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 255 East Temple Street Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2011-2018	Paul Hastings LLP pension plans; firm-managed asset selection
2. 2011-2018	Paul Hastings LLP voluntary savings plan
3. 2011-2018	Paul Hastings LLP partnership agreement

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting	Date of Report
Audero, Maria A.	05/06/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 11/05/19	Paul Hastings Voluntary Savings Plan	\$1,258.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Audero, Maria A.	05/06/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cash accounts (3) - Bank of America and Merrill	A	Interest	M	T					
2. ALLIANCEBERNSTEIN: AB INTERNATIONAL VALUE FUND CL R		None			Sold	07/31/19	K		
3. AMERICAN FUNDS: AMERICAN CAPITAL WORLD GROWTH & INC FD CL R2	A	Dividend			Sold	07/31/19	K		
4. AMERICAN FUNDS: AMERICAN GROWTH FUND OF AMERICA FD CL R2		None			Sold	07/31/19	L		
5. CALAMOS: CONVERTIBLE FUND	A	Dividend			Sold	07/31/19	K		
6. COLUMBIA: CONTRARIAN CORE FUND CL R		None			Sold	07/31/19	K		
7. DELAWARE: EMERGING MARKETS FD CLASS R		None			Sold	07/31/19	K		
8. LORD ABBETT: SHORT DURATION INCOME FD CL R2	A	Dividend			Sold	07/31/19	K		
9. MFS: VALUE FD CL R2	A	Dividend			Sold	07/31/19	L		
10. NUVEEN: SMALL CAP VALUE FUND CL R3		None			Sold	07/31/19	K		
11. PUTNAM: CAPITAL SPECTRUM FUND CL R		None			Sold	07/31/19	K		
12. PUTNAM: DIVERSIFIED INCOME TRUST FUND CL R	B	Dividend			Sold	07/31/19	K		
13. THORNBURG: INVESTMENT INCOME BUILDER FD CL R3	A	Dividend			Sold	07/31/19	K		
14. THORNBURG: LIMITED TERM INCOME FD CL R3	A	Dividend			Sold	07/31/19	L		
15. VOYA: FLOATING RATE FUND CLASS R	B	Dividend			Sold	10/22/19	K		
16. WESTERN ASSET: CORE PLUS BOND FD CL R	A	Dividend			Sold	07/31/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17. CASH ACCOUNT - Merrill Lynch (Y)									
18. AMERICAN CENTURY: EQUITY INCOME FUND CL I	B	Dividend	K	T	Buy (add'l)	07/31/19	K		
19. BLACKROCK: GLOBAL LONG SHORT CREDIT FUND CL I		None			Sold	07/31/19	J		
20. BOSTON PARTNERS: LONG / SHORT RESEARCH FD INSTL		None			Sold	07/31/19	J		
21. DELAWARE: SMALL CAP CORE FUND INSTL CL		None			Sold	07/31/19	J		
22. FIRST EAGLE: GOLD FUND CL I	A	Dividend	K	T	Buy (add'l)	07/31/19	K		
23. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend			Sold	07/31/19	J		
24. FIDELITY: ADVISOR REAL ESTATE INCOME FUND CL I	A	Dividend	J	T	Buy (add'l)	07/31/19	J		
25. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend	K	T	Buy (add'l)	07/31/19	K		
26. NATIXIS: GATEWAY FUND CL Y	A	Dividend	J	T	Buy (add'l)	07/31/19	K		
27. VOYA: FLOATING RATE FUND CLASS I	A	Dividend			Sold	07/31/19	J		
28. CASH ACCOUNT - Merrill Lynch (Y)									
29. TORTOISE MLP & ENERGY INCOME FUND CLASS I (Name change from Advisory	A	Dividend	K	T	Buy (add'l)	07/31/19	J		
30.					Buy (add'l)	11/06/19	J		
31. JAMES ALPHA: GLBL REAL EST INVEST FUND CLASS I	A	Dividend	K	T	Buy (add'l)	07/31/19	J		
32. JOHN HANCOCK: STRATEGIC INCOME OPP FUND CL I	A	Dividend			Sold	07/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
33. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend			Sold	01/31/19	J		
34. MFS: INTERNATIONAL DIVERS IFICATION FUND CL I		None			Sold	07/31/19	J		
35. WESTERN ASSET: CORE PLUS BOND FD CL I	A	Dividend			Sold	07/31/19	J		
36. CALAMOS MARKET NEUTRAL	A	Dividend	J	T	Sold (part)	01/11/19	J		
37. CALIFORNIA ST GO BDS - OCT12 05.000%SEP01 2021	A	Interest	K	T					
38. CALIFORNIA ST GO VARIOUS PURPOSE BDS 2017 SEP17 04.000%NOV01 2019	A	Interest			Redeemed	11/01/19	J		
39. CALIFORNIA ST VAR PURP GO REF BDS NOV12 05.000%SEP01 2024	A	Interest	J	T					
40. CALIFORNIA ST VAR PURP GO AND GO REF BDS SEP11 05.250%SEP01 2023	A	Interest	J	T					
41. LOS ANGELES CALIF GO BDS 2000 SER A PRF21 JUL11 05.000%SEP01 2026	A	Interest	J	T					
