

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 400 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member - Criminal Law Drafting Committee	Nartional Conference of Bar Examiners
2. Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3. Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Sixth
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Thompson, Anne E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	National Examiners of Bar Examiners	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bar Examiners	May 16-19, 2019	Nashville, TN	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2.	National Conference of Bar Examiners	September 26-29, 2019	Kansas City, MO	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Thompson, Anne E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. OceanFirst Bank	A	Interest	J	T					
2. Gkenmede Tax-Exempt Fund	A	Dividend	J	T					
3. Metropolitan Life Insurance Company (Term Life Policy)	B	Int./Div.	J	T					
4. Chase Bank	A	Interest	K	T					
5. TRUST #1 - U/W Wm. Thompson Art. Fifth (H)									
6. -- Fidelity Diversified Int'l Fund	A	Dividend	K	T					
7. -- Fidelity Money Market Cash Reserves	A	Dividend	K	T					
8. -- Fidelity Advisor New Insights Fund	A	Dividend	J	T					
9. -- Fidelity Blue Chip Growth Fund		None	K	T					
10. -- Baron Growth Fund		None	J	T					
11. -- DWS Strategic High Yield Tax Free CL S	A	Dividend			Sold	05/23/19	K	C	
12. -- Diamond Hill Small Cap Fund CL A		None	J						
13. -- Harbor Capital Appreciation Fund		None	K	T					
14. -- Hartford Capital Appreciation Fund CL A	A	Dividend	J	T					
15. -- Hotchkins & Wiley Mid Cap Value Fund CL A	A	Dividend	J	T					
16. -- T Rowe Price Mid Cap Value Fund Adv Class	A	Dividend	J	T					
17. -- T Rowe Price Value Fund Adv Class	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -- S&P 500 Depository Receipt	A	Dividend	K	T					
19. -- Invesco Int'l Growth Fund	A	Dividend	J	T					
20. -- Vitrus Foreign Opportunities Fund	A	Dividend	J	T					
21. -- MFS International Diversification Fund	A	Dividend	K	T					
22. -- T Rowe Tax Free Inc Fund	A	Dividend			Sold	05/23/19	J	A	
23. -- Causeway International Value	A	Dividend	J	T					
24. -- FMI Large Cap Fund		None			Sold	05/23/19	J	B	
25. -- MFS Reseach Int'l	A	Dividend	J	T					
26. -- Primecap Odyssey Growth Fund	A	Dividend	J	T					
27. -- Ishares TR Russell 1000 Value Index	A	Dividend	K	T					
28. -- Ishares TR Russell 100 Growth Index	A	Dividend	K	T					
29. -- Fidelity Municipal Income	A	Dividend			Sold	05/23/19	L	C	
30. -- Artisan Small Cap Fund		None	J	T					
31. -- Ishares TR Russell 1000 Index Fund	A	Dividend	J	T					
32. -- Vanguard Growth Vipers	A	Dividend	K	T					
33. -- Vanguard Value Vipers	A	Dividend	K	T					
34. -- Blackrock National Muni Fund CL A	A	Dividend			Sold	05/23/19	K	B	

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35. -- Spector Spdr Tr Shs Ben Int Financial	A	Dividend			Sold	05/23/19	J	C	
36. -- Acadian Emerging Markets Investor		None			Sold	05/23/19	J	B	
37. -- Morgan Stanley Inst International		None			Sold	05/23/19	J	A	
38. -- Oppenheimer Development Markets	A	Dividend	K	T					
39. -- Templeton Global Bond	A	Dividend			Sold	05/23/19	K	A	
40. -- Transamerica International Equity		None			Sold	05/23/19	J	A	
41. -- Wells Fargo Special Mid Cap Value Adm	A	Dividend	J	T					
42. -- Ishares S&P Growth	A	Dividend	J	T					
43. -- Ishares S&P Value	A	Dividend	J	T					
44. -- Vanguard Lsrge Cap ETF	A	Dividend	K	T					
45. -- Vanguard Sector Index	A	Dividend	J	T					
46. -- Vanguard Small-Cap ETF	A	Dividend	J	T					
47. -- Ishares MSCI EAFE Small-Cap	A	Dividend	J	T					
48. -- Janue Henderson Enterprise	A	Dividend	K	T	Buy (add'l)	05/23/19	K		
49. -- Mainstay Tax-Free Bond	A	Dividend			Sold	05/23/19	J	A	
50. -- Flexshares Trust Morningstar Global	A	Dividend			Sold	05/23/19	J	A	
51. -- Spdr Index Shs Fds S&P Global		None			Sold	05/23/19	J	A	

