

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 3/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Court of Appeals for the Federal Circuit 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Moore, Kimberly A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Colorado Bar Association	1/1/2019 - 1/6/2019	Snowmass Village, CO	Activity of Professional Association	Transportation, meals, and lodging
2.	ACPC	1/27/2019 - 1/30/2019	Naples, FL	Activity of Professional Association	Transportation, meals, and lodging
3.	Federal Circuit Bar Association	10/14/2019 - 10/16/2019	Honolulu, HI	Activity of Professional Association	Transportation, meals, and lodging
4.					
5.					

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Moore, Kimberly A.	3/31/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property (2008 \$2,211,554.00) (Currituck County, NC) 11-30-2016	F	Rent	P1	Q					
2.									
3. Alexandria Apartment Associates, LLC Alexandria, VA June 2017 \$500,000	E	Rent	N	R					
4.									
5. Capital One Bank Accounts	A	Interest	O	T					
6.									
7. Citibank Account	A	Interest	P1	T					
8.									
9. Northwestern Mutual Life Insurance - Whole Life	B	Dividend	J	T					
10.									
11. Northwestern Mutual Life Insurance - Whole Life	B	Dividend	J	T					
12.									
13. Berkshire Life Insurance - Whole Life	A	Dividend	J	T					
14.									
15. CHARLES SCHWAB ACCOUNTS									
16. - Vanguard Developed Markets	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
17.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. - Vanguard Total Stock Market	D	Dividend	O	T	Buy (add'l)	01/02/19	J		
19.									
20. - Morley Stable Value Fund Net 20		None			Sold	04/11/19	M		
21.									
22. - Vanguard Retirement Savings Trust	C	Interest	N	T	Buy	04/04/19	N		
23.									
24. - T. Rowe Price Mid-Cap Growth	E	Dividend	O	T	Buy (add'l)	01/02/19	J		
25.									
26. - Vanguard Instiitutional Index I	D	Dividend			Buy (add'l)	01/02/19	J		
27.					Sold	10/02/19	N		
28. - Vanguard Instiitutional Index Instiitutional	D	Dividend	N	T	Buy	10/02/19	N		
29.									
30. - Vanguard Total Bond	B	Interest	N	T	Buy (add'l)	01/02/19	J		
31.									
32. VA 529 PLANS (H)									
33. - pre Paid		None	M	T					
34.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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35. - in Vest 2021		None	L	T					
36.									
37. - in Vest 2015		None	N	T					
38.									
39. - in Vest Stable Value		None	L	T					
40.									
41. VANGUARD ACCOUNT (H)									
42. - Energy Fund Investor	A	Dividend	K	T					
43.									
44. - Large Cap Index	C	Dividend	N	T					
45.									
46. - Mid Cap Index	C	Dividend	N	T					
47.									
48. - Prime Money Market Fund	A	Dividend	K	T					
49.									
50. - Short Term Bond	A	Dividend	L	T					
51.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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52. - Small Cap Index	B	Dividend	M	T					
53.									
54. - Total Bond Market Index	B	Dividend	L	T					
55.									
56. - Total Index Intl Stock	C	Dividend	M	T					
57.									
58. - Total Stock Market Fund	C	Dividend	N	T					
59.									
60. - Vanguard Energy ETF	B	Dividend	L	T					
61.									
62. - 500 Index Fund	E	Dividend	P1	T					
63.									
64. WELLS FARGO ACCOUNT A (BROKERAGE)									
65. - Altria Group (X)		None	K	T					
66.									
67. - Becton Dickinson (X)	C	Dividend	N	T					
68.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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69. - Bio Life Solutions		None	M	T					
70.									
71. - Casey's General Store (X)		None	M	T	Buy	11/08/19	M		
72.					Buy (add'l)	12/10/19	K		
73. - Church & Dwight Inc. (X)	C	Dividend	N	T					
74.									
75. - Cintas	B	Dividend	N	T					
76.									
77. - Clorox	B	Dividend	L	T	Sold (part)	06/18/19	M	E	
78.									
79. - Coca-Cola (X)		None	L	T					
80.									
81. - Constellation Brands (X)	C	Dividend	N	T					
82.									
83. - Cracker Barrel Old Ctry Store (X)	D	Dividend	N	T					
84.									
85. - Cryoport		None	L	T					

