

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) St. John, Stephen C.	2. Court or Organization U.S. Bankruptcy Court, Eastern District of Virginia	3. Date of Report 06/15/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address 600 Granby Street 4th Floor Norfolk, Virginia 23510		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Planning Committee	Mid-Atlantic Bankruptcy Conference, Virginia Continuing Legal Education
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Northern Virginia Bar Association	June 19-20, 2019	Fairfax, Virginia	to appear in CLE seminar	travel, lodging, and meals
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank, N.A. Cash Account	A	Interest	J	T					
2. BB&T Bank Trust Department IRA (H)									
3. -Sterling Capital Cash Account	A	Interest	J	T					
4. -Sterling Capital Total Return Bond Fund	B	Dividend	K	T	Sold (part)	01/25/19	J		
5.					Buy (add'l)	04/17/19	J		
6.					Sold (part)	07/18/19	J	A	
7.					Sold (part)	10/11/19	J	A	
8. -Sterling Capital Equity Income Fund	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
9.					Sold (part)	04/17/19	J	A	
10.					Sold (part)	07/18/19	J	A	
11.					Sold (part)	10/11/19	J	A	
12. -Harding Loevner International Equity Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
13.					Sold (part)	04/17/19	J	A	
14.					Buy (add'l)	07/18/19	J		
15.					Buy (add'l)	10/11/19	J		
16. -Lazard Emerging Markets Portfolio Fund	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
17.					Buy (add'l)	04/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	07/18/19	J		
19.					Buy (add'l)	10/11/19	J		
20. -Virtus KAR Small Cap Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
21.					Sold (part)	04/17/19	J	A	
22.					Sold (part)	07/18/19	J	A	
23.					Buy (add'l)	10/11/19	J		
24. -Doubleline Total Return Bond Fund	B	Dividend	K	T	Sold (part)	01/25/19	J		
25.					Buy (add'l)	04/17/19	J		
26.					Sold (part)	07/18/19	J		
27.					Sold (part)	10/11/19	J		
28. -Metropolitan West T/R Bd-Pln	B	Dividend	K	T	Sold (part)	01/25/19	J		
29.					Buy (add'l)	04/17/19	J		
30.					Sold (part)	07/18/19	J	A	
31.					Sold (part)	10/11/19	J	A	
32. -Neuberger Berman Strategic Income Fund-I	A	Dividend	J	T	Sold (part)	01/25/19	J		
33.					Buy (add'l)	04/17/19	J		
34.					Sold (part)	07/18/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35.					Sold (part)	10/11/19	J	A	
36. -PIMCO Real Return Fund-Inst. Fund	A	Dividend	J	T	Buy	07/18/19	J		
37.					Buy (add'l)	10/11/19	J		
38. -Causeway International Value-Ins	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
39.					Buy (add'l)	04/17/19	J		
40.					Buy (add'l)	07/18/19	J		
41.					Buy (add'l)	10/11/19	J		
42. -Natixis Loomis Say Growth Fund	B	Dividend	K	T	Buy (add'l)	01/25/19	J		
43.					Sold (part)	04/17/19	J	A	
44.					Sold (part)	07/18/19	J	A	
45.					Buy (add'l)	10/11/19	J		
46. -Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	01/25/19	J	A	
47.					Sold (part)	04/17/19	J	A	
48.					Sold (part)	07/18/19	J	A	
49.					Sold (part)	10/11/19	J	A	
50. -Morgan Stanley Institutional Fund		None			Buy (add'l)	01/25/19	J		
51.					Sold (part)	04/17/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold (part)	07/18/19	J	A	
53.					Merged (with line 78)	07/29/19	J		
54. -Touchstone Mid Cap Value Fund	A	Dividend	K	T	Buy (add'l)	01/25/19	J		
55.					Sold (part)	04/17/19	J	A	
56.					Buy (add'l)	07/18/19	J		
57.					Buy (add'l)	10/11/19	J		
58. -Vanguard Developed Markets Index Fund	A	Dividend	J	T	Buy (add'l)	01/25/19	J		
59.					Sold (part)	04/17/19	J	A	
60.					Buy (add'l)	07/18/19	J		
61.					Buy (add'l)	10/11/19	J		
62. -Goldman Sachs Intl Small Cap Fund	A	Dividend			Sold	01/25/19	J		
63. -Fidelity Long-Term Treasury Bond Index Fund	A	Dividend			Sold	01/25/19	J	A	
64. -PGIM Total Return Bond Fund	B	Dividend	K	T	Sold (part)	01/25/19	J		
65.					Buy (add'l)	04/17/19	J		
66.					Sold (part)	07/18/19	J	A	
67.					Sold (part)	10/11/19	J	A	
68. -Touchstone Mid Cap Growth Fund	A	Dividend	J	T	Buy (add'l)	04/17/19	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	07/18/19	J	A	
70.					Sold (part)	10/11/19	J	A	
71. -Federated MDT Small Cap Growth-R6		None	J	T	Buy (add'l)	01/25/19	J		
72.					Buy (add'l)	07/18/19	J		
73.					Sold (part)	10/11/19	J	A	
74. -Invesco Oppenheimer Developing Markets Fund (X)	A	Dividend	J	T	Sold (part)	01/25/19	J	A	
75.					Buy (add'l)	04/17/19	J		
76.					Buy (add'l)	07/18/19	J		
77.					Buy (add'l)	10/11/19	J		
78. -Morgan Stanley Global Opportunity Institutional Fund		None	J	T	Buy	10/11/19	J		
79. -Fidelity Short Term Treasury Bond Index Fund	A	Dividend	J	T	Buy	04/17/19	J		
80.					Buy (add'l)	07/18/19	J		
81.					Buy (add'l)	10/11/19	J		
82. -iShares MSCI EAFE Small Cap Index Fund	A	Dividend	J	T	Buy	01/25/19	J		
83.					Buy (add'l)	07/18/19	J		
84.					Sold (part)	10/11/19	J	A	
85. -Hotchkis & Wiley High Yield Fund-I	A	Dividend			Buy	01/25/19	J		

