

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mensah, Shirley P.	2. Court or Organization United States District Court, Eastern District of Missouri	3. Date of Report 04/30/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Thomas F. Eagleton Courthouse 111 South 10th Street St. Louis, Missouri 63102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	New City School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Pfizer - salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	New City School	Tuition	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking	A	Interest	J	T					
2. First Community - Checking	A	Int./Div.	J	T					
3. ING Direct/Capital One - Savings	B	Interest	M	T					
4. IRA #1 (SPM) (H)									
5. --FV					Sold	01/03/17	J	A	
6. --IJR	A	Dividend	J	T	Buy (add'l)	01/03/17	J		
7.					Sold (part)	07/14/17	J	A	
8. --FTSL	A	Dividend	J	T	Buy (add'l)	07/14/17	J		
9. --TOTL	A	Dividend	K	T	Sold (part)	01/03/17	J		
10.					Buy (add'l)	07/14/17	J		
11. --TOLSX					Sold	07/14/17	J	A	
12. --KLCIX	A	Dividend	K	T	Buy	01/03/17	J		
13.					Sold (part)	07/14/17	J	A	
14. --HFOIX					Sold	07/14/17	J	A	
15. --FDSWX	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
16.					Buy (add'l)	07/14/17	J		
17. --Invesco Floating Rate Fund (AFRYX)	A	Dividend	J	T	Buy (add'l)	01/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	07/14/17	J		
19. -- Loomis Sayles Investment Grade Bond Fund Class A (LSIIX)	A	Dividend	K	T	Buy	01/03/17	K		
20.					Buy (add'l)	07/14/17	J		
21. --IJH	A	Dividend	K	T	Buy (add'l)	01/03/17	J		
22.					Sold (part)	07/14/17	J	A	
23. --DYY		None			Sold	01/03/17	J	A	
24. --EFAV		None			Sold	01/03/17	J		
25. --SPYG		None			Sold	01/03/17	J	A	
26. --GSIE		None			Sold	01/03/17	J	A	
27. --GSLC		None			Sold	01/03/17	K	B	
28. --VOE		None			Sold	01/03/17	J	A	
29. --BAGIX		None			Sold	01/03/17	K	A	
30. --GSLX		None			Sold	07/14/17	J	A	
31. --IAU		None	J	T					
32. --HGHIX (X)	A	Dividend	J	T	Buy	07/14/17	J		
33. --MIOIX (X)	A	Dividend	J	T	Buy	07/14/17	J		
34. --GLIFX (X)	A	Dividend	J	T	Buy	07/14/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --EMKIX (X)	A	Dividend	J	T	Buy	07/14/17	J		
36. --FIH BX (X)	A	Dividend	J	T	Buy	01/03/17	J		
37.					Buy (add'l)	07/14/17	J		
38. --LFRX (X)	A	Dividend	J	T	Buy	01/03/17	J		
39.					Buy (add'l)	07/14/17	J		
40. --IEMG (X)	A	Dividend	J	T	Buy	07/14/17	J		
41. --KRE (X)	A	Dividend	J	T	Buy	07/14/17	J		
42. --CIBR (X)	A	Dividend	J	T	Buy	07/14/17	J		
43. IRA #2 (PKM's ROTH) (H)									
44. --EZU (Y)									
45. --EFA			J	T	Buy (add'l)	03/27/17	J		
46. --VUG	A	Int./Div.	J	T					
47. --VTV	A	Int./Div.	J	T					
48. --VTI	A	Int./Div.	J	T					
49. --BKLN (Y)									
50. --ITR (Y)									
51. --BND (Y)									

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52. --IEMG	A	Int./Div.	J	T	Buy (add'l)	05/09/17	J		
53. --IEFA (Y)									
54. --SCHB			J	T	Buy (add'l)	05/09/17	J		
55. -- SCHV (Y)									
56. --GOVT (Y)									
57. --IEFA (X)	A	Int./Div.	J	T	Buy	05/09/17	J		
58. UBS EQUITY FOCUS FUND	B	Int./Div.	M	T					
59. UBS DIVIDEND STRATEGY FUND	C	Int./Div.	M	T					
60. UBS Q GARP LIST FUND (X)	B	Int./Div.	M	T					
61. 529 Plan #1 (ORAAM) (H)									
62. --- Columbia Growth 529 Portfolio Fund Class C (MFZNMV)		None	K	T					
63. -- Columbia Moderate Growth 529 Portfolio Fund Class C (MFZMNW)		None	K	T					
64. 529 Plan #2 (AAPM) (H)									
65. -- MFZNMJ (Columbia Growth A)		None	K	T					
66. Janus Enterprise Fund D Shares	A	Int./Div.	M	T					
67. Brokerage Account #1 (Fidelity) (H)									
68. -- PFE		None	J	T	Sold (part)	02/24/17	K	B	

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69. --Pfizer 401(k) (/H)									
70. ---- Large Cap Growth		None	M	T					
71. ----T Rowe Small Cap Stock		None	M	T					
72. ----Dodge & Cox Intl.		None	L	T					
73. ----Mid Cap Stock Fund		None	M	T					
74. ----Pfizer Stock Match		None	L	T					
75. -- Russell 2000 Sm Cap Index		None	M	T					
76. -- NT S&P 500 index		None	M	T					
77. --BP Large Cap Value		None	M	T					
78. -- BTC Tips Index (Y)									
79. Brokerage Account #2 (TD Ameritrade) (H)									
80. --ADAP		None	J	T					
81. --ADNT	A	Dividend	J	T					
82. --ALK	A	Dividend			Sold	10/30/17	J	B	
83. --AAPL	A	Dividend	K	T					
84. -- AAL/USAIR	A	Int./Div.	K	T					
85. --AMZN			J	T					

