

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Rogers, III, Thomas E.	2. Court or Organization U.S. District Court, SC	3. Date of Report 07/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Post Office Box 2317 Florence, SC 29501		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Rogers, III, Thomas E.	07/24/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Rogers, III, Thomas E.	07/24/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 1.25 a Florence C (1/2011, \$10,000)		None	J	R					
2. Brokerage account # 1 (Marked as # 1)									
3. First Clearing, LLC - Cash balance		None	J	T					
4. # 1 - AT&T Inc.	A	Dividend	J	T					
5. # 1 - Synergy Pharmaceuticals Inc. (SGYP)		None			Sold	05/09/19	J	A	
6.		None			Sold	05/09/19	J	A	
7. # 1 - Verizon Communications Com	A	Dividend	J	T					
8. # 1 - SC Jobs Econ DV Ath Stdnt 4.25% 8/1/24 "Y"	A	Interest	J	T					
9. Brokerage account # 2 (Marked as # 2)									
10. # 2 - Cash balance - First Clearing, LLC	A	Int./Div.	J	T					
11. # 2 - Exxon Mobil Corp	A	Dividend	J	T					
12. Brokerage account # 3 (Marked as # 3)									
13. # 3 - Ameritrade MMDA Account - Cash balance	A	Int./Div.	J	T					
14. # 3 - AT&T Inc. Com	A	Dividend			Buy	10/09/19	J		
15.					Sold	10/11/19	J	A	
16. # 3 - Acasti Pharma Inc Com Cl A	A	Dividend			Buy	12/09/19	J		
17.					Sold	12/13/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy	12/11/19	J		
19.					Sold	12/16/19	J	A	
20.					Buy	12/16/19	J		
21.					Sold	12/17/19	J	A	
22.					Buy	12/16/19	J		
23.					Sold	12/20/19	J	A	
24.					Buy	12/16/19	J		
25.					Sold	12/26/19	J	A	
26. # 3 - Beyond Meat Inc Com	A	Int./Div.			Buy	10/29/19	J		
27.					Sold	10/29/19	J	A	
28.					Buy	10/29/19	J		
29.					Sold	10/30/19	J	A	
30.					Buy	11/01/19	J		
31.					Sold	11/06/19	J	A	
32. # 3 - Global Blood Therapeutics Inc. Com	A	Int./Div.			Buy	11/26/19	J		
33.					Sold	12/09/19	J	A	
34. # 3 - PG&E Corp Com	A	Int./Div.			Buy	10/01/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

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E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	10/04/19	J	A	
36. # 3 Pharmacyte Biotech Inc Com	A	Int./Div.			Buy	03/27/19	J		
37.					Sold	07/29/19	J	A	
38.					Buy	03/27/19	J		
39.					Sold	08/06/19	J	A	
40.					Buy	03/27/19	J		
41.					Sold	08/08/19	J	A	
42.					Buy	08/08/19	J		
43.					Sold	08/14/19	J	A	
44.					Buy	08/08/19	J		
45.					Sold	08/16/19	J	A	
46.					Buy	08/08/19	J		
47.					Sold	09/17/19	J	A	
48.					Buy	08/21/19	J		
49.					Sold	09/20/19	J	A	
50. #3 - Quicklogic Corp	A	Int./Div.			Buy	03/27/19	J		
51.					Sold	04/11/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

