

FINANCIAL DISCLOSURE REPORT
FINAL FILING

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Schenkier, Sidney I.	2. Court or Organization Northern District of Illinois i	3. Date of Report 04/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 04/30/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Dirksen Federal Building 219 South Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Northwestern University School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019-2020	Northwestern University School of Law - Payment for work as Adjunct Professor	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fidelity Growth Fund (FDGRX)	D	Dividend	N	T	Sold (part)	04/23/19	K	D	
2. Schwab 1000 Fund (SNXFX)	A	Dividend	J	T					
3. Janus Henderson Small Cap Fund, (JSCVX)	A	Dividend			Sold	08/06/19	J	A	
4. Schwab Money Market Fund	A	Interest	N	T					
5. I Shares Dividend Index Fund (DVY)	A	Dividend			Sold (part)	01/14/19	J	B	
6.					Sold (part)	02/19/19	J	B	
7.					Sold (part)	03/04/19	J	B	
8.					Sold	04/23/19	J	B	
9. Nike Common Stock	A	Dividend	J	T					
10. Schwab Large Cap Growth Fund (SCHG)	C	Dividend	M	T					
11. I Shares S&P Index Fund (IVV)	A	Dividend	J	T					
12. Wisdom Tree Large Cap Fund (DLN)	C	Dividend	K	T					
13. Parnassus Mid-Cap Fund (PARMX)	B	Dividend	M	T					
14. Vanguard Total Stock Index Fund (VTI)	C	Dividend	M	T	Sold (part)	01/27/19	J	C	
15.					Sold (part)	07/09/19	K	C	
16. TIAA CREF Equity Fund	A	Dividend	J	T					
17. Apple Common Stock	A	Dividend	K	T	Sold (part)	09/16/19	J	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18.					Buy (add'l)	04/06/20	K		
19. Schwab U.S. REIT ETF (SCHH)	A	Dividend			Sold	07/22/19	K	C	
20. Schwab Large Cap ETF (SCHX)	A	Dividend			Sold	01/15/19	J	A	
21. Artisan Int'l Fund	B	Dividend	K	T					
22. Fidelity Small Cap Discovery Fund (FSCRX)	A	Dividend			Sold (part)	03/20/19	J	A	
23.					Sold (part)	04/02/19	J	A	
24.					Sold	04/22/19	J	A	
25. Janus Global Health Fund (JFNIX)	A	Dividend	K	T	Sold (part)	06/21/19	K	B	
26.					Sold (part)	08/22/19	J	A	
27. AFG Common Stock	A	Dividend			Sold	08/06/19	K	D	
28. Oakmark Fund	A	Dividend			Sold (part)	06/20/19	K	C	
29.					Sold (part)	08/22/19	K	B	
30.					Sold (part)	09/16/19	K	B	
31.					Sold	01/06/20	K	C	
32. Schwab 529 Plan: 100% Moderate Portfolio	A	Int./Div.	J	T	Buy (add'l)	08/22/19	J		
33. Walt Disney Common Stock	A	Dividend	K	T	Sold (part)	08/22/19	J	C	
34. VISA Common Stock (V)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Intel Common Stock (INTC)	A	Dividend			Sold	09/16/19	K	C	
36. Schwab U.S. Dividend Fund (SCHD)	C	Dividend			Sold	08/22/19	L	C	
37. Vanguard Corp. Bond Fund (VCIT)	B	Int./Div.	K	T	Sold (part)	03/24/20	J	A	
38. Schwab Inflation Protected Bond Fund (SCHP)	B	Int./Div.	K	T					
39. Ridgworth High Yield Bond Fund (SAMHX)	B	Int./Div.			Sold	01/06/20	K	A	
40. Piper Jaffray Common Stock (PJC)	A	Dividend			Sold	08/06/19	J	A	
41. Merck Common Stock (MRK)	A	Dividend	J	T	Sold (part)	09/16/19	J	B	
42. Vistra Energy (VST)	A	Dividend	J	T	Buy	01/27/20	J		
43. Danaher (DHR)	A	Dividend	K	T	Buy	01/27/20	K		
44. Calvert Agg. Allocation Fund (CAAAX)	A	Dividend	J	T	Buy	06/04/19	J		
45. Google (Alphabet) Common Stock	A	Dividend	K	T	Buy	04/06/20	K		
46. Berkshire Hathaway Common Stock	A	Dividend	K	T	Buy	04/06/20	K		
47. Costco Common Stock	A	Dividend	K	T	Buy	04/06/20	K		
48. Johnson & Johnson Common Stock	A	Dividend	K	T	Buy	04/06/20	K		
49. JP Morgan Common Stock	A	Dividend	K	T	Buy	04/06/20	K		
50. Global X US Preferred ETF (PFFD)	A	Dividend	K	T	Buy	04/06/20	K		
51. AES Corp. Common Stock	A	Dividend	K	T	Buy	04/06/20	K		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Caterpillar Common Stock	A	Dividend	J	T	Buy	03/10/20	J		
53. Gilead Common Stock	A	Dividend	J	T	Buy	03/10/20	J		
54. Alps Clean Energy ETF (ACES)	A	Dividend	J	T	Buy	02/05/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I am retiring effective at the end of April 30, 2020, and so this is a final report covering the period January 1, 2019 through my retirement.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sidney I. Schenkier**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544