

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COLE, JEFFREY N.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 08/11/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. COURTHOUSE 219 SOUTH DEARBORN STREET STE 1088 CHICAGO, IL 60604-2025		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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COLE, JEFFREY N.	08/11/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. INTEL CORP	B	Dividend	K	T					
2. PHILIP MORRIS INT'L	A	Dividend	K	T					
3. SCHWAB -	A	Dividend	J	T					
4. MAY DEPT STORES 8.3% DEB 7/15/2026 - IRA	C	Interest	K	T					
5. EXECUTIVE RISK CAPITAL TR 2/1/2027 - 8.675% - IRA	C	Interest	K	T					
6. ACE LTD SER C 7.8% - IRA	C	Interest	K	T					
7. RESOLUTION FDG CORP 10/15/2016 - IRA	E	Interest	K	T					
8. DUKE CAPITAL SR NOTES 2/15/2013 6.25% -IRA	B	Interest	K	T					
9. LEUCADIA NAT'L 11/15/2013 7.75% - IRA	B	Interest	K	T					
10. NCNB 7/15/2015 10.2% - IRA	B	Interest	K	T					
11. NAT'L RURAL UTILITY COOP 10/1/2015 7.2% - IRA	B	Interest	K	T					
12. ITT HARTFORD GRP DEB 11/1/2015 7.3% - IRA	B	Interest	K	T					
13. ALLEGIENCE CORP DEB 10/15/2016 7.8% - -IRA	B	Interest	K	T					
14. RYDER SYSTEMS DEB 5/15/2017 9+.875% - IRA	C	Interest	K	T					
15. CITICORP CAPITAL 2/15/2027 7.933% - IRA	C	Interest	K	T					
16. ST PAUL CAPITAL TR PFD 7.6% - IRA	C	Interest	K	T					
17. TXU CORP - IRA	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. BAXTER, INT'L - IRA	B	Dividend	J	T					
19. EXXONMOBIL - IRA	B	Dividend	K	T					
20. HOME DEPOT - IRA	B	Dividend	J	T					
21. JOHNSON & JOHNSON	B	Dividend	J	T					
22. MICROSOFT - IRA	B	Dividend	J	T					
23. WAL-MART STORES - IRA	B	Dividend	J	T					
24. AVAYA	A	Dividend	K	T					
25. POWERSHARES ETF (NAME CHANGE)	A	Dividend	J	T					
26. LEHMAN BROS HOLDINGS 7.95%	B	Dividend	K	T					
27. PEPSICO	B	Dividend	J	T					
28. ANDARKO PETE CORP SR NT 3/15/2014 - 7.625% - IRA	B	Interest	K	T					
29. AMEREM CORP SR NT 5/15/2014 8.875% - IRA	B	Interest	K	T					
30. BUNGE LTD FIN CORP SR NT 7/15/2015 - 5.1% - IRA	B	Interest	K	T					
31. HOSPIRA INC NT 5/15/2015 6.4% - IRA	B	Interest	K	T					
32. WILLIS NO AMER INC SR NT 7/15/2015 5.625% - IRA	B	Interest	K	T					
33. ENDURANCE SPECIALTY HLDGS LTD SR NT 10/15/2015 6.15% -IRA	B	Int./Div.	K	T					
34. HORACE MANN EDUCATORS CORP NEW SR NT 4/15/2016 6.85% - IRA	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. ALLIED WORLD ASSUR 8/1/2016 7.5% - IRA	B	Interest	K	T					
36. FIDELITY NAT'L FINCL NEW SR NT 5/15/2017 6.6% - IRA	A	Interest	K	T					
37. DREYFUS TREAS PRIME INV SHS MNY MKT	B	Interest	M	T					
38. DREYFUS TREAS PRIME INV SHS	A	Dividend	N	T					
39. TEMPLETON GLOBAL BD FD ADVISOR - IRA	C	Dividend	M	T					
40. CENTRAL GOLDTRUST TR UNIT - IRA		None	K	T					
41. CNA FINCL CORP NT 12/15/2014 5.85% - IRA	A	Interest	K	T					
42. ANNALY CAP MGMT INC - IRA	A	Dividend	K	T					
43. WALT DISNEY CO NTS 12/15/2013 4.5%	B	Interest	K	T					
44. VANGUARD SHT TERM BD INDEX SIGNAL	D	Dividend	M	T					
45. UBS AG AUSTRALIA BRCH NTS 8/26/2013 6.25%	B	Interest	K	T					
46. MARRIOTT INT'L NEW NT SER J 2/15/2013 5.625% - IRA	B	Interest	K	T					
47. COMMERCIAL METALS CO SR NTS 8/15/2013 5.625% - IRA	B	Interest	K	T					
48. AMERICAN EXPRESS CR CORP MED TRM NTS 8/20/2013 7.3% - IRA	B	Interest	K	T					
49. TELECOM ITALIA CAP SR NTS SER B - IRA	B	Interest	K	T					
50. FIDELITY NAT'L FINCL INC NEW SR NTS 5/15/2017 6.6% - IRA	A	Interest			Sold	03/20/19	K		
51. ORIX CORP NTS 1/12/2016 6.6% - IRA	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. BEST BUY INC FXD RT NTS 3/15/2016 3.75% - IRA	A	Interest	K	T					
53. TRANSATLANTIC HLDGS SR NT 12/14/2015 5.75% - IRA	B	Interest	K	T					
54. AVNET INC NTS 9/15/2016 6.625% - IRA	B	Interest	K	T					
55. CENTRAL GOLDTRUST TR UNIT - IRA		None	L	T					
56. NOVARTIS AG ADR - IRA	B	Dividend	L	T					
57. SEALED AIR CORP NEW COM - IRA	B	Dividend	K	T					
58. STAPLES, INC - IRA	A	Dividend	J	T					
59. WESTERN UNION CO COM	A	Dividend	K	T					
60. CENTRAL FD CDA LTD CL A	A	Dividend	K	T					
61. WINTERGREEN FD - IRA	A	Dividend	K	T					
62. SPROTT PHYSICAL GOLD TR		None	M	T					
63. BK OF NEW YORK MELLON CORP	B	Dividend	K	T					
64. GOOGLE INC CL A		None	L	T					
65. EXELON GENERATION CO	B	Interest			Redeemed	10/01/19	K		
66. EXELON CORP COM	B	Dividend	K	T					
67. POTLATCHDELTIC CORP NEW FXD RT SR NT 7.5% 11/1/19 (NAME CHANGE)	A	Interest			Redeemed	01/31/19	K	A	
68. JEFFRIES GROUP INC NEW FXD RT SR NT 8.5% 7/15/19	B	Interest			Redeemed	07/15/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. MOSAIC CO NEW	A	Dividend	K	T					
70. ALCOA INC FXD RTATE NT 5.4% 4/15/2021	B	Interest	K	T					
71. TALISMAN ENERGY SER 7.75% 6/1/2019	B	Interest	K	T					
72. DIST COLUMBIA UNIV REV RFDG GEORGETOWN SER A 5.0% 4/1/2021	B	Interest			Redeemed	10/01/19	K		
73. LABORATORY CORP AMER 4.625%	B	Interest	K	T					
74. ADVANCE AUTO PARTS 5.75% 4/29/2110	A	Interest			Redeemed	02/28/19	K	A	
75. VIACOM INC NEW SR NT 3.875% 12/15/2021	A	Interest	K	T					
76. BP PLC SPON ADR	B	Dividend	K	T					
77. FPA CRESCENT	A	Dividend	K	T					
78. KRAFT FOODS GROUP	B	Dividend	K	T					
79. DOUBLELINE TOTAL	A	Dividend	K	T					
80. BERKSHIRE HATHAWAY		None	K	T					
81. MCDONALDS CORP FR NTS 3.625%/ 5/20/2021	A	Interest	K	T					
82. WILLIS NO AMERICA FXD RATE 7.0 9/29/19	B	Interest			Redeemed	09/30/19	K		
83. WILLIS TOWERS WATSON 5.75% 3/15/2021	B	Interest	K	T					
84. AETNA INC FXD RT 4.1254%	B	Interest	K	T					
85. BENTONVILLE ARK SCH	A	Interest	K	T					