42. U.S. TREASURY NOTE 1.250% MAR 31 2019 01.250% MAR 31 2019	A	Interest			Redeemed	04/01/19	J		
43. UNIVERSITY CALIF REVS REV BDS SER U JUL10 05.000%MAY15 2021	A	Interest	J	T					
44. ANGEL OAK: MULTI STRATEGY INCOME FUND CL INSTL	A	Dividend	J	T	Sold (part)	01/11/19	J		
45. ABERDEEN: ULTRASHORT MUNI INCOME FUND CL INSTL	A	Dividend			Sold	01/07/19	J		
46. BLACKSTONE: ALT MULTI STRATEGY FUND CLASS I		None			Sold	01/07/19	J		
47. CALAMOS: MARKET NEUTRAL INCOME FD CL I	A	Dividend	K	T	Buy (add'l)	07/31/19	K		
48. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend			Sold	01/07/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
49. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend			Sold	01/11/19	J		
50. GOLDMAN SACHS: DYNAMIC MUNI INCOME FUND INST CL	A	Dividend	J	T	Sold (part)	01/11/19	J		
51.					Buy (add'l)	07/30/19	J		
52. NATIXIS: GATEWAY FUND CL Y	A	Dividend			Sold	01/07/19	J		
53. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend			Sold	01/11/19	J		
54. LOOMIS SAYLES: SR FLOAT RTE & FIXD INC FD CL Y	A	Dividend			Sold	01/11/19	J		
55. AMERICAN FUNDS: AMERICAN LTD TRM TAX EX BD OF FD AMER FUND CL F2	A	Dividend			Sold	01/11/19	J		
56. BLACKROCK: CALIF MUNI OPPORTUNITIES FD CL INST	A	Dividend	J	T	Sold (part)	01/31/19	J		
57. NUVEEN: SHORT DURATION HI YLD MUNI BD FD CLASS I	A	Dividend	J	T	Sold (part)	01/11/19	J		
58. JP MORGAN: TAX AWARE REAL RETURN FUND CL I		None			Sold	01/07/19	J		
59. COHEN & STEERS PREFERRED SEC & INCOME CL I	A	Dividend	K	T	Buy	01/31/19	J		
60.					Buy (add'l)	07/31/19	J		
61.					Buy (add'l)	11/06/19	J		
62. ALGER SMALL CAP FOCUS FUND CLASS Z	A	Dividend	J	T	Buy	07/31/19	K		
63. COLUMBIA DIVIDEND INCOME FUND CL INSTL	A	Dividend	K	T	Buy (add'l)	07/31/19	K		
64. DELAWARE EMERGING MARKETS FD CL INSTL	A	Dividend	K	T	Buy	07/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
65. DOUBLELINE TOTAL RETURN BOND FUND CL I	A	Dividend	K	T	Buy	07/31/19	K		
66. FIRST EAGLE OVERSEAS FUND CL I	B	Dividend	K	T	Buy	07/31/19	K		
67. JOHN HANCOCK INTERNATIONAL GROWTH FUND CL I	A	Dividend	K	T	Buy	07/31/19	K		
68. MFS MID CAP GROWTH FD CL I	A	Dividend	K	T	Buy	07/31/19	K		
69. MORGAN STANLEY GLOBAL FRANCHISE PORT CL I	A	Dividend	K	T	Buy	11/06/19	K		
70. MORGAN STANLEY GROWTH PORTFOLIO CL I	B	Dividend	K	T	Buy	07/31/19	K		
71. PGIM GLOBAL TOTAL RETURN FUND CL Z	B	Dividend	K	T	Buy	07/31/19	K		
72. PUTNAM ULTRA SHORT DURATION INCOME FD CL F	A	Dividend	K	T	Buy	07/31/19	K		
73. SHENKMAN CPTL SHORT DURATION HI INC FD CL F	A	Dividend	K	T	Buy	07/31/19	K		
74. T ROWE PRICE EQUITY INDEX 500 FUND INV CL	A	Dividend	L	T	Buy	07/31/19	L		
75. U.S TREASURY NOTE 1.625% AUG 31 2022		None	K	T	Buy	11/16/19	K		
76. FEDERAL FARM CREDIT BANK CALLABLE BONDS 2.37 APR 10 2023	A	Interest		T	Buy	07/30/19	K		
77.					Redeemed	10/10/19	K		
78. U.S TREASURY BILL ZERO% DEC 26 2019	A	Interest		T	Buy	07/17/19	K		
79.					Redeemed	12/26/19	K		
80. COHEN & STEERS PREFERRED SEC & INCOME CL I	A	Dividend	J	T	Buy	07/30/19	J		
81. DOUBLELINE TOTAL RETURN BOND FUND CL I	A	Dividend	J	T	Buy	01/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
82.					Buy (add'l)	07/30/19	J		
83. PGIM GLOBAL TOTAL RETURN FUND CL Z	A	Dividend	J	T	Buy	03/21/19	J		
84.					Buy (add'l)	07/30/19	J		
85. WELLS FARGO STRATEGIC MUNICIPAL BOND FD INSTL	A	Dividend	J	T	Buy	06/04/19	J		
86. MAINSTAY MACKAY CA TAX FREE OPPS FD CL I	A	Dividend	J	T	Buy	03/21/19	J		
87.					Buy (add'l)	07/30/19	J		
88. FIDELITY ADVISOR NEW MARKETS INCOME CL I	A	Dividend	J	T	Sold (part)	01/11/19	J		
89.									
90.									
91.									
92.									
93.									
94.									
95.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Audero, Maria A.	05/06/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part III.A: Non-Investment Income:

