

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Royal, Charles A.	2. Court or Organization U.S. Middle District - Georgia	3. Date of Report 11/18/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U. S. Courthouse 475 Mulberry Street Macon, GA 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Executor	Estate #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	West Services, Inc. (Book royalties)	\$3,819.31
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	First Presbyterian Day School - Math Teacher
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life (Whole Life policy)	A	Dividend	L	T					
2. SunTrust Retirement Reserve Fund	A	Int./Div.	N	T					
3. MetaMorphix, Inc.		None	J	T					
4. Polywad, Inc.		None	J	T					
5. SunTrust Account #3		None	J	T					
6. SunTrust Account #4		None	L	T					
7. Estate #1		None	J	T					
8. IShares, Inc. - MSCI Brazil	A	Dividend	J	T					
9. IShares Inc MSCI Singapore	A	Dividend	J	T					
10. IShares Inc MSCI Sweden	B	Dividend	K	T					
11. TICC Capital Corp	C	Dividend	J	T	Sold (part)	10/16/14	K	C	
12. Annaly Capital Mgmt Inc	C	Dividend	K	T					
13. Legacy RESVS	B	Dividend	J	T					
14. AT & T Inc	C	Dividend	L	T					
15. Centurylink Inc	B	Dividend	K	T					
16. Verizon Communications	B	Dividend	K	T					
17. Northwestern Corp	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Firstenergy Corp	B	Dividend			Sold	08/07/14	K	A	
19. Pioneer Natural Resources	A	Dividend	K	T					
20. Southern Company	B	Dividend	K	T					
21. Brookfield Infrastructure Partners	B	Dividend	K	T					
22. Seagate Technology	B	Dividend			Sold	08/07/14	L	D	
23. Annaly Capital Mgmt, Inc.		None			Sold	08/07/14	K	A	
24. Antares Pharma, Inc.		None			Sold	08/07/14	K	B	
25. Apollo Invt. Corp.	A	Dividend	J	T	Sold (part)	07/10/14	J	A	
26. Conagra Foods, Inc.	A	Dividend	K	T					
27. Denbury Resources, Inc.	A	Dividend			Sold	10/16/14	J	A	
28. Federated Prime Obligation Fund/ Federated Investors, Inc.	A	Dividend	M	T					
29. Total SA Spons Adr	B	Dividend	L	T					
30. Estate #2		None	J	T					
31. Consolidated Edison, Inc.	C	Dividend	L	T					
32. Linn Energy LLC	B	Dividend			Sold	10/16/14	K	A	
33. Baron Small Cap (X)	A	Int./Div.	J	T					
34. Credit Suisse Comm Ret Strat (X)	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

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35. Adv Edgewood Gwth Fd Instl C1 (X)	A	Int./Div.	J	T					
36. Delaware Small Cap Value (X)	A	Int./Div.	J	T					
37. Hartford Growth Opportunities (X)	A	Int./Div.	J	T					
38. Invesco Charter (X)	A	Int./Div.	J	T					
39. Principal Midcap Fund C1 P (X)	A	Int./Div.	J	T					
40. Franklin Mutual Shares C1 Z (X)	A	Int./Div.	J	T					
41. Investment Co of America (X)	A	Int./Div.	J	T					
42. Morgan Stanley Glob Real Est (X)	A	Int./Div.	J	T					
43. Oppenheimer Rising Dividend (X)	A	Int./Div.	J	T					
44. T. Rowe Price Equity Income (X)	A	Int./Div.	J	T					
45. Dodge & Cox (X)	A	Int./Div.	J	T					
46. International Growth & Income (X)	A	Int./Div.	J	T					
47. MFS International Equity (X)	A	Int./Div.	J	T					
48. New World (X)	A	Int./Div.	J	T					
49. Scout International (X)	A	Int./Div.	J	T					
50. Bridge Builder Bond Fund (X)	A	Int./Div.	K	T					
51. Capital World Bond (X)	A	Int./Div.	J	T					

