

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bulsara, Sanket J.	2. Court or Organization U.S. District Court for the Eastern District of New York	3. Date of Report 07/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States District Court Eastern District of New York 225 Cadman Plaza East Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Adjunct Professor, St. John's Law School (salary)	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	SUNY Stonybrook (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Harvard Law School Asian Pacific Law Students Association	Feb 1-2, 2019	Cambridge, MA	Asian Pacific Law Students Association Conference	Transportation, lodging, meals
2.	Cornell Law School Moot Court	Feb 14-17, 2019	Ithaca, NY	Rossi Moot Court Competition	Transportation, lodging, meals
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo (cash) (Y)									
3. Ledyard National Bank (cash)	A	Interest	K	T					
4. Citibank, N.A. (cash)	A	Interest	N	T					
5. Guardian Life Insurance Whole Life Policy	B	Dividend	K	T					
6. 45 Grand St Family, LLC	E	Rent	L	W					
7. IRA #1 (H)									
8. Alphabet Inc. Class A (GOOGL)		None	J	T					
9. Alphabet Inc. Class C (GOOG)		None	J	T					
10. TIAA CREF High Yield Fd Retail (TIYRX)	A	Dividend	J	T					
11. Amana Growth Fund Inv (AMAGX)	A	Dividend	J	T					
12. Hancock Horizon Burkenroad Sm Cap Inv (HHBUX)	A	Dividend	J	T					
13. Lazard Intl Strategic Equity Open Shares (LISOX)	A	Dividend	J	T					
14. Schwab S&P 500 Index Fd (SWPPX)	A	Dividend	J	T					
15. Schwab 1000 Index Fund (SNXFX)	A	Dividend	J	T					
16. IRA #2 (H)									
17. Schwab Target 2040 Fund (SWERX)	D	Int./Div.			Sold	03/26/19	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. BROKERAGE #1 (H)									
19. Charles Schwab Deposit Accounts (cash)	A	Interest			Closed	04/16/19	K		
20. Charles Schwab US Reit ETF (SCHH)	A	Dividend			Sold	04/16/19	J	A	
21. iShares Gold ETF (IAU)		None			Sold	04/16/19	J	A	
22. Invesco QQQ Trust Srs 1 ETF IV (QQQ)	A	Dividend			Sold	04/16/19	K	D	
23. Invesco FTSE RAFI US 1500 Sml Mid ETF (PRFZ)	A	Dividend			Sold	04/16/19	J	B	
24. Schwab Funda Emg Mkts Large ETF IV (FNDE)	A	Dividend			Sold	04/16/19	J	B	
25. Schwab Fundamental Intl Large Com ETF (FNDF)	A	Dividend			Sold	04/16/19	J	A	
26. Schwab Fundamental Intl Small Com ETF (FNDC)	A	Dividend			Sold	04/16/19	J	A	
27. Schwab Fundamental US Large ETF IV (FNDX)	A	Dividend			Sold	04/16/19	J	B	
28. Schwab International Small Cap Eqy ETF (SCHC)	A	Dividend			Sold	04/16/19	J	A	
29. SPDR Blmbrg Brcly Hgh Yld Bnd ETF (JNK)	A	Dividend			Sold	04/16/19	J	A	
30. SPDR Nuveen Blmbrg Barclays Mun Bd ETF (TFI)	A	Dividend			Sold	04/16/19	J	A	
31. Vanguard Emerging Mkt Gov Bnd ETF DV (VWOB)	A	Dividend			Sold	04/16/19	J	A	
32. Vanguard FTSE Developed Markets ETF (VEA)	A	Dividend			Sold	04/16/19	J	A	
33. Vanguard Glbal Ex US Real Est ETF IV (VNQI)	A	Dividend			Sold	04/16/19	J	A	
34. Vanguard S&P 500 ETF (VOO)	A	Dividend			Sold	04/16/19	J	B	

