

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gan, Scott H.	2. Court or Organization United States Bankruptcy Court-Arizona	3. Date of Report 05/01/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address James A. Walsh Courthouse 38 South Scott Avenue Tucson, AZ 85701-1704		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Gan, Scott H.	05/01/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gan, Scott H.	05/01/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. JPMorgan Chase Bank (cash)	A	Interest	K	T					
3. BBVA Compass Bank (cash)		None	J	T					
4. TRUST #1 (H)									
5. FIDELITY GOVERNMENT CASH RESERVES (cash)	D	Dividend	N	T					
6. POLEN GLOBAL GROWTH INSTITUTIONAL CLASS (PGIHX)	A	Dividend	M	T	Buy	03/11/19	L		
7. HARDING LOEVNER GLBL EQUITY PORT INSTL (HLMVX)	A	Dividend	M	T	Buy	03/11/19	L		
8. NUVEEN SANTA BARBARA DIV GROWTH FD CL I (NSBRX)	D	Dividend	M	T	Buy	03/11/19	M		
9. THORNBURG GLOBAL OPPT FD INSTL CL (THOIX)	B	Dividend	M	T					
10. AT&T INC COM USD1 (T)	A	Dividend			Sold	02/25/19	K		
11. ALPHABET INC CAP STK CL A (GOOGL)		None			Sold	02/25/19	K	E	
12. AMAZON.COM INC (AMZN)		None			Sold	02/25/19	L	F	
13. AMERICAN INTL GROUP INC COM NEW (AIG)		None			Sold	02/25/19	K	B	
14. APPLE INC (AAPL)	A	Dividend			Sold	02/25/19	K	B	
15. BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)		None			Sold	02/25/19	K	B	
16. CVS HEALTH CORP COM (CVS)	A	Dividend			Sold	02/25/19	J		
17. CHEVRON CORP NEW COM (CVX)	A	Dividend			Sold	02/25/19	L	B	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. CISCO SYS INC COM (CSCO)	A	Dividend			Sold	02/25/19	K	B	
19. CONOCOPHILLIPS (COP)	A	Dividend			Sold	02/25/19	J	C	
20. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	02/25/19	K		
21. JOHNSON &. JOHNSON (JNJ)		None			Sold	02/25/19	J	C	
22. LILLY ELI & CO COM NPV (LLY)	A	Dividend			Sold	02/25/19	J	D	
23. MERCK & CO INC NEW COM (MRK)	A	Dividend			Sold	02/25/19	K	D	
24. MICROSOFT CORP (MSFT)	A	Dividend			Sold	02/25/19	L	D	
25. ORACLE CORP COM (ORCL)	A	Dividend			Sold	02/25/19	K	D	
26. PFIZER INC (PFE)	A	Dividend			Sold	02/25/19	K	D	
27. PHILLP MORRIS INTL INC COM (PM)	A	Dividend			Sold	02/25/19	K	A	
28. PROCTER AND GAMBLE CO COM (PG)	A	Dividend			Sold	02/25/19	L	E	
29. VERIZON COMMUNICATION (VZ)	A	Dividend			Sold	02/25/19	K	C	
30. CHANDLER ARIZ GO BDS SER 2017 (158843XD9)	B	Interest	L	T					
31. CHANDLER ARIZ EXCISE TAX REV OBLIGS SER (158855EH5)		None	K	T	Buy	12/04/19	K		
32. PIMA CNTY ARIZ SWR REV SWR SYS REV (721876TWO)	A	Interest	M	T	Buy	04/24/19	M		
33. SCOTTSDALE ARIZ MUN PPTY CORP EXCISE TAX (810489RG1)	B	Interest	L	T					
34. GILBERT ARIZ WTR RES MUN PPTY CORP UTIL (37530AAE8)	B	Dividend	L	T					

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35. PIMA CNTY ARIZ SWR REV SWR SYS REV (721876TX8)	A	Interest	M	T	Buy	04/24/19	M		
36. SCOTTSDALE ARIZ GO BDS PROJECTS OF 2015 (810454AX6)	B	Interest	L	T					
37. CHANDLER ARIZ EXCISE TAX REV OBLIGS SER (158855EJI)		None	M	T	Buy	12/04/19	M		
38. SALT RIV PROJ AGRIC IMPT&PWR DIST ARIZ	B	Interest	M	T	Buy	03/14/19	M		
39. MARICOPA CNTY ARIZ UN HIGH SCH DIS NO (567505NH1)		None	L	T	Buy	12/19/19	L		
40. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MR3)	C	Dividend	M	T					
41. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MS1)	C	Dividend	M	T					
42. ARIZONA ST TRANSN BRD EXCISE TAX REV (040649MT9)	C	Dividend	M	T					
43. IRA #1 (H)									
44. FIDELITY GOVERNMENT CASH RESERVES (cash)	C	Interest	M	T					
45. AT&T INC COM USD1 (T)	A	Dividend			Sold	02/25/19	J		
46. ALPHABET INC CAP STK CL A (GOOGL)		None			Sold	02/25/19	J	A	
47. APPLE INC (AAPL)	A	Dividend			Sold	02/25/19	K	D	
48. BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)		None			Sold	02/25/19	K	B	
49. CHEVRON CORP NEW COM (CVX)		None			Sold	02/25/19	J	B	
50. CONOCOPHILLIPS (COP)		None			Sold	02/25/19	J	D	
51. JOHNSON &. JOHNSON (JNJ)		None			Sold	02/25/19	J	B	

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52. OCCIDENTAL PETROLEUM CORP (OXY)	A	Dividend			Sold	02/25/19	J		
53. PFIZER INC (PFE)		None			Sold	02/25/19	K	D	
54. PHILLP MORRIS INTL INC COM (PM)	A	Dividend			Sold	02/25/19	K	A	
55. ALLSTATE CORP DEP SH .PFD (ALLPRE)	A	Dividend			Sold	02/25/19	J	A	
56. JPMORGAN CHASE & CO DEP PFD P (JMPRA)		None			Sold	02/25/19	J		
57. REGIONS FINL CORP NEW DEP SHS REPSTG (RFPRA)		None			Sold	02/25/19	J	A	
58. ZIONS BANCORPORATION DEP SHS REPSTG (ZBPRG)		None			Sold	02/25/19	J	B	
59. OTHER HOLDINGS (H)									
60. Fremont Street LLC	D	Distribution	K	U					
61. IP Building LLC	D	Distribution	K	U					

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(See Columns B1 and D4)

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Gan, Scott H.	05/01/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gan, Scott H.

Date of Report

05/01/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott H. Gan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544