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52. Metropolitan West Ttl Red Bd (X)	A	Int./Div.	J	T					
53. PIMCO Total Return IV (X)	A	Int./Div.	J	T					
54. Prudential High Yield Fund Z (X)	A	Int./Div.	J	T					
55. Templeton Global Bond (X)	A	Int./Div.	J	T					
56. JP Morgan Fed Money Mkt (X)	A	Int./Div.	J	T					
57. Eastern MI Univ Build Amer Bds (X)	A	Interest	K	T					
58. Charleston Cnty SC Hosp Roper (X)	A	Interest	K	T					
59. VA St Hsg Dev Auth ComWith Mtg (X)	A	Interest	J	T					
60. SC Jobs Economic Dev Auth Hosp (X)	A	Interest	K	T					
61. In Mun Pwr Agy Pwr Supply Sys (X)	A	Interest	J	T					
62. American Balanced Fund CI A (X)	A	Dividend	L	T					
63. Amern High Incm Muni Bd Fd A (X)	A	Dividend	L	T					
64. Capital Income Builder Fund A (X)	A	Dividend	L	T					
65. Capital World Grw & Inc Fund A (X)	A	Dividend	M	T					
66. Eaton Vance SC Mun Incm Fd C (X)	A	Dividend	J	T					
67. Europacific Growth Fund CI A (X)	A	Dividend	J	T					
68. Franklin Adj US Govt Secs Fd A (X)	A	Dividend	J	T					

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69. Franklin Equity Income Fund A (X)	A	Dividend	J	T					
70. Franklin Founding Fd Alloc A (X)	A	Dividend	K	T					
71. Franklin Growth Series Class A (X)	A	Dividend	J	T					
72. Franklin Income Fund C1 A (X)	A	Dividend	J	T					
73. Franklin Mut Gbl Dscv Fd C1 A (X)	A	Dividend	J	T					
74. Franklin Mutual Shares Fund A (X)	A	Dividend	J	T					
75. Franklin Small Cap Value Fd A (X)	A	Dividend	J	T					
76. Franklin Total Return Fund A (X)	A	Dividend	K	T					
77. Franklin US Government Secs A (X)	A	Dividend	J	T					
78. Growth Fund of America C1 A (X)	A	Dividend	K	T					
79. Hartford Balanced Fund C1 A (X)	A	Dividend	K	T					
80. Hartford Cap Appreciation C1 A (X)	A	Dividend	K	T					
81. Hartford Disciplined Equity A (X)	A	Dividend	J	T					
82. Hartford Dividend & Growth A (X)	A	Dividend	K	T					
83. Hartford Gbl Cap Apprec Fd A (X)	A	Dividend	J	T					
84. Hartford Healthcare Fund C1 A (X)	A	Dividend	K	T					
85. Hartford Midcap Fund C1 A (X)	A	Dividend	K	T					

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86. Hartford Value Opptys Fd CI A (X)	A	Dividend	K	T					
87. Income Fund of America Fund A (X)	A	Dividend	L	T					
88. Invesco Global Growth Fd CI A (X)	A	Dividend	J	T					
89. Invesco Technology Fund CI A (X)	A	Dividend	J	T					
90. Investment Co of America Fd A (X)	A	Dividend	K	T					
91. New Perspective Fund CI A (X)	A	Dividend	L	T					
92. New World Fund CI A (X)	A	Dividend	K	T					
93. Templeton Growth Fund (X)	A	Dividend	J	T					
94. Washington Mutual Invest Fd (X)	A	Dividend	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS

Estate #1 - I have no beneficial interest in the estate. There are no assets owned by the estate. There have been no acquisitions, dispositions or capital gains. This response includes now and at all times during the reporting period.

Estate #2 - I have no beneficial interest in the estate. There are no assets owned by the estate. There have been no acquisitions, dispositions or capital gains. This response includes now and at all times during the reporting period.

VII. INVESTMENTS and TRUSTS

Provident Energy was bought out by Pembina Pipeline Corp. in April 2012. The shares given to Judge Royal were sold in June, 2012 and should not have been in the 2013 Financial Disclosure Report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Charles A. Royal

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544