AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Phillips, Gregory A.	United States Court of Appeals for the Tenth Circuit	05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
United States Circuit Court Judge, Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final	01/01/2019 **to** 12/31/2019
	5b. ☐ Amended Report	

7. Chambers or Office Address

2120 Capitol Avenue
O'Mahoney Federal Building, Room 2603
Cheyenne, Wyoming 82001

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2019	Laramie County School District #1--Salary
2.	2019	State of Wyoming Retirement System
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/14/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Wells Fargo First Eagle Global Inc Fd, Cl A SGENX	A	Int./Div.	J	T					
2.	Wells Fargo Blackrock Global Allocation Cl A MDLOX	A	Int./Div.	J	T					
3.	Wells Fargo Franklin U.S. Government Money 529 Portfolio - Class C	D	Int./Div.	L	T	Sold (part)	08/01/19	L	D	
4.	Wyoming Retirement System-Pension	A	Interest	K	T					
5.	Bank of the West Cash Accounts	A	Interest			Closed	05/15/19	J	A	
6.	Wells Fargo Cash Sweep Account	A	Interest	K	T					
7.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
8.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
9.	Wells Fargo Cash Sweep Account	A	Interest	J	T					
10.	WF-Allianz Life Index Adv Var Annuity - IDX Perf Strat Using S&P 500 I		None	L	T					
11.	WF-Allianz Life Index Adv Var Annuity - IDX Perf Strat Using S&P 500 I		None	M	T					
12.	Wyoming Retirement System LifePath Index 2025Q	A	Int./Div.	L	T					
13.	Wells Fargo iShares Core S&P IJR	A	Int./Div.	J	T					
14.	Wells Fargo iShares Core S&P 500 ETF IVV	A	Int./Div.	J	T					
15.	Wells Fargo Franklin U.S. Government Money 529 Portfolio - Class A	B	Int./Div.	K	T	Sold (part)	08/01/19	L	B	
16.	Wells Fargo Hartford Mut Fds Inc HBLAX	A	Int./Div.	J	T					
17.	Meridian Trust Federal Credit Union Cash Accounts	A	Interest	J	T	Open	03/27/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/14/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Wells Fargo Alger Focus Equity Fund Z	A	Int./Div.	K	T	Buy	05/23/19	J		
19. Wells Fargo Franklin Custodian FDS Income Ser Adv CL AD FRIAX	A	Int./Div.	K	T	Buy	05/23/19	J		
20. Hartford Mut FDS Inc Balanced Income FD CL I HBLIX	A	Int./Div.	J	T	Buy	05/23/19	J		
21. Lord Abbett Invt TR Floating Rate FD CL I LFRIX	A	Int./Div.	J	T	Buy	05/23/19	J		
22. Fidelity Investments Money Market FDS INSTL FNSXX	B	Int./Div.	M	T	Buy	05/23/19	L		

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Line 13 of the prior year disclosure has been omitted from Part VII of the current year disclosure as these assets were 100% sold in the prior year.

Name of Person Reporting	Date of Report
Phillips, Gregory A.	05/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory A. Phillips

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544