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3 Value Method Codes (See Column C2)					

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86.					Sold	04/17/19	J	A	
87. BB&T Bank Cash Account	A	Interest	J	T					
88. Wells Fargo Advisors Cash Account	A	Interest	K	T					
89. TD Ameritrade IRA (H)									
90. -T. Rowe Price Personal Strategy Growth Mutual Fund	B	Dividend	L	T					
91. -Cash Sweep Account	A	Interest	J	T					
92. Wells Fargo Advisors Brokerage Account (H)									
93. -American Electric Power Inc. common stock	A	Dividend	K	T					
94. -Wells Fargo Advisors Cash Account	A	Interest	K	T					
95. -FirstEnergy Corporation common stock	A	Dividend	J	T					
96. -Exxon Mobil Corporation common stock	A	Dividend	J	T					
97. -Dominion Resources Incorporated common stock	D	Dividend	N	T					
98. -Ameren Corporation common stock	A	Dividend	K	T					
99. -Entergy Corporation common stock	B	Dividend	K	T					
100. -Avangrid common stock	A	Dividend	K	T					
101. -Enbridge Inc. common stock	B	Dividend	K	T					
102. -Exelon Corporation common stock	B	Dividend	K	T					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Scana Corporation common stock	A	Dividend			Merged (with line 97)	01/02/19	K		
104. -Federated High Income Bond Fund	A	Dividend	K	T					
105. -Duke Energy Corporation common stock	B	Dividend	K	T					
106. -The Southern Company common stock	C	Dividend	L	T					
107. Massachusetts Mutual Life Insurance Co. (Whole Life Policy)	D	Dividend	N	T					
108. BB&T Cash Account	A	Interest	M	T					
109. BB&T Bank Cash Account	A	Interest	J	T					
110. USAA Cash Account	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes for Part VII. Investments and Trusts

Line 50: Morgan Stanley Institutional Fund shares were exchanged in full for Morgan Stanley Global Opportunity Institutional Fund shares (listed at Line 78) on July 29, 2019.

Line 74: Invesco Oppenheimer Developing Markets Fund was formerly known as Oppenheimer Developing Market-Class I; a name change occurred on May 24, 2019.

Line 78: See Note for Line 50.

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06/15/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stephen C. St. John**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544