

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barrett, Susan D.	2. Court or Organization U.S. Bankruptcy Court, GA-S---test	3. Date of Report 08/13/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address P. O. Box 31267 Augusta, Georgia 30903		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Hill Properties, LLC
2. Partner		Family Partnership
3. Trustee		Family Trust (reported as Family Trust in VII)
4. Trustee, Member of Board of Managers, and member of the Family Aid Committee		Tuttle-Newton Home, Inc.
5. Board Member		Wheel Movement of the CSRA, Inc.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Barrett, Susan D.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Coastal Bankruptcy Law Institute, Inc.	April 18-19, 2019	Savannah, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
2.	National Conference of Bankruptcy Judges	October 29-November 2, 2019	Washington, D.C.	Conference	Airfare, Lodging, Meals and Transportation
3.	State Bar of Georgia Bankruptcy Section	December 11-13, 2019	Greensboro, Georgia	Seminar	Registration, Meals, Educational Materials and Lodging
4.					
5.					

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Barrett, Susan D.	08/13/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Barrett, Susan D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Suntrust Bank Checking	A	Interest	J	T					
2. Suntrust Money Market	A	Interest	J	T					
3.									
4. BROKERAGE ACCT #1 (SCHWAB BROKERAGE)									
5. SCHWAB BANK (SWEEP)	A	Interest	K	T					
6. SCHWAB US TRSY MONEY INV. (SNSXX)	A	Int./Div.	M	T					
7.					Buy (add'l)	12/06/19	K		
8.					Sold (part)	11/15/19	J		See Part VIII
9.					Buy (add'l)	08/16/19	K		
10.					Sold (part)	05/20/19	K		See Part VIII
11. ACCENTURE PLC (ACN)(X)	A	Dividend	K	T	Buy	08/26/19	K		
12. CARNIVAL CORP (CCL)(X)	A	Dividend	K	T	Buy	07/01/19	K		
13. US TREASURY BILL 19 (DUE 12/19)(X)	A	Interest			Redeemed	12/05/19	K		
14.					Buy	07/29/19	K		
15. US TREASURY BILL 19 (DUE 8/19)(X)	A	Interest			Redeemed	08/15/19	K		
16.					Buy	02/15/19	K		
17. US TREASURY BILL 19 (DUE 7/19)(X)	A	Interest			Redeemed	07/25/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy	01/22/19	K		
19. US TREASURY BILL 19	A	Interest			Redeemed	01/24/19	L		
20. DOVER CORP. (DOV)(X)	A	Dividend	K	T	Buy	08/21/19	K		
21. ISHARES INTL SEL DIV ETF (IDV)	A	Dividend			Sold	07/09/19	K	A	
22. ISHARES SELECT DIV ETF IV (DVY)	D	Dividend	M	T					
23. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					
24. MARRIOT INTL INC (MAR)(X)	A	Dividend	K	T	Buy	08/13/19	K		
25. MICROSOFT CORP (MSFT)	A	Dividend	K	T					
26. PUTNAM SHORT DURATION INCM A (PSDTX)	B	Dividend			Sold	08/26/19	K		See Part VIII
27.					Sold (part)	08/21/19	K		See Part VIII
28.					Sold (part)	08/20/19	K		See Part VIII
29.					Sold (part)	08/13/19	J		See Part VIII
30.					Sold (part)	07/29/19	K		See Part VIII
31.					Buy (add'l)	07/26/19	L		
32.					Sold (part)	07/01/19	K		See Part VIII
33. WALMART INC. (WMT)(X)		None	J	T	Buy	11/15/19	J		
34. SCHWAB US DIV EQUITY ETF (SCHD)	E	Dividend	O	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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35. 3M (MMM)(X)	A	Dividend	K	T	Buy	08/20/19	K		
36. CHARLES SCHWAB US MC ETF (SCHM)	B	Dividend	L	T					
37.					Buy (add'l)	05/20/19	K		
38. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T					
39.					Buy (add'l)	07/09/19	K		
40. SCHWAB TOTAL STK MKT INDEX (SWTSX)	D	Dividend	O	T					
41. SHERWIN WILLIAMS CO (SHW)	A	Dividend	K	T					
42. SCHWAB EMERGING MARKETS EQUITY ETF (SCHE)	A	Dividend	J	T					
43.									
44. BROKERAGE ACCT. #2 (SCHWAB ROLLOVER IRA)									
45. SCHWAB BANK (SWEEP)	A	Interest	J	T					
46. ISHARES SELECT DIV ETF FUND (DIVY)	A	Dividend			Sold	09/25/19	K	E	
47. PUTNAM SHORT DURATION, INCM A (PSDTX)	B	Dividend	L	T					
48.					Sold (part)	06/07/19	K		See Part VIII
49.					Sold (part)	04/12/19	J		See Part VIII
50. SCHWAB US DIV. EQUITY ETF (SCHD)	D	Dividend	N	T					
51.					Buy (add'l)	09/25/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. SCHWAB TOTAL STK MKT. (SWTSX)	B	Dividend	M	T					
53. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	K	T					
54.					Buy (add'l)	06/07/19	K		
55.					Buy (add'l)	04/12/19	J		
56. SCHWAB INT'L EQUITY ETF (SCHF)	A	Dividend	K	T					
57. SCHWAB US SMALL CAP ETF (SCHA)	A	Dividend	K	T					
58.									
59. BROKERAGE ACCT.#3 (WELLS FARGO)									
60. BANK DEPOSIT SWEEP	A	Interest	K	T					
61. CHEVRON CORP (CVX)	C	Dividend	L	T					
62. DXC TECH CO (DXC)	A	Dividend	J	T					
63. EQUITY RESIDENTIAL (EQR)	D	Dividend	M	T					
64. FIREEYE IN (FEYE)		None			Sold	07/17/19	J	A	
65. HP INC (HPQ)	A	Dividend			Sold	10/10/19	J	B	
66. HEWLETT PACKARD ENTERPRISE CO (HPE)	A	Dividend			Sold	10/10/19	J	B	
67. INT'L BUSINESS MACHINE CORP. (IBM)(X)	A	Dividend	K	T	Buy (add'l)	10/10/19	J		
68.					Buy	07/17/19	J		

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☐ NONE (No reportable income, assets, or transactions.)