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52. -- T Rowe Price New Era		None			Sold	05/23/19	J	A	
53. -- JP Morgan US Large Cap Core Plus Class I		None			Sold	05/23/19	J	A	
54. -- Dodge & Cox International Stock Fund		None			Sold	05/23/19	J	A	
55. -- Vanguard Long Term Tax Exempt Adm	A	Dividend			Sold	05/23/19	K	B	
56. -- Wells Fargo Muni Bond Fd Admin CL	A	Dividend			Sold	05/23/19	K	A	
57. -- Ishares Inc Core MSCI Emerging Markets	A	Dividend	J	T	Buy (add'l)	05/23/19	J		
58. -- Credit Suisse Commodity Return Strategy Fund	A	Dividend	J	T	Buy	05/23/19	J		
59. -- Intermediate Crossover Fixed Income Common TR Fd		None	M	T	Buy	05/23/19	M		
60. -- Ishares Core MSCI EAFE	A	Dividend	J	T	Buy	05/23/19	J		
61. -- Ishares Core S&P 500	A	Dividend	K	T	Buy	05/23/19	K		
62. -- Ishares Core S&P Mid Cap	A	Dividend	K	T	Buy	05/23/19	K		
63. -- Ishares Core S&P Small Cap	A	Dividend	K	T	Buy	05/23/19	K		
64. -- Wells Fargo Special Small Cap Value Fund	A	Dividend	K	T	Buy	05/23/19	J		
65. TRUST #1 - U/W Wm. Thompson Art. Sixth (H)									
66. - Fidelity Diversified Int'l Fund	A	Dividend	J	T					
67. -- Fidelity Money Market Cash Reserves	A	Dividend	K	T					
68. -- Fidelity Advisor Mid Cap Fund II Cl I	A	Dividend	K	T					

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69. -- Fidelity Advisor Int'l Discovery Fund CL I	A	Dividend	J	T					
70. -- Fidelity Blue Chip Growth Fund		None	K	T					
71. -- Baron Growth Fund		None	J	T					
72. -- DWS Strategic High Yield Tax Free CL S	A	Dividend			Sold	05/23/19	K	C	
73. -- Diamond Hill Small Cap Fund CL A		None	J	T					
74. -- Harbor Capital Appreciation Fund		None	K	T					
75. -- Hartford Capital Appreciation Fund CL A		None			Sold	05/23/19	J	C	
76. -- Ishares TR Russell 100 Growth Index	A	Dividend	L	T					
77. -- Mutual Series Mutual Shares CL A	A	Dividend	J	T					
78. -- T Rowe Price Mid Cap Value Fund Adv Class	A	Distribution	K	T					
79. -- T Rowe Price Value Fund Adv Class	A	Dividend	K	T					
80. -- Royce PA Mutual Fund	A	Dividend	J	T					
81. -- S&P 500 Depository Receipt	A	Dividend	L	T					
82. -- Invesco Int'l Growth Fund	A	Dividend	J	T					
83. -- Artisan Int'l Value Fund	A	Dividend	K	T					
84. -- American EuroPacific Growth Fund		None			Sold	05/23/19	K	D	
85. -- MFS International Diversification Fund	A	Dividend	K	T					

