

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LEE, TOM S.	2. Court or Organization UNITED STATES DISTRICT COURT, SOUTHERN DISTRICT OF MS	3. Date of Report 08/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR UNITED STATES DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 501 East Court Street Suite 4.756 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. General Partner	Tom S. Lee Family Limited Partnership
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	State Employee Pension Fund; pension upon retirement age 65
2.	
3.	

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Name of Person Reporting

LEE, TOM S.

Date of Report

08/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2019

Advisory Board of Community Bancshares of MS, Inc.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
LEE, TOM S.	08/12/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. His Nationwide VA (H)									
2. Managed Risk Asset Allocation		None	N	T					
3. His IRA (H)									
4. New World	A	Dividend	K	T					
5. Bond Fund of America	C	Dividend	M	T					
6. Capital Income Builder	A	Dividend	K	T					
7. Growth Fund of America	A	Dividend	L	T					
8. Goldman Sachs Small Cap Growth	A	Dividend	K	T					
9. Inter. Bond Fund of America	B	Dividend	L	T					
10. Investment Company of America	B	Dividend	M	T					
11. Smallcap World	A	Dividend	L	T					
12. Washington Mutual Investors	B	Dividend	M	T					
13. His. Rev. Trust (H)									
14. New World	A	Dividend	K	T					
15. Bond Fund of America	C	Dividend	M	T					
16. Capital Income Builder	A	Dividend	K	T					
17. Growth Fund of America	A	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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18. Goldman Sachs Small Cap. Growth	A	Dividend	K	T					
19. Inter. Bond Fund of America	B	Dividend	L	T					
20. Investment Co. of America	A	Dividend	L	T					
21. Small Cap. World	A	Dividend	K	T					
22. Washington Mutual	A	Dividend	M	T					
23. His FLP (H)									
24. New World	A	Dividend	L	T					
25. Bond Fund of America	D	Dividend	M	T					
26. Capital Income Builder	B	Dividend	L	T					
27. Growth Fund of America	B	Dividend	M	T					
28. Goldman Sachs Small Cap Growth	A	Dividend	K	T					
29. Inter. Bond Fund of America	C	Dividend	M	T					
30. Investment Co. of America	B	Dividend	M	T					
31. Small Cap. World	A	Dividend	M	T					
32. Washington Mutual	B	Dividend	M	T					
33. Her IRA (H)									
34. Franklin Income	A	Dividend	J	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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35. Templeton Global Balanced	A	Dividend	J	T					
36. Her Rev. Trust (H)									
37. New World	A	Dividend	J	T					
38. Bond Fund of America	B	Dividend	L	T					
39. Capital Income Builder	A	Dividend	J	T					
40. Growth Fund of America	A	Dividend	K	T					
41. Goldman Sachs Small Cap. Growth	A	Dividend	J	T					
42. Inter. Bond of America	A	Dividend	K	T					
43. Investment Co. of America	A	Dividend	K	T					
44. Small Cap. World	A	Dividend	K	T					
45. Washington Mutual	A	Dividend	L	T					
46. Her VOYA VA (H)									
47. Invesco Equity and INcome		None	K	T					
48. Her VOYA VA II (H)									
49. Invesco Equity and Income		None	J	T					
50. His VOYA VA (H)									
51. Invesco Equity & Income		None	K	T					

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(See Columns B1 and D4)

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52. Her Prudential VA (H)									
53. Wellington Hedged Equity		None	L	T					
54. Advanced Strategies Portfolio		None	L	T					
55. Western Core Plus Bond		None	M	T					
56. His Prudential VA (H)									
57. Wellington Hedged Equity		None	L	T					
58. Advanced Strategies Portfolio		None	L	T					
59. Western Core Plus Bond		None	M	T					
60. Shares of Community Bancshares of MS, Inc.	E	Dividend	P1	T					
61. Land in Scott County, MS		None	K	W					
62. Phoenix Life (Whole Life)		None	M	T					
63. Community Bancshares of MS accounts	B	Interest	O	T					

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(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

LEE, TOM S.

Date of Report

08/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ TOM S. LEE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544