

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Russell, David L.	2. Court or Organization Western District of Oklahoma	3. Date of Report 05/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status - U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 204 NW 4th Street United States Courthouse Oklahoma City, OK 73102		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Russell, David L.	05/11/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Russell, David L.	05/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Russell, David L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allegiance Credit Union	A	Interest	M	T					
2. Money Market Trust Stifel Nicolaus	A	Interest	M	T					
3. ONEOK Partners	C	Dividend	L	T					
4. Northwest Natural Gas	A	Dividend	J	T					
5. Occidental Petroleum Corp.	A	Dividend	J	T					
6. AT&T	B	Dividend	K	T					
7. Oklahoma 1-1-24 Municipal Pwr. Authority	B	Interest	J	T					
8. Capital Income Builder Fund American Funds	D	Dividend	N	T					
9. Europacific Growth Fund SBI American Funds	A	Dividend	K	T					
10. Income Fund of America American Funds	C	Dividend	M	T					
11. Investment Co. of America American Funds	B	Dividend	M	T					
12. RCB Bank f/k/a Bank of Nichols Hills	A	Interest	M	T					
13. Enterprise Prods. Ptnrs, L.P.	D	Dividend	M	T					
14. Oklahoma Gas & Electric	A	Dividend	K	T					
15. Energy Transfer Partners	B	Dividend	J	T					
16. Fundamental Invr. Inc. American Funds	A	Dividend	L	T					
17. Interbank	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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05/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. (Y) Russell Global Equity (Mutual Fund)									
19. Russell Emerging Markets (Mutual Fund)	A	Dividend	J	T					
20. Russell Global Real Estate Secs. (Mutual Fund)	A	Dividend	J	T					
21. Russell Global Opportunities Credit (Mutuaal Fund)	A	Dividend	J	T					
22. Russell Global NFRA (Mutual Fund)	A	Dividend	J	T					
23. Russell Commodity Strategies (Mutual Fund)	A	Int./Div.	J	T					
24. Russell Strategic Bond (Mutual Fund)	A	Dividend	J	T					
25. Russell Int'l Developed Markets (Mutual Fund)	A	Dividend	K	T					
26. Russell Smal Cap Equity (Mutuaal Fund)	A	Dividend	J	T					
27. Russell US Strategic Equity (Mutual Fund)	A	Dividend	K	T					
28. Russell Multi-Asset Growth Strategy (Mutual Fund)	A	Dividend	K	T					
29. (Y) Russell Unconstrained Total Return (Mutual Fund)									
30. Ultra Petroleum		None	J	T					
31. Syntroleum		None	J	T					
32. Bank SNB, f/k/a Stillwater National Bank	A	Interest	K	T					
33. Oklahooma City Water Bond 7-39	C	Interest			Sold	07/01/19	L	A	
34. American Global Fund	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Plains Pipeline	B	Dividend	J	T					
36. 45 mineral acres in Lincoln Co., Oklahoma		None	J	W					
37. 20 mineral acres in Creek Co., Oklahoma		None	J	W					
38. American Developing World Growth Fund	A	Dividend	K	T					
39. Univ. of Oklahoma Regents Bond due 7/1/28	A	Interest	K	T					
40. Okla. St. Mun. Power Auth. Bond due 1/1/31	A	Interest	J	T					
41. Vaanguard Total Market Fund	A	Dividend	K	T					
42. (X) Simmons Bank	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(Y) Part VII, Items 18 and 29. These funds were dissolved and reallocated to the other Russell Funds in 2019.

(X) Paart VII, Item 42. This is a bank account [REDACTED] which was inadvertently left off of prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David L. Russell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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