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -- Fidelity Advisor New Heights		None			Sold	05/23/19	J	A	
87. -- T Rowe Price Tax Free Income	A	Dividend			Sold	05/23/19	K	B	
88. -- Dodge & Cox Stock Fund	A	Dividend	J	T					
89. -- Ishares TR 1000 Value	A	Dividend	J	T					
90. -- Ishares TR 1000 Value Index	A	Dividend	K	T					
91. -- Oakmark International	A	Dividend	J	T					
92. -- Ishares MSCI EAFE Index	A	Dividend	K	T					
93. -- Ishares TR Russell Midcap Value Index	A	Dividend	J	T					
94. -- Templeton Global Fund	A	Dividend			Sold	05/23/19	K	A	
95. -- T Rowe Price International Stock		None			Sold	05/23/19	K	C	
96. -- Oppenheimer International Bond	A	Dividend			Sold	05/23/19	J	A	
97. -- Blackrock National Muni	B	Dividend			Sold	05/23/19	M	D	
98. -- Acadian Emerging Markets Investor		None			Sold	05/23/19	J	C	
99. -- T Rowe Price Emerging Markets Stock	A	Dividend	K	T					
100. -- Ishares Core S&P Small-Cap	A	Dividend	L	T	Buy (add'l)	05/23/19	K		
101. -- Ishares S&P 500 Value	A	Dividend	K	T					
102. -- Ishares US Financials	A	Dividend	K	T					

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103. -- Vanguard Large Cap ETF	A	Dividend	K	T					
104. -- FlexShares Mdstar Global Upstream	A	Dividend			Sold	05/23/19	J	A	
105. -- Ishares Core MSCI Emerging Markets	A	Dividend	K	T	Buy (add'l)	05/23/19	J		
106. -- Ishares Core EAFE Small Cap	A	Dividend	J	T					
107. -- Fidelity Tax Free Bond Fund	A	Dividend			Sold	05/23/19	J	A	
108. -- T Rowe Price Tax Free High Yield	A	Dividend			Sold	05/23/19	J	A	
109. -- Mainstay Tax Free Bond	A	Dividend			Sold	05/23/19	J	A	
110. -- Vanguard Large Cap Tax Exempt	A	Dividend			Sold	05/23/19	L	B	
111. -- MFS International Vale Fund		None			Sold	05/23/19	J	A	
112. -- IShares MSCI Emerging Markets	A	Dividend	J	T					
113. -- Credit Suisse Commodity Return Strategy Fund	A	Dividend	J	T	Buy	05/23/19	J		
114. -- Ishares Core MSCI EAFE Small Cap	A	Dividend	K	T	Buy	05/23/19	J		
115. -- Ishares Core S&P 500	A	Dividend	J	T	Buy	05/23/19	K		
116. -- Ishares Core S&P Mid Cap	A	Dividend	K	T	Buy	05/23/19	K		
117. -- Janus Enterprise Fund	A	Dividend	K	T	Buy	05/23/19	K		
118. -- Wells Fargo Special Small Cap Value Fund	A	Dividend	K	T	Buy	05/23/19	K		
119. -- Intermediate Crossover Fixed Income Common TR Fd		None	M	T	Buy	05/24/19	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Thompson, Anne E.