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86. DISNEY WALT CO	A	Dividend	K	T					
87. EBAY INC FXD RT SR 2.875% 8/1/2021	A	Interest	K	T					
88. GOLDMAN SACHS GRP NTS 2.55% 10/23/2019	A	Interest			Redeemed	10/23/19	K		
89. OAKTREE CAP GRP		None			Sold	03/15/19	K		
90. SUNCOR ENERGY INC	B	Dividend	K	T					
91. ABBOTT LABS FXD SR NOTES 2.9% 11/30/2021	A	Interest			Redeemed	12/18/19	K	A	
92. KANSAS CTY SOUTHERN GTD SR NOTE 2.35% 5/15/2020	A	Interest			Redeemed	12/18/19	K	A	
93. MCDONALD'S CORP MEDIUM TERM NOTE 2.625% 1/15/2022	A	Interest	K	T					
94. THOMSON REUTERS CORP NT 3.95% 9/30/2021	A	Interest	K	T					
95. WALGREENS BOOTS ALLIANCE INC GTD FXD RATE NOTE 2.7% 11/18/2019	A	Interest			Redeemed	11/18/19	K		
96. DUPONT E I DE NEMOURS & CO. FIXED RT NT 4.25% 4/1/2021	A	Interest			Redeemed	04/22/19	K	A	
97. MCDONALDS CORP MEDIUM TERM NTS FIXED RT SR NT 2.75% 12/9/2020	A	Interest	K	T					
98. TECH DATA CORP FXD RATE NT 3.75%09/21/2017	B	Interest	K	T					
99. KRAFT FOODS GRP FIXED RATE NT 5.375% 2/10/2020	B	Interest	K	T					
100. SOUTHWEST AIRLINES 2.75% NTS 11/6/19	A	Interest			Redeemed	11/06/19	K		
101. CNA FINANCIAL CORP 5.875% 8/15/20	B	Interest			Redeemed	06/06/19	K	A	
102. AMERIPRISE FINANCIAL SR NTS 7.3% 6/28/19	A	Interest			Redeemed	06/28/19	K		