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D =\$5,001 - \$15,000

H2 =More than \$5,000,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. #3 - Roku Inc com Cl A	A	Int./Div.			Buy	10/15/19	J		
53.					Sold	10/18/19	J	A	
54.					Buy	10/15/19	J		
55.					Sold	10/21/19	J	A	
56.					Buy	10/15/19	J		
57.					Sold	10/30/19	J	A	
58.					Buy	10/15/19	J		
59.					Sold	11/07/19	J	A	
60.					Buy	10/15/19	J		
61.					Sold	11/08/19	J	A	
62.					Buy	11/08/19	J		
63.					Sold	11/08/19	J	A	
64.					Buy	11/12/19	J		
65.					Sold	11/15/19	J	A	
66.					Buy	11/12/19	J		
67.					Sold	11/19/19	J	A	
68.					Buy	11/21/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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R =Cost (Real Estate Only)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold	12/04/19	J	A	
70.					Buy	11/21/19	J		
71.					Sold	12/20/19	J	A	
72.					Buy	12/13/19	J		
73.					Sold	12/16/19	J	A	
74. # 3 - Sarepta Therapeutics Inc Com	A	Int./Div.			Buy	12/23/19	J		
75.					Sold	12/23/19	J	A	
76. # 3 - Starbucks Corp Com	A	Int./Div.			Buy	11/08/19	J		
77.					Sold	11/12/19	J	A	
78. # 3 - Synergy Pharmaceuticals Inc Com (SGYP)	A	Dividend			Sold (part)	01/04/19	J	A	
79.					Sold	01/17/19	J	A	
80.					Buy	01/24/19	J		
81.					Sold	01/25/19	J	A	
82.					Buy	01/30/19	J		
83.					Sold	02/04/19	J	A	
84.					Buy	02/15/19	J		
85.					Sold	02/25/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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H1 =\$1,000,001 - \$5,000,000

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

07/24/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy	02/15/19	J		
87.					Sold	02/26/19	J	A	
88. # 3 - Acasti Pharma Inc. Com Cl A	A	Dividend	J	T	Buy	12/19/19	J		
89. # 3 - Roku Inc.	A	Dividend	J	T	Buy	12/02/19	J		
90. South State Bank checking		None	J	T					
91. Synovus (Formerly NBSC)	A	Interest	J	T					
92. Wells Fargo, NA	A	Interest	J	T					
93. Equitable Variable: EQ Aggressive (whole life policy)		None	J	T					
94. Jefferson-Pilot Adjustable Life policy		None	J	T					
95. UGMA # A (Marked as # A)									
96. # A - Capital World Growth & Income Fund - Class F-1 (CWGFX)	A	Dividend	J	T					
97. # A - First Clearing , LLC - Cash balance	A	Interest	J	T					
98. # A American Fds Washington Mut (Edu Svgs Acct)(no control)	A	Int./Div.	J	T					
99. UGMA # A, Trust 1 (Marked as # AT1)									
100. # AT1 - First Clearing, LLC - Cash balance	A	Int./Div.	J	T					
101. # AT1 - AT&T Inc. (T)	A	Int./Div.			Sold	04/11/19	J	A	
102. # AT1 - Hartford Fortis Funds (HGO CX)	A	Int./Div.			Sold	04/11/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. # ATI - Hartford Mut Fds (HDGCX)	A	Int./Div.			Sold	04/11/19	K	A	
104. # ATI - MFS Ser Tr XIII (DIFCX)	A	Int./Div.			Sold	04/11/19	J	A	
105. # AT1 - Fidelity Funds Fidelity Treasury Only	A	Int./Div.	K	T	Buy	04/12/19	K		
106.					Sold (part)	12/03/19	K	A	
107. UGMA # B (Marked as # B)									
108. # B - Capital World Growth & Income Fund - F-1 (CWGFX)	A	Dividend	J	T					
109. # B First Clearing , LLC - Cash balance	A	Interest	J	T					
110. # B Columbia Mgmt Futre Schol 529 Collge Svng Pl (no control)	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

07/24/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 4, line 1, Column B(1) for 1.25 acres Florence County received no rental income for the year therefore, column B(2) is "None".

Part VII Investments and Trust, line 96 and 108 Capital World Growth & Income Fd Inc Cl C (CWGCX) converts to Class F-1 shares after 10 years. The exchange took place on 12/13/2016. The converted shares are called Capital World Growth & Income Fund-Class F-1 (CWCFFX).

Part VII, lines 3, 10, 13, 97,100, and 109 are the money market account portions of the brokerage accounts which act as sweep accounts for uninvested cash in that specific brokerage account. We believe these to be a widely held accounts because they are a part of the brokerage accounts.

Part VII, lines 2, 9, 12, 95, 99, and 107 are header lines for the investments listed below the specific line.

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Name of Person Reporting

Rogers, III, Thomas E.

Date of Report

07/24/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas E. Rogers, III**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544