

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mitchell, Katie N.	2. Court or Organization U.S. District Court, Eastern District of Texas	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge- full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 211 W. Ferguson St. Judge Mitchell's Chambers Tyler, TX 75702		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice President	T. John. Ward Inn of Courts
2. Treasurer	Federal Magistrate Judges Association
3. Board Member	Smith County Bar Association Board
4. Adjunct Professor	Baylor University School of Law
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Mitchell, Katie N.

Date of Report

05/05/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Baylor University Law School, teaching	\$2,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Van Independant School District
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federal Magistrate Judges Association	March 24-26, 2019	Washington, DC	Board of Directors Meeting	Transportation, meals, hotel
2.	Practicing Law Institute	April 24-26, 2019	San Francisco, CA	Patent/Litigation CLE (teaching)	Transportation, meals, hotel
3.	Baylor University School of Law	July 26- August 11, 2019	St. Andrews, Scotland	Law School Trial Advocacy Study Abroad Program (teaching)	Transportation, meals, hotel
4.	Federal Bar Assocaiton-Orange County Chapter	September 10-11, 2019	Newport Beach, CA	Patent/Litigation CLE (teaching)	Transportation, meals, hotel

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Mitchell, Katie N.

Date of Report

05/05/2020

5.	Eastern District of Texas Bench Bar Conference	September 22-25, 2019	Plano, TX	Bar Association Meeting	Transportation, meals, hotel
6.	Federal Magistrate Judges Association	October 2-4, 2019	Washington, DC	Patent/Litigation CLE (teaching)	Transportation, meals, hotel
7.	Practicing Law Institute	October 14-17, 2019	Chicago, IL	Patent/Litigation CLE (teaching)	Transportation, meals, hotel
8.	Practicing Law Institute	November 13-14, 2019	New York, NY	Patent/Litigation CLE (teaching)	Transportation, meals, hotel
9.	George Mason University Law and Economics Center	December 7-14, 2019	Honolulu, HI	Law and Economics Seminar (participant)	Transportation, meals, hotel

Name of Person Reporting	Date of Report
Mitchell, Katie N.	05/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. FirstMark Services	student loan	K
2. Navient	student loan	L
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Wells Fargo (cash accounts)	A	Interest	J	T					
2. Austin Bank (cash accounts)	A	Interest	J	T					
3. Baylor Univ. 403B Balanced Allocation I- GS2 (no control)	C	Interest	J	T					
4. Baylor Univ. 403B Growth Equity- GS4 (no control)	B	Interest	J	T					
5. Baylor Univ. 403B Small Cap Equity GS4 (no control)	B	Int./Div.	J	T					
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Mitchell, Katie N.

Date of Report

05/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts. I removed "Guidestone" from the Baylor retirement accounts because Guidestone no longer manages the accounts. They are currently managed by TIAA. I was told by the Financial Disclosures office that listing the company that managed the accounts was over reporting, and that I could simply remove it. Nothing about these retirement funds changed other than the managing company.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Mitchell, Katie N.

Date of Report

05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katie N. Mitchell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544