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103. BECTON DICKINSON FXD RT NT 3.125% 11/8/21	A	Interest	K	T					
104. DOW CHEMICAL FXD RT NT 4.125% 11/15/21	B	Interest			Redeemed	11/12/19	K	A	
105. GOLDMAN SACHS GRP INC FXD RT NT 2.75% 9/15/20	A	Interest	K	T					
106. McKESSON CORP FXD NT 4.75% 3/1/21	B	Interest	K	T					
107. MEAD JOHNSON NUTRITION CO FXD RT SR NT 3.0 % 11/15/20	A	Interest	K	T					
108. NORTHROP GRUMMAN CORP SR NT 3.5% 3/15/21	A	Interest	K	T					
109. REYNOLDS AMERICAN INC GTD FXD RT SR NT 3.25% 6/12/20	A	Int./Div.	K	T					
110. WELLS FARGO & CO NEW MEDIUM TERM SR NTS FXD RT SER 1 4.6% 4/1/21	B	Interest	K	T					
111. INTEL CORP	C	Dividend	K	T					
112. BAXTER INT'L INC FXD RT SR NT 1.7% 8/15/21	A	Interest	K	T					
113. CNA FIN'L CORP FXD RT NT 5.75% 8/15/21	B	Interest	K	T					
114. KEYCORP MEDIUM TERM SR NT SER M 2.9% 9/15/20	A	Interest	K	T					
115. ZIMMER BIOMET HLDGS SR NT 2.7% 4/1/20	A	Interest	K	T					
116. AUTOZONE, INC. FXD SR NT 2.5% 4/15/2021	A	Interest	K	T					
117. JPMORGAN CHASE & CO. FXD RT NT 2.75% 6/23/2020	A	Interest	K	T					
118. SOUTHERN CO. SR FXD RT NT 1.85% 07/01/2019	A	Interest			Sold	01/24/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

COLE, JEFFREY N.

