

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Daly, Reona J.	2. Court or Organization U.S. District Court Southern District of Illinois	3. Date of Report 06/09/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 301 West Main Street Benton, IL 62812		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Self-employed CPA/Attorney
2. 2019	Family farm
3. 2019	Vice President/General Counsel--Southern Illinois Healthcare
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 180 acres farm land in Christian County, IL	E	Rent	P1	W	Buy	11/5/19	K		Rick White
2. Checking account, First Southern Bank	A	Interest	K	T					
3. 401k (Southern Illinois Healthcare)									
4. -JPMCB SmartRetirement 2030Fund CF	D	Dividend	J	T					
5. -JPMCB SmartRetirement 2050 Fund CF	A	Dividend	N	T					
6. IRA (Wells Fargo)									
7. -Growth Fund of America	C	Dividend	L	T					
8. -New Perspective Fund A	B	Dividend	L	T					
9. -New World Fund Class A	B	Dividend	J	T					
10. Roth IRA									
11. -Vanguard Total Stock Market ETF	B	Dividend	K	T					
12. IRA (Wells Fargo)									
13. -Deutsche Secs TR Enhanced Commodity Strat Fd Instl Class	A	Dividend			Sold	08/06/19	J	A	
14. -Deutsch Secs Tr. Global R/E Secs Fd Instl Class	A	Dividend			Sold	08/06/19	J	A	
15. -Cohen & Steers Realty Sh.	A	Dividend			Sold	08/06/19	J	A	
16. -American Funds-The Bond Fund of America	A	Dividend	K	T					
17. -American Funds-Growth Fund of America	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -JP Morgan High Yield	A	Dividend			Sold	10/07/19	J	A	
19. -Gabelli Equity Ser Fd.	A	Dividend			Sold	05/22/19	J	A	
20. -Goldman Sachs Treasury Finl Square Treas	A	Dividend	J	T	Buy (add'l)	05/23/19	J	A	
21. -American Funds-New World Fund C2	A	Dividend			Buy	05/23/19	J		
22. -American Funds-Washington Mutual Fd. F2	A	Dividend	K	T					
23. -John Hancock Funds III-Disciplined Value Mid Cap Fund	A	Dividend	J	T					
24. -JPMorgan Funds-Intrepid Value Fund	A	Dividend			Sold	05/22/19	J	A	
25. -American Funds-Europacific Growth Fund	A	Dividend	J	T	Sold (part)	05/22/19	J	A	
26. -Oppenheimer Dev Markets CI Y	A	Dividend	K	T					
27. -Pimco Funds-Pac Investment Mgmt Ser. Emerging Markets	A	Dividend	J	T					
28. -Prudential-Jennison Mid-Cap Growth Fund	A	Dividend			Sold	05/22/19	J	A	
29. -Small Cap World Fund	A	Dividend	J	T					
30. -T Rowe Price-Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	05/22/19	J	A	
31. -Artisan Funds-Artisan Small Cap Fund Advisor class shares	A	Dividend	J	T	Sold (part)	01/11/19	J	A	
32.					Sold (part)	04/12/19	J	A	
33.					Sold (part)	05/22/19	J	A	
34. -Delaware Value Inst. Fund	A	Dividend	J	T	Buy	05/22/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Wells Fargo Special Small Cap Value	A	Dividend	K	T	Buy	05/22/19	J		
36. -PGIM High Yield Cl. 2	A	Dividend	J	T	Buy	10/07/19	J		
37. -T. Rowe Price Mid-Cap Growth	A	Dividend	J	T	Buy	05/22/19	J		
38. Vanguard Mutual Fund-Wellesley Income Fund	A	Dividend	K	T					
39. Fidelity Mutual Fund-Fidelity Emerging Asia Fund	A	Dividend	K	T					
40. ESA college funds (America Funds)									
41. -AMCAP Fund Inc. Class A	A	Dividend	K	T					
42. -American Balanced Fund Class A	A	Dividend	L	T					
43. -Growth Fund of America Class A	A	Dividend	L	T					
44. 529 college accounts (American Funds)									
45. -AMCAP 529 fund Class A	A	Dividend	K	T					
46. -Capital World Bond 529 Class A	A	Dividend	J	T					
47. -Capital World Growth & Income Fund Class 529-A	A	Dividend	K	T					
48. -Fundamental Investors Class 529-A	A	Dividend	K	T					
49. -Income Fund of America 529 Class A	A	Dividend	K	T					
50. -AF Moderate Growth	A	Dividend	L	T					
51. -AF Balanced 529 Class A	A	Dividend	K	T	Buy	01/22/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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52. Daly Property Mgt., Inc. (25% owner)	A	Interest	K	T					
53. American General Life Insurance Company (f/k/a Franklin Insurance)									
54. -Presidential Annuity-Single Prem Deferred Annuity (guaranteed rate)	C	Interest	L	T					
55. State Farm Custodian Account-Growth Fund (STFGX)	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Reona J. Daly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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