

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Olson, John K.	2. Court or Organization Florida Southern - Bankruptcy	3. Date of Report 06/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 02/09/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address US Courthouse 299 E Broward Blvd Ft Lauderdale, FL 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Steven Fender, PA
2. 2020	Steven Fender, PA
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Business Law Section, The Florida Bar	Jan 16-19, 2019	Orlando, FL	Section Meeting	Transportation, meals, room
2.	American Bankruptcy Institute	February 5-7, 2019	Tampa, FL	Paskay Seminar	Transportation, meals, room
3.	Business Law Section	Mar 5-15, 2019	Israel	Section Executive Council Meeting	Transportation, meals, room
4.	Business Bankruptcy Committee, Business Law Section, American Bar Association	Mar 26-Apr 2, 2019	Vancouver, BC, Canada	Section Meeting	Transportation, meals, room

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5.	Bankruptcy Bar Assoc, SD Florida	May 9-12, 2019	Key Largo, FL	Annual Retreat	Transportation, meals, room
6.	Business Law Section, The Florida Bar	Jun 26-28, 2019	Boca Raton, FL	Annual Meeting	Transportation, meals, room
7.	Business Law Section, American Bar Assoc	Sept 11-14, 2019	Washington, DC	Annual Meeting	Transportation, meals, room
8.	George Mason University	Sept 29-Oct 1, 2019	Arlington, VA	Seminar Law and Economics - Consumer Protection	Transportation, meals, room
9.	National Conf Bankruptcy Judges	Oct 29-Nov 2, 2019	Washington, DC	Annual Meeting	Meals, room
10.	Business Bankruptcy Committee, Business Law Section, American Bar Assoc	Oct 29-Nov 2, 2019	Washington, DC	Committee Meeting	Transportation, meals, room
11.	Business Law Section, The Florida Bar	Nov7-8, 2019	Miami, FL	View from the Bench Seminar	Transportation, meals, room
12.	Boston College Law School	Dec 3, 2019	Miami, FL	Reception	Transportation, meals
13.	Bankruptcy Bar Assn, SD Florida	Jan 29, 2020	Ft Lauderdale, FL	Retirement dinner	Meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Raymond James Brokerage Account cash balance	A	Interest	J	T					
2. -RJ Bank	A	Interest	J	T					
3. Account 1636									
4. -LDLFX	B	Int./Div.			Sold	12/13/19	K	D	
5. -PONPX	D	Int./Div.			Sold	12/13/19	K	C	
6. -EFA	A	Int./Div.			Sold	12/13/19	J	A	
7. -JKE	A	Int./Div.			Buy (add'l)	12/13/19	L		
8. -NCIAX	E	Int./Div.	K	T	Buy	12/13/19	K		
9. -PFPNX	E	Int./Div.	K	T	Buy	12/13/19	K		
10. -QQEW	F	Int./Div.	L	T	Buy	12/13/19	L		
11. ACCOUNT M542									
12. -QQEW	A	Int./Div.	K	T	Buy	11/05/19	K		
13. -JKE	A	Int./Div.	K	T	Buy	02/05/20	K		
14. -RJ BANK	A	Interest	J	T					
15. -QQQ	A	Interest			Sold	11/05/19	K	B	
16. -LDLFX	A	Int./Div.			Sold	12/13/19	K	A	
17. -PONPX	A	Int./Div.			Sold	12/13/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -XMLV	A	Int./Div.			Sold	12/13/19	J	A	
19. INVESCO AMERICAN FRANCHISE FUND B	A	Int./Div.	J	T					
20. INVESCO AMERICAN FRANCHISE FUND A	A	Int./Div.	K	T					
21. INVESCO GLOBAL CORE EQUITY FUND	A	Int./Div.	K	T					
22. AQR MULTI STRATEGY ALT FUND	A	Int./Div.	K	T					
23. BBH CORE SELECT FUND	B	Int./Div.	L	T					
24. DGHM ALL CAP VALUE FUND	A	Int./Div.	K	T					
25. ETRACS ALERIAN MLP ETN	B	Int./Div.	K	T					
26. FEDERATED STRAT VAL DIV IS	B	Int./Div.	K	T					
27. FPA CRESCENT FUND	A	Int./Div.	K	T					
28. PIMCO COMMOD RR STRATEGIC FUND	A	Int./Div.	J	T					
29. RIVERPARK/WEDGEWOOD INS	A	Int./Div.	K	T					
30. SCHWAB FUNDAMENTAL US LARGE CAP INDEX	B	Int./Div.	L	T					
31. TPS MARKET NEUTRAL FUND	A	Int./Div.	L	T					
32. VAUGHN NELSO VALUE OPP-Y	A	Int./Div.	L	T					
33. JOHCM INTL SEL-I	A	Int./Div.	K	T					
34. AVENUE CREDIT STRATEGIES FUND	B	Int./Div.	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. JP MORGAN CORE BOND FUND	A	Interest	J	T					
36. NATIXIS LOOMIS SAYLES INVESTMENT GRADE BOND FUND	B	Interest	K	T					
37. OSTERWEIS STRATEGIC INCOME FUND	B	Interest	K	T					
38. PIMCO ALL ASSET ALL AUTH FUND	B	Interest	K	T					
39. TEMPLETON GLOBAL BOND FUND	A	Interest	J	T					
40. ENERGY INCOME & GROWTH FUND	A	Int./Div.	J	T					
41. EATON VANCE TAX ADV GLOBAL DIV OPPTY FUND	A	Int./Div.	J	T					
42. ISHARES INC AUSTRALIA INDEX FUND	A	Int./Div.	J	T					
43. ISHARES INC GLOBAL TELECOM SEC INDEX FUND	A	Int./Div.	J	T					
44. ISHARES INC CDA INDEX FUND	A	Int./Div.	J	T					
45. MARKET VECTORS TRUST AGRIBUSINESS EFT	A	Int./Div.	J	T					
46. SPDR SECTOR SBI ENERGY FUND	A	Int./Div.	J	T					
47. SPDR SECTOR SERIES TR S&P OIL & GAS EQUI[T SVCS ETF	A	Int./Div.	J	T					
48. SPDR SERIES TR S&P METALS & MINING	A	Int./Div.	J	T					
49. VANGUARD DIV APPREC ETF	A	Int./Div.	J	T					
50. NUVEEN SEL MATURITIES MUNI FUND	A	Interest	J	T					
51. VANGUARD SHORT TERM TAX EXEMPT FUND	A	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. UBS CASH FUND	A	Interest	J	T					
53. T	A	Int./Div.	J	T					
54. ADP	A	Int./Div.	J	T					
55. BR	A	Int./Div.	J	T					
56. EXBO	A	Int./Div.	J	T					
57. ECA	A	Int./Div.	J	T					
58. INFY	A	Int./Div.	J	T					
59. SAYCY	A	Int./Div.	J	T					
60. SLB	A	Int./Div.	J	T					
61. SBCF	A	Int./Div.	J	T					
62. WIT	A	Int./Div.	J	T					
63. GCH	A	Int./Div.	J	T					
64. EFA	A	Int./Div.	J	T					
65. EEM	A	Int./Div.	J	T					
66. CVTCX	A	Int./Div.	J	T					
67. VEECX	A	Int./Div.	J	T					
68. TEGBX	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. PTTCX	A	Int./Div.	J	T					
70. GYB	A	Int./Div.	J	T					
71. FESGX	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John K. Olson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544