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05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. GLENMEDE IRA (H)									
121. -- Glenmede Core Fixed Income Port	C	Dividend	M	T					
122. -- Exxon Mobil Corp	A	Dividend	J	T					
123. -- Johnson & Johnson	A	Dividend	J	T					
124. -- Glenmede Govt Cash Fund	B	Interest	K	T					
125. -- Qualcomm Corp	A	Dividend	K	T					
126. -- Glenmede Fund Inc Advisor Small Cap	A	Dividend	K	T					
127. -- Matthews Pacific Tiger Fund	A	Dividend	K	T					
128. -- Van Eck Global Hard Assets		None			Sold	07/19/19	K	A	
129. -- Apple Inc	A	Dividend	K	T					
130. -- Seyworks Solutions	A	Dividend	K	T	Buy (add'l)	01/04/19	J		
131. -- Celgene Corp		None			Sold	02/01/19	J	C	
132. -- Cisco Systems	A	Dividend	J	T					
133. -- Union Pacific Corp	A	Dividend	J	T					
134. -- Loomis Sayles Bond Fund	B	Dividend			Sold	12/03/19	K	A	
135. -- Pepsico Inc	A	Dividend	J	T					
136. -- Chevron Corp	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Thompson, Anne E.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -- Mastercard Inc	A	Dividend	K	T					
138. -- Boeing Co	A	Dividend	J	T	Sold (part)	09/18/19	J	B	
139. -- Paypal Holding Inc		None	K	T					
140. -- Home Depot Inc	A	Dividend	J	T	Sold (part)	10/17/19	J	C	
141. -- JP Morgan & Chase Co	A	Dividend	K	T					
142. -- Dollar Tree Inc		None	J	T	Sold (part)	10/17/19	J	B	
143. -- Honeywell International Inc	A	Dividend	K	T					
144. -- Alphabet Inc Cap Stock		None	J	T					
145. -- Sherwin Williams Co	A	Dividend	K	T					
146. -- Gilead Sciencea Inc	A	Dividend	J	T					
147. -- TJX Cos Inc	A	Dividend	J	T					
148. -- Walt Disney Co	A	Dividend	J	T					
149. -- United Technologies Corp	A	Dividend	J	T	Buy (add'l)	08/15/19	J		
150. -- Intel Corp	A	Dividend	K	T					
151. -- Diageo PLC Spns ADR	A	Dividend			Sold	04/04/19	J	C	
152. -- Smith & Nephew PLC		None			Sold	02/15/19	K	B	
153. -- Glenmede International	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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Name of Person Reporting

Thompson, Anne E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Edwards Lifesciences		None	J	T	Sold (part)	08/05/19	J	D	
155. -- Colgate Palmolive Co	A	Dividend	J	T					
156. -- Costco Wholesale Corp	A	Dividend	J	T					
157. -- Discover Financial Services	A	Dividend	J	T	Sold (part)	08/06/19	J	B	
158. -- PNC Financial Services Group	A	Dividend	K	T					
159. -- Snap On Tools Corp	A	Dividend	J	T					
160. -- Labortatory Corp of America		None	K	T	Buy (add'l)	01/09/19	J		
161. -- Chubb Limited	A	Dividend	J	T					
162. -- Applied Materials Inc	A	Dividend	K	T	Buy (add'l)	03/04/19	J		
163. -- Charles Schwab Corp	A	Dividend	J	T					
164. -- Marathon Petroleum Corp	A	Dividend	J	T					
165. -- Cummins Inc	A	Dividend	J	T					
166. -- Bristol Myers	A	Dividend	K	T	Buy (add'l)	06/15/19	J		
167. -- Waters Ciro		None	J	T					
168. -- Pioneer Natural Resources Co	A	Dividend	J	T					
169. -- CVS Corp	A	Dividend	J	T	Buy	02/01/19	J		
170. -- Viacombs Inc	A	Dividend	J	T	Buy	04/11/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. -- Viacombs Inc	A	Dividend	J	T	Buy (add'l)	08/15/19	J		
172. -- Biogen Idec Inc		None	J	T	Buy	05/07/19	J		
173. -- Microsoft Corp	A	Dividend	K	T	Buy	08/15/19	J		
174. -- O'Reillu Automotive Inc		None	K	T	Buy	08/15/19	K		
175. -- Elanco Animal Health Inc		None	J	T	Buy	09/10/19	J		
176. -- Ulta Beauty Inc		None	J	T	Buy	09/18/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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Name of Person Reporting Thompson, Anne E.	Date of Report 05/05/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Thompson, Anne E.

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05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Anne E. Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544