

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brown, Jerry A.	2. Court or Organization U.S. Bankruptcy Court, EDLA	3. Date of Report 05/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 500 Poydras Street, Ste B-741A Hale Boggs Federal Building New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Brown, Jerry A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. LSU Bankruptcy Seminar	October 17-18, 2019	Banto Rouge, LA	LSU annual bankruptcy law seminar	transportation and lodging
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	CitiMortgage	Mortgage loan - N.O. rental property	L
2.	Chase	Mortgage loan - N.O. rental property	L
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. New Orleans, LA rental property-parcel 1	E	Rent	O	W					
2. New Orleans, LA rental property-parcel 2	E	Rent	N	W					
3. New Orleans, LA rental property-parcel 4	E	Rent	N	W					
4. New Orleans, LA rental property-parcel 5	E	Rent	O	W					
5. New Orleans, LA rental property-parcel 6	E	Rent	N	W					
6. IBM-common stock	E	Dividend	O	T					
7. Occidental Pet.-common stock	E	Dividend	N	T					
8. Capitol One	A	Interest	J	T					
9. Capitol One Inv. LLC	B	Dividend	J	T					
10. Scudder Tax Free Fund	B	Dividend	J	T					
11. Vinbro, Inc.-common stock (New Orleans, LA)	D	Rent	K	W					
12. Vinbro, Inc.-common stock (New Orleans, LA)	F	Rent	O	W					
13. Safe Co. Muni Bond Fund	F	Dividend	J	T					
14. Merrill Lynch Global Allocation	A	Dividend	K	T					
15. Janus Twenty Fund	A	Dividend	J	T					
16. 20th Century Ultra Fund	A	Dividend	J	T					
17. Vanguard Health Care Portion	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DWS Investments	A	Dividend	J	T					
19. Nicholas Fund	A	Dividend	J	T					
20. New Orleans, LA rental property-parcel 7	E	Rent	N	W					
21. AIM Large Cap Growth Fund	A	Dividend	J	T					
22. Microsoft-Common stock	A	Dividend	J	T					
23. New Orleans, LA rental property-parcel 8	E	Rent	N	W					
24. Stratos Wealth Partners	F	Distribution	P1	T					
25. -AMCAP Fund Class A	A	Distribution	K	T					
26. -Capital World Growth & Income Fund Class A	B	Distribution	M	T					
27. -Fundamental Investors Inc. Class A	C	Distribution	M	T					
28. -Income Fund of America Class A	C	Distribution	L	T					
29. -Franklin Utilities Fund Class C	D	Distribution	M	T					
30. -Franklin Income Fund Class C	D	Distribution	L	T					
31. -Franklin Rising Dividends Class C	C	Distribution	M	T					
32. -Franklin Mutual Global Discovery	A	Distribution	K	T	Sold	12/2018			
33. -New Perspective Fund Class A	A	Distribution	L	T					
34. -Pimco All Asset All Authority Fund	C	Distribution	M	T	Sold	12/2018			

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Whitney Bank Checking Account		None	L	W					
36. Vinbro, Inc.-common stock (New Orleans, LA)	D	Dividend	K	W					
37. Vinbro, Inc.-common stock (New Orleans, LA)	F	Dividend	N	W					
38. Hancock Holding Co.	D	Dividend	N	T					
39. California Resources Corp.	A	Dividend	J	T					
40. T Rowe Price Cap Apprec Investor CL	C	Dividend	M	T	Buy				
41. Vanguard Wellesley Incom Admiral CL	D	Dividend	M	T	Buy				
42.									
43.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 11, and 12 show for the calendar year 2018 the income to [REDACTED] I, that is a flow through from a subchapter S corporation in which [REDACTED] I own stock (in different amounts because of donations I made years ago to most of my stock [REDACTED])

Part VII, Line 24, in 2017 this line stated the total amount invested by my investment advisor in my retirement account. in December 2018 he moved all of the investments from LPL Financial to Stratos Wealth Partners.

Part VII, Lines 25-34 list the individual funds held by Stratos Wealth Partners as of December 31, 2018 which are the same as the individual funds formerly held by LPL Financial.

Part VII, Lines 32 and 34 were sold in December 2018.

Part VII, Lines 40 and 41 were purchased in 2019.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerry A. Brown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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