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69. MICRO FOCUS INTL PLC ADR (MFGP) (X)		None			Sold	07/17/19	J	A	See Part VIII
70. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)	A	Dividend			Merged (with line 69)	05/20/19	J		See Part VIII
71.									
72. PALO ALTO NETWORKS (PANW)		None	K	T					
73. PERSPECTA INC. (PRSP)	A	Dividend	J	T					
74. PROCTOR & GAMBLE CO. (PG)	C	Dividend	M	T					
75. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	A	Dividend	J	T					
76. VERIZON COMMUNICATIONS COM (VZ)	A	Dividend	J	T					
77.									
78. MEMBERSHIP INTEREST IN HILL PROPERTIES, LLC		None							See Part VIII
79.									
80. FAMILY INS. PSHIP (OWNS LIFE INS. POL-CASH VALUE & SMALL BANK ACCT. AT		None	N	T					
81. SUNTRUST)									
82.									
83. TRUSTEE OF FAMILY TRUST CHECKING ACCOUNT AT SUNTRUST BANK		None	J	T					
84.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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85. FAMILY TRUST ACCT. #1 (TRUSTEE) (SCHWAB BROKERAGE ACCT.)									
86. ABBVIE, INC. (ABBV)	C	Dividend	L	T					
87. BANK SWEEP ACCT.	A	Interest	K	T					
88. TRUIST FINL CORP. (TFC) f/n/a BB&T CORPORATION (BBT)	B	Dividend	L	T					See Part VIII
89. BLACKROCK, INC. (BLK)	B	Dividend	K	T					
90. DEERE & CO. (DE)	B	Dividend	L	T					
91. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T					
92. MARRIOTT INTL INC. (MAR)	A	Dividend	K	T					
93. MC DONALDS CORP (MCD)	B	Dividend	L	T					
94. MICROSOFT CORP (MSFT)	B	Dividend	M	T					
95. PAYCHEX INC (PAYX)	B	Dividend	L	T					
96. PUTNAM SHORT DURATION (PSDTX)	C	Dividend	M	T					
97. SCHWAB US TRSY MONEY INV. (SNSXX) (X)	B	Dividend	K	T					
98.					Sold (part)	08/22/19	J		See Part VIII
99.					Sold (part)	07/01/19	J		See Part VIII
100.					Sold (part)	06/19/19	J		See Part VIII
101.					Buy	02/08/19	L		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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P3 =\$25,000,001 - \$50,000,000

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102. SCHWAB US DIV EQUITY ETF (SCHD)	D	Dividend	M	T					
103. CHARLES SCHWAB US MC ETF (SCHM)	A	Dividend	K	T					
104. TECHNOLOGY SELECT SECTOR SPDR ETF (XLK)	A	Dividend	L	T					
105. UNITED TECHNOLOGIES CORP (UTX)		None			Sold	01/25/19	K	D	
106. 3M (MMM)	B	Dividend	L	T					
107. CAE INC. (CAE) (X)	A	Dividend			Sold	10/16/19	K	A	
108.					Buy (add'l)	07/05/19	J		
109.					Buy	06/19/19	K		
110.									
111. FAMILY TRUST ASSET #2 (WELLS FARGO BROKERAGE ACCT.)									
112. BANK DEPOSIT SWEEP	A	Interest	L	T					
113. CHEVRON (CVX)	B	Dividend	L	T					
114. DXC TECHNOLOGY CO (DXC)	A	Dividend	J	T					
115. EQUITY RESIDENTIAL (EQR)	D	Dividend	O	T					
116. HP INC (HPQ)	B	Dividend	K	T					
117. HEWLETT PACKARD ENTERPRISES CO. (HPE)	A	Dividend	K	T					
118. MICRO FOCUS INTL PLC ADR (MFGP)	A	Dividend	J	T					See Part VIII

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
119. MICRO FOCUS INTL PLC ADR SPONSORED ADR NEW (MFGP)	B	Dividend			Merged (with line 118)	05/20/19	K		See Part VIII
120. PERSPECTA, INC. (PRSP)	A	Dividend	J	T					
121. PROCTOR & GAMBLE (PG)	D	Dividend	M	T					
122. ROYAL DUTCH SHELL PLC ADR CL A (RDS/A)	B	Dividend	K	T					
123. TRUIST FINL CORP. (TFC) F/K/A SUNTRUST BANKS INC (STI)		None	M	T					See Part VIII.
124. SUNTRUST BANK INC. (STI)	D	Dividend			Merged (with line 123)	12/09/19	M		See Part VIII

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barrett, Susan D.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII (Lines 8, 10, 26-30, 32, 48-49, 98-100). There is no gain to report.

Section VII (Lines 69-70, 118-119). Micro Focus Intl Plc ADR. Sponsored ADR New was merged into Micro Focus Intl Plc. ADR.

Section VII (Line 78). I own a membership interest in this entity, but it did not have any activity in the reporting period.

Section VII (Lines 88, 123-24). Truist Finl. Corp. (TFC) was formed through the merger of BB&T and SunTrust.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Barrett, Susan D.

Date of Report

08/13/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan D. Barrett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544