

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Volpe, Joseph J.	2. Court or Organization District Court -Eastern Arkansas	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 500 West Capitol Avenue Little Rock, Arkansas 72201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Executive Committee Member	Judge Henry Woods Inn of Court
2. Treasurer	Douglas MacArthur West Point Society
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Webster University, Adjunct Faculty	\$9,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	self-employed/ residential real estate rentals
2. 2019	yoga instructor
3. 2019	self-employed photographer
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Chase	Mortgage on rental property #3 Little Rock, AR (Part VII Line 3)	L
2.	Chase	Mortgage on rental property #4 Little Rock, AR (Part VII Line 4)	M
3.	JB Nutter Company	Mortgage on rental property #5 Little Rock, AR (Part VII Line 5)	M
4.	Chase	Mortgage on rental property #8 Little Rock, AR (Part VII Line 8)	M
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property #1, Little Rock, AR	E	Rent	M	W					
2. Rental Property #2, Little Rock, AR	A	None			Sold	06/18/19	M	E	
3. Rental Property #3, Little Rock, AR	E	Rent	M	W					
4. Rental Property #4, Little Rock, AR	E	Rent	M	W					
5. Rental Property #5, Little Rock, AR	E	Rent	M	W					
6. Rental Property #6, North Little Rock, AR	E	Rent	M	W					
7. Rental Property #7, Little Rock, AR	D	Rent	M	W					
8. Rental Property #8, North Little Rock, AR	D	Rent	M	W					
9. Rental Property #9, North Little Rock, AR	D	Rent	L	W					
10. Rental Property #10, Little Rock, AR	D	Rent	M	W					
11. Exxon Mobile Common Stock	B	Dividend	L	T					
12. AT&T Common Stock	C	Dividend	L	T					
13. Home Depot Common Stock	A	Dividend	J	T					
14. American Funds New Perspective FD Inc Class B	A	Dividend	J	T					
15. American Funds Capital World Growth & Income Class B	A	Dividend	J	T					
16. Diversified Investment Advs. (AR Children's Hosp 403(b)plan	A	Dividend	L	T					
17. McDonald's Corp Common Stock	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Chevron Texaco Common Stock	B	Dividend	L	T					
19. Bank of Little Rock (checking/savings)	A	Interest	J	T					
20. Iberia Bank (checking/savings)	A	Interest	J	T					
21. PNCBank Corp.	C	Int./Div.	M	T					
22. Wal-Mart Inc.	C	Int./Div.	L	T					
23. Broward Cty Fl Bond	A	Int./Div.	J	T					
24. Clark Cnty NV Bond	A	Int./Div.			Redeemed	07/01/19	J	A	
25. IL Fin Auth Rev Bond	A	Int./Div.	K	T					
26. Indiana Trail Impt Dist FL Bond	A	Int./Div.			Redeemed	08/01/19	J	A	
27. Indianapolis In Loc Pub Rev Bond	A	Int./Div.	K	T					
28. Tucson AZ Cert of Part Bond	A	Int./Div.	J	T					
29. BRK B	A	Int./Div.	L	T					
30. Brown Forman Corp Cl B	A	Int./Div.	L	T					
31. Colgate Palmolive	A	Int./Div.	K	T					
32. Dominion Resources	A	Int./Div.	J	T					
33. Energizer Holdings Inc	A	Int./Div.	K	T					
34. First Eagle Global Fund Cl C (Y)									

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Frontier Communications Corp	A	Int./Div.	J	T					
36. Northwest Mutual Nat. Gas	A	Int./Div.	J	T					
37. Philip Morris Intl Inc.	A	Int./Div.	K	T					
38. Verizon	A	Int./Div.	K	T					
39. WPX	A	Int./Div.	J	T					
40. Zimmer Holdings	B	Int./Div.	J	T					
41. Gilead Sciences Inc (GILD)	A	Int./Div.	K	T					
42. Qualcomm Inc (QCOM)	A	Int./Div.	J	T					
43. Select Sector SPDR Trust	A	Int./Div.	K	T					
44. Proctor & Gamble (P&G)	A	Dividend	J	T	Sold (part)	06/21/19	J	A	
45. Apple	A	Dividend	K	T					
46. Amgen	A	Dividend	K	T					
47. Duke Energy	A	Dividend	K	T					
48. Amazon	A	Dividend	J	T	Buy	08/06/19	J		
49. General Electric	A	Dividend			Sold	04/05/19	K	A	
50. United Technologies	A	Dividend	J	T					
51. Altria Group Inc	A	Dividend	J	T	Buy	07/02/19	K		

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52. Wells Fargo	A	Dividend	J	T	Buy	07/02/19	K		
53. Carrier Global	A	Dividend	J	T	Buy	10/20/19	J		
54. Otis Worldwide	A	Dividend	J	T	Buy	10/20/19	J		
55. Raytheon	A	Dividend	J	T	Buy	10/20/19	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joseph J. Volpe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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