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86. --BAC	A	Dividend	K	T					
87. -- BLUE		None	K	T					
88. --BMY	A	Dividend	J	T					
89. --BIIB		None	J	T					
90. -- BC	A	Dividend			Sold	10/30/17	J	B	
91. --BLCM		None	J	T					
92. ---BT	A	Dividend			Sold	07/11/17	J	A	
93. -- CMG		None			Sold	07/11/17	J	A	
94. --CMCSK/CMCSA	A	Dividend	K	T					
95. -- DAL	A	Dividend	K	T					
96. --DTV/T	A	Dividend			Sold	07/11/17	J	A	
97. --DFS	A	Dividend	K	T					
98. --EMN	A	Dividend			Sold	07/11/17	J	A	
99. -- RE	A	Int./Div.	J	T					
100. --FB		None	K	T					
101. --GS	A	Dividend	J	T					
102. -- GT	A	Dividend	J	T					

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103. --GOOG		None	K	T					
104. --INCY		None	K	T					
105. --INTC	A	Dividend	J	T					
106. --JCI	A	Dividend			Sold	07/11/17	J	A	
107. --JUNO		None	J	T					
108. --KITE		None			Distributed	10/04/17	J	D	
109. -- LEA	A	Dividend	J	T					
110. --MGA	A	Dividend	K	T					
111. --MSFT	A	Dividend	K	T					
112. -- MS	A	Dividend	J	T					
113. --NP	A	Dividend	J	T					
114. -- NFLX		None	K	T					
115. -- ORBK		None	K	T					
116. -- PMC		None			Sold	07/11/17	J	B	
117. --REGN		None	J	T					
118. -- RAD		None			Sold	01/23/17	J	D	
119. -- SANM		None	J	T					

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120. --SGEN		None	J	T					
121. --LUV	A	Dividend	J	T					
122. --TEN	A	Dividend			Sold	07/11/17	J	A	
123. --TSLA		None	J	T					
124. --URI		None	K	T					
125. --UTHR		None	J	T					
126. --UEIC		None	J	T					
127. ---AVHI (X)		None	J	T	Buy	07/03/17	J		
128. ---AL (X)	A	Dividend	J	T	Buy	09/08/17	J		
129. ---AAOI (X)		None	J	T	Buy	07/10/17	J		
130. ---AXTI (X)		None	J	T	Buy	10/30/17	J		
131. ---CCBG (X)	A	Dividend	J	T	Buy	10/30/17	J		
132. ---COBZ (X)		None	J	T	Buy	10/30/17	J		
133. ---EBF (X)		None	J	T	Buy	10/12/17	J		
134. ---BEN (X)	A	Dividend	J	T	Buy	07/10/17	J		
135. ---GE (X)		None	J	T	Buy	10/24/17	J		
136. ---GNBC (X)		None	J	T	Buy	09/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. —GCBC (X)	A	Dividend	J	T	Buy	09/08/17	J		
138. —GBNK (X)	A	Dividend	J	T	Buy	07/26/17	J		
139. —INTT(X)		None	J	T	Buy	07/03/17	J		
140. —KE (X)		None	J	T	Buy	07/03/17	J		
141. —MBUU(X)		None	J	T	Buy	07/20/17	J		
142. —MPB (X)	A	Dividend	J	T	Buy	09/08/17	J		
143. —MLR (X)		None	J	T	Buy	07/03/17	J		
144. —SORL (X)		None	J	T	Buy	07/03/17	J		
145. —TSEM (X)		None	J	T	Buy	07/10/17	J		
146. —TTMI (X)		None	J	T	Buy	07/13/17	J		
147. Brokerage Acct #3 (Interactive Brokers) (H)									
148. -- BIIB		None	J	T					
149. -- BLUE		None	K	T					
150. -- CELG		None	J	T					
151. -- GILD	A	Dividend	J	T					
152. --INCY		None	J	T					
153. --JUNO		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. --KITE		None			Merged (with line 151)	10/04/17	K	D	
155. --REGN		None	J	T					
156. --ADAP (X)	A	Dividend	J	T	Buy	02/24/17	J		
157. --AVXS (X)		None	J	T	Buy	10/13/17	J		
158. --BLCM (X)		None	J	T	Buy	02/24/17	J		
159. --BOLD (X)		None	J	T	Buy	10/13/17	J		
160. --RGNX (X)		None	J	T	Buy	10/13/17	J		
161. --SGEN (X)		None	J	T	Buy	02/24/17	J		
162. --VYGR (X)		None	J	T	Buy	10/13/17	J		
163.									
164. Rental Property #1(Loft St. Louis, Mo) (comparable units)	E	Rent	M	V					
165. Rental Property #2 (Condo, St. Louis, MO) (comparable units)	E	Rent	M	V					
166.									
167.									
168.									
169.									
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows 171, 172, 173.

Legend table with 6 columns: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes, and corresponding ranges and methods (A-E, F, G, H, I, J, K, L, M, N, O, P, Q, R, S, T, U, V, W).

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII -Additional Notes:

Assets previously identified as not reportable and designated with a (Y) have either been removed from this report or, if reportable during this period, the Y was removed and information regarding the asset was reported.

Line 78 - After this 401(k) account was rebalanced the fund at line 78 was sold in July 2016. This sale was inadvertently omitted from the 2017 disclosure. The value of the fund at the time of the sale was Code K - between \$15,001-\$50,000.

Line 108, in october 2017 KITE merged with GILD (line 131). However, this transaction is described as a "distribution" because GILD was not already an asset in this TD Ameritrade brokerage account.

Lines 150-51 reflect the current asking/sale price of comparable units in the area.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Shirley P. Mensah

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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