

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jernigan, Stacey G.	2. Court or Organization U.S. Bankruptcy Court, NDTX	3. Date of Report 04/24/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 1100 Commerce St., Room 1254 Dallas, TX 75242		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Family Trust #1 (trust created by settlor/family member on 5/28/2015).
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	Haynes and Boone, LLP Partners' Retirement Plan - Defined Benefit Pension Plan (vested pension benefit earned at former law firm; common fund - no control).
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Amazon Publishing, book royalties	\$717.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Dallas Police Dept.-salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	State Bar of Texas Bankruptcy Law Section	March 8 through March 16	Ho Chi Minh City, Vietnam	Speaker at International Bankruptcy Seminar	Transportation, lodging, food
2.	National Conference of Bankruptcy Judges	October 29 through November 2	Washington, DC	Committee Participant, NCBJ Annual Conference	Transportation, lodging, food
3.	State Bar of Texas Bankruptcy Section	April 17 through April 19	Austin, TX	Speaker, Bankruptcy Bench-Bar	Transportation, lodging, food
4.	University of Texas School of Law	November 14 through November 15	Auatin, TX	Speaker, Westbrook Bankruptcy Conference	Transportation, lodging, food
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Capital Mortgage	Mortgage loan on condominium acquired for family member in Dallas, TX (Pt. VII, line 12)	L
2. DLUNST	College Tuition (Guaranty [REDACTED] Student Loan)	J
3. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
4. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
5. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	K
6. Sallie Mae	College Tuition (Guaranty [REDACTED] Student Loan)	J

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America Checking Accts	A	Interest	J	T					
2. Bank of America Savings Acct	A	Interest	J	T					
3. IRA - BOSCO, Inc. a/k/a BOK Financial Securities									
4. - Growth Fund of America	B	Int./Div.	M	T					
5. - New Perspective Fund	B	Int./Div.	M	T					
6. - Capital World Growth & Income Fund	B	Int./Div.	L	T					
7. - Fundamental Investors Fund	B	Int./Div.	M	T					
8. - Income Fund of America	C	Int./Div.	M	T					
9. -Griffin Institutional Real Estate Fund	B	Int./Div.	K	T					
10. -Pershing Gov't Acct	A	Interest	J	T	Distributed (part)	08/19/19	K		
11. Family Trust #1 (funds invested in Merrill Lynch accts)									
12. -Cash		None	K	T					
13. -CD	A	Interest	K	T	Buy	01/29/19	K		
14. -Invesco	A	Int./Div.	J	T	Buy	01/29/19	J		
15. -Pimco	A	Int./Div.	K	T	Buy	01/29/19	K		
16. -Blackrock Eqty Dividend	A	Int./Div.	J	T	Buy	01/14/19	J		
17. -Clearbridge Large Cap	A	Int./Div.	J	T	Buy	01/14/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -ISH USD High Yield	A	Int./Div.	J	T	Buy	03/21/19	J		
19. -iShares Russell 1000	A	Int./Div.	K	T	Buy	01/14/19	K		
20. iShares US Treasury Bonds	A	Int./Div.	K	T	Buy	01/14/19	K		
21. -iShares TR Core MSCI EAF	A	Int./Div.	J	T	Buy	01/14/19	J		
22. -MFS Value FD CL I	A	Int./Div.	J	T	Buy	01/14/19	J		
23. -Neuberger Berman Intl	A	Int./Div.	J	T	Buy	01/15/19	J		
24. -Schwab US Small-Cap ETF	A	Int./Div.	J	T	Buy	01/22/19	J		
25. -T Rowe Price Emerging	A	Int./Div.	J	T	Buy	01/14/19	J		
26. -TCW Total Return	A	Int./Div.	K	T	Buy	01/14/19	K		
27. -Vanguard Intrmdiate-Term	B	Int./Div.	K	T	Buy	01/14/19	K		
28. -Vanguard Short-Term	A	Int./Div.	J	T	Buy	01/14/19	J		
29. Condominium, Dallas, TX		None	L	W					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

At Part VII, Line 11-28, "Family Trust #1" is a revocable living trust created by a family member of the filer, as grantor/settlor, who is neither the filer, the filer's spouse, nor the filer's dependent child. Neither the filer, the filer's spouse, nor any dependent children receive any income from it, but the filer has a residual beneficial interest in it and, as Trustee, has knowledge of the holdings in the trust. Prior to January 2019, all funds in the trust were held in a Merrill Lynch cash account. In January 2019, Merrill Lynch was instructed to invest the funds in CDs and mutual funds, which explains the changes from the 2018 financial disclosure report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacey G. Jernigan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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