

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawrence, William T.	2. Court or Organization Southern District of Indiana	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge--Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 209 Birch Bayh Federal Building 46 E. Ohio St. Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1983	Indiana Public Employees Retirement Fund -- withdrew in favor of Indiana Judicial Retirement
2. 2002	Indiana Judicial Retirement -- Pension upon retirement
3.	

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Name of Person Reporting

Lawrence, William T.

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Spouse is self-employed at a vintage shop
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Lawrence, William T.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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Date of Report

05/14/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Indiana Members Federal Credit Union	A	Interest	J	T					
2. JP Morgan Chase Bank	A	Interest	J	T					
3. Fifth Third Bank Account	A	Dividend	J	T					
4. Bank of America	A	Interest	J	T					
5. Morgan Stanley Investment Accounts (H)									
6. -U.S. Treasury Bills Due 5/23/2019					Buy (add'l)	02/21/19	L		
7.					Redeemed	05/23/19	L	A	
8. -U.S. Treasury Bills Due 2/21/2019					Redeemed	02/21/19	L	A	
9. -U.S. Treasury Bills Due 8/15/2019					Redeemed	08/15/19	K	A	
10. -U.S. Treasury Bills Due 10/17/19					Buy	05/23/19	L		
11.					Redeemed	10/17/19	L	A	
12. --U.S. Treasure Bills Due 1/02/20			L	T	Buy	10/19/19	L		
13. --U.S. Treasury Bills Due 1/23/20			J	T	Buy	08/15/19	K		
14. -Inherited IRA (X)	D	Dividend	M	T					
15. -FUNYX	A	Dividend	K	T	Sold (part)	08/21/19	J	A	
16. -GIBIX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
17.					Sold (part)	08/26/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	12/03/19	J		
19.					Buy (add'l)	12/12/19	J		
20. -NWKDX	A	Dividend	L	T	Sold (part)	08/21/19	J	A	
21. -WMFFX	A	Dividend	K	T	Sold (part)	08/21/19	J	A	
22. -HFMIX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
23.					Buy (add'l)	12/12/19	J		
24.					Buy (add'l)	12/24/19	J		
25. -PIMSX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
26.					Sold (part)	08/26/19	K	A	
27. -PTSPX	A	Dividend	J	T					
28. -NBGIX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
29.					Buy (add'l)	12/20/19	J		
30. -BPRIX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
31.					Buy (add'l)	12/03/19	J		
32.					Buy (add'l)	12/12/19	J		
33.					Buy (add'l)	12/20/19	J		
34.					Buy (add'l)	12/24/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. -HLEMX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
36. -CAMIX	A	Dividend	K	T					
37. -PFTPX	A	Dividend	K	T	Sold (part)	08/21/19	J	A	
38.					Buy (add'l)	12/03/19	J		
39.					Buy (add'l)	12/24/19	J		
40. -FNGZX	A	Dividend	K	T	Sold (part)	08/21/19	J	A	
41. -BEXIX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
42. -TCVYX	A	Dividend	K	T	Sold (part)	05/23/19	J	A	
43. -LUBFX	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
44.					Sold (part)	08/26/19	K	A	
45. -PXQSX	A	Dividend	J	T					
46. -MSBNK	A	Interest	J	T					
47.									
48.									
49.									
50.									
51.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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O =\$500,001 - \$1,000,000
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C =\$2,501 - \$5,000
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T =Cash Market

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52.									
53.									
54.									
55.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This second amendment to my 2018 Report was required because an investment was inadvertently omitted. See Part VII, Line 47.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William T. Lawrence**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544