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86.									
87. - Danaher Corp.	B	Dividend	N	T					
88.									
89. - Deluxe Corp.	B	Dividend			Sold	05/13/19	K		
90.									
91. - Dollar General (X)	B	Dividend	N	T					
92.									
93. - Exxon (X)		None	M	T					
94.									
95. - Fed Ex	C	Dividend	M	T					
96.									
97. - Hershey Company		None			Sold	04/03/19	M	E	
98.									
99. - Hill-Rom Holdings (X)	C	Dividend	N	T	Sold	06/19/19	K	D	
100.									
101. - INTL Flavor	C	Dividend			Sold	11/08/19	M		
102.									

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103. - Intuitive Surgical (X)		None	O	T	Sold	05/13/19	J	D	
104.									
105. - JM Smucker (X)	D	Dividend	N	T					
106.									
107. - Johnson & Johnson (X)		None	M	T					
108.									
109. - Kansas City Southern (X)		None	K	T					
110.									
111. - Marathon Petroleum (X)	C	Dividend	M	T					
112.									
113. - McCormick & Co.	C	Dividend	N	T					
114.									
115. - McDonalds (X)	D	Dividend	N	T					
116.									
117. - Merck (X)		None	K	T					
118.									
119. - Norfolk Southern (X)		None	K	T					

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120.									
121. - OGE Energy (X)		None	J	T					
122.									
123. - Old Dominion Freight Line		None			Sold	04/03/19	N		
124.									
125. - Pepsico Incorporated (X)	D	Dividend	O	T					
126.									
127. - Philip Morris (X)		None	K	T					
128.									
129. - Proctor & Gamble (X)		None	M	T					
130.									
131. - Solvay Bank (X)		None	J	T					
132.									
133. - Stericycle Inc.		None	K	T					
134.									
135. - Stryker (X)	C	Dividend	N	T	Sold	06/20/19	L	D	
136.									

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Territorial Bancorp (X)		None	K	T					
138.									
139. - Therapeutics MD Inc.		None			Sold	06/18/19	K		
140.									
141. - Transenterix Inc.		None			Sold	11/08/19	J		
142.									
143. - Ulta Beauty (X)		None	K	T					
144.									
145. - United Rentals		None	K	T	Buy	11/08/19	K		
146.									
147. - US Foods Holdings		None			Sold	06/18/19	L	D	
148.									
149. - Visa (X)	C	Dividend	O	T					
150.									
151. - Wells Fargo (X)		None	K	T					
152.									
153. - Zimmer Biomet (X)		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Moore, Kimberly A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155. WELLS FARGO ACCOUNT B (IRA)									
156. - Becton Dickinson	B	Dividend	M	T					
157.									
158. - Church & Dwight Inc.	A	Dividend	K	T					
159.									
160. - Cracker Barrel	B	Dividend	L	T					
161.									
162. - Cryoport		None	J	T					
163.									
164. - Danaher	A	Dividend	L	T					
165.									
166. - Deluxe Corp.	B	Dividend			Sold	05/13/19	K		
167.									
168. - Dollar General	B	Dividend	M	T					
169.									
170. - Fed Ex	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172. - Growth Fund America	D	Dividend	L	T					
173.									
174. - Intl Flavor & Fragrances		None			Sold	11/08/19	L		
175.									
176. - Intuitive Surgical		None	M	T					
177.									
178. - JM Smuckers	B	Dividend	L	T					
179.									
180. - Pepsico	C	Dividend	M	T					
181.									
182. - Stericycle		None	K	T					
183.									
184. - United Rentals		None	L	T	Buy	05/13/19	K		
185.					Buy (add'l)	10/07/19	J		
186.					Buy (add'l)	11/08/19	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly A. Moore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544