Date of Report

08/11/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. SOUTHERN CO. SR FXD RT NT 1.85% 07/01/2019	A	Interest			Sold	01/24/19	K	A	
120. DUKE ENERGY CORP. NEW FXD RT NT 5.05% 9/15/2019	B	Interest			Redeemed	09/16/19	K		
121. GENERAL MLS INC FXD RT NT 2.2% 10/21/2019	A	Interest			Redeemed	10/21/19	K	A	
122. INTERCONTINENTAL EXCHANGE, INC. GTD FXD RT SR NT 2.75% 12/1/2020	A	Interest	K	T					
123. KRAFT/HEINZ FOODS, CO. GTD FXD RT SR NT 2.8% 7/2/2020	A	Interest			Redeemed	10/11/19	K	A	
124. LEGG MASON, INC. SR NT 2.7% 7/15/2019	A	Interest			Redeemed	07/15/19	K	A	
125. MORGAN STANLEY FXD RT SR NT SER F 2.8% 6/16/2020	A	Interest	K	T					
126. SUNTRUST BK ATL GA MED TERM SR BK NT FXD RATE 2.25% 1/31/2020	A	Interest			Redeemed	12/31/19	K	A	
127. US TREAS NT 1.625% 4/30/2019	A	Interest			Redeemed	04/30/19	K	A	
128. US TREAS NT 1.0% 3/15/2019	A	Interest			Redeemed	03/15/19	K	A	
129. US TREAS NT 1.25% 1/31/2019	A	Interest			Redeemed	01/31/19	K	A	
130. US TREAS NT 1.375% 1/31/2019	A	Interest			Redeemed	02/28/19	K	A	
131. US TREAS NT 1.125% 5/31/2019	A	Interest			Redeemed	05/31/19	K	A	
132. UNITED TECHNOLOGIES CORP. FXD RATE SR NT 1.9% 5/4/2020	A	Interest	K	T					
133. ORACLE CORP FXD RT NT 2.8% 7/8/21	A	Interest	K	T	Buy	01/25/19	K		
134. BANK AMER CORP FXD RT NTS SER L 5.625% 7/1/20	A	Interest	K	T	Buy	04/16/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

COLE, JEFFREY N.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135. CITIGROUP INC FXD RT NTS 2.625% 10/26/20	A	Interest	K	T	Buy	07/17/19	K		
136. HUNTINGTON BKSH RT SR NTS 2.3% 1/24/22		None	K	T	Buy	11/12/19	K		
137. US TREAS NTS 1.5% 5/31/20	A	Interest	K	T	Buy	04/08/19	K		
138. AMERICAN EXPRESS FXD RT SR NTS 2.2% 10/30/20	A	Interest	K	T	Buy	04/11/19	K		
139. BIOGEN INC FXD RT SR NT 2.9% 9/15/20	A	Interest	K	T	Buy	07/30/19	K		
140. BROADRIDGE FINL SOLUTIONS FXD RT 3.95% 9/1/20	A	Interest	K	T	Buy	04/05/19	K		
141. CNA FINL CORP FXD RT NT 5.3875% 8/15/20	A	Interest	K	T	Buy	04/05/19	K		
142. CSX CORP FXD RT NT 4.25% 6/1/21	B	Interest	K	T	Buy	01/28/19	K		
143. HERSHEY CO FXD RT SR NT 3.1% 5/15/21	A	Interest	K	T	Buy	02/01/19	K		
144. HUMANA INC FXD RT SR NT 2.5% 12/15/20	A	Interest	K	T	Buy	10/08/19	K		
145. KELLOGG CO SR NT 3.125% 5/17/22		None	K	T	Buy	12/20/19	K		
146. LINCOLN NAT'L CORP IND SR NT 4.2% 3/15/22		None	K	T	Buy	11/21/19	K		
147. REYNOLDS AMERICAN INC GTD FXD RT SR NT 3.25% 6/12/20		None	K	T	Buy	07/02/19	K		
148. CHARLES SCHWAB CORP NEW FXD RT SR NT 3.25% 5/21/21	A	Interest	K	T	Buy	05/09/19	K		
149. STARBUCKS CORP FXD RT SR NT 2.2% 11/22/20	A	Interest	K	T	Buy	04/10/19	K		
150. TOYOTA MTR CR CORP MEDEIUM TERM NTS FXD RT NTS SER B 1.9% 4/8/21		None	K	T	Buy	10/25/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
151. US BANCORP MEDIUM TERM NTS FXD RT SR NT 2.35% 1/29/21	A	Interest	K	T	Buy	02/22/19	K		
152. US TREAS NTS 1.25% 1/31/20	A	Interest	K	T	Buy	02/14/19	K		
153. US TREAS NTS 1.375% 2/15/20	A	Interest	K	T	Buy	08/12/19	K		
154. US TREAS NTS 1.5% 6/15/20	A	Interest	K	T	Buy	12/03/19	K		
155. VERIZON COMMUNICATIONS INC FXD RT NT 4.6% 4/1/21	B	Interest	K	T	Buy	01/14/19	K		
156. ZOETIS INC FXD RT SR NT 3.25% 8/20/21		None	K	T	Buy	11/20/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting COLE, JEFFREY N.	Date of Report 08/11/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

COLE, JEFFREY N.

Date of Report

08/11/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JEFFREY N. COLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544