The distribution I received this year from the Paul Hastings Voluntary Savings Plan was \$1,258.00. This does not reconcile with the amount stated in my 2019 tax return of \$4,425.00. The difference of \$3,167.00 reflects an accounting adjustment made by Paul Hastings to reflect an erroneous entry made into my account in 2018.

In Section VI:

Line 1: I merged three cash accounts as I understand it is no longer necessary to distinguish between IRA cash and non-IRA cash accounts. Also, Bank of America owns Merrill Lynch, so all accounts are together. I noted the two Merrill Lynch accounts as "(Y)" and will delete from the spreadsheet altogether in the 2020 Report. Individually, the three accounts were reportable as follows:

Bank of America Cash (row 1): B1 = A, B2 = Interest, C1 = J, C2 = T

Merrill Lynch Non-IRA Cash (row 17): B1 = A, B2 = Interest, C1 = J, C2 = T

Merrill Lynch IRA Cash (row 28): B1 = A, B2 = Interest, C1 = M, C2 = T

Line 29: what is now called "TORTOISE MLP & ENERGY INCOME FUND CLASS I" is a name change to the last report's "ADVISORY RESEARCH"

Line 88: the account on this line "FIDELITY ADVISOR NEW MARKETS INCOME CL I" was inadvertently left off the prior year's report

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Audero, Maria A.

Date of Report

05/06/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maria A. Audero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544