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35. Vanguard Small Cap ETF (VB)	A	Dividend			Sold	04/16/19	J	B	
36. Vanguard Total Internatl Bnd ETF DV (BNDX)	A	Dividend			Sold	04/16/19	J	A	
37. Wisdomtree Europe Smlcp Dividend ETF (DFE)	A	Dividend			Sold	04/16/19	J	A	
38. Baird Core Plus Bd Inv (BCOSX)	A	Dividend			Sold	04/16/19	J	A	
39. BMO Tch Corporate Income Fd Cl Y (MCIYX)	A	Dividend			Sold	04/16/19	J	A	
40. Comcast Corp Cl A (CMCSA) (X)	A	Dividend			Sold	04/16/19	J	A	
41. Lord Abbett Income Fd Cl A (LAGVX)	A	Dividend			Sold	04/16/19	J		
42. Metropolitan West Total Return Bond M (MWTRX)	A	Dividend			Sold	04/16/19	J		
43. PIMCO Foreign Bd USD Hedged Cl A (PFOAX)	A	Dividend			Sold	04/16/19	J	A	
44. PIMCO Incm Cl A (PONAX)	A	Dividend			Sold	04/16/19	J		
45. Western Asset Core Bd Fd (WATFX)	A	Dividend			Sold	04/16/19	J	A	
46. Alger Spectra Fund Cl A (SPECX)	B	Dividend			Sold	04/16/19	J	B	
47. FMI Intl Fd (FMIJX)	B	Dividend			Sold	04/16/19	J	A	
48. Glenmede Large Cap Growth (GTLLX)	B	Dividend			Sold	04/16/19	K		
49. Hancock Horizon Burkenroad Sm Cap Inv (HHBUX)	B	Dividend			Sold	04/16/19	J		
50. Janus Henderson Small Cap Value T (JSCVX)	A	Dividend			Sold	04/16/19	J		
51. JPMorgan Equity Income (OIEIX)	B	Dividend			Sold	04/16/19	K	C	

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52. Lazard Intl Strategic Equity Open Shares (LISOX)	A	Dividend			Sold	04/16/19	J	A	
53. Matthews China Dividend Fd Inv (MCDFX)	B	Dividend			Sold	04/16/19	J	A	
54. Northern Small Cap Core Fund (NSGRX)	B	Dividend			Sold	04/16/19	J	B	
55. Oberweis Intl Oppty Fd (OBIOX)	B	Dividend			Sold	04/16/19	J	A	
56. Parnassus Core Eqty Fd Inv (PRBLX)	B	Dividend			Sold	04/16/19	K	B	
57. Schwab Fundamental US Large Co Index (SFLNX)		None			Sold	04/16/19	L		
58. Schwab Intl Core Equity (SICNX)	A	Dividend			Sold	04/16/19	J		
59. Schwab S&P 500 Index Fd (SWPPX)	C	Dividend			Sold	04/16/19	L	E	
60. Schwab Small Cap Index Fund (SWSSX)	A	Dividend			Sold	04/16/19	K		
61. Schwab Total Stock Mkt Index (SWTSX)	A	Dividend			Sold	04/16/19	J	B	
62. Seafarer Overseas Gwth & Incm Fd Inv (SFGIX)	A	Dividend			Sold	04/16/19	J	C	
63. Third Avenue Real Estate Value Fd Inv (TVRVX)	A	Dividend			Sold	04/16/19	J		
64. Virtus Ceredex Mid Cap Value Eq I (SMVTX)	A	Dividend			Sold	04/16/19	J		
65. Wasatch Core Growth Fd Inv (WGROX)	A	Dividend			Sold	04/16/19	J	B	
66. Wells Fargo Discovery Fd A (WFDAX)	A	Dividend			Sold	04/16/19	J		
67. RETIREMENT PLAN #1 (H)									
68. TIAA Traditional	A	Interest	J	T					

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69. CREF Stock R3		None	J	T					
70. CREF Global Equities R3		None	J	T					
71. TIAA Acc Intl Eq T1		None	J	T					
72. TIAA Acc S&P 500 Ix T1		None	J	T					
73. TIAA Acc Lg-Cap Val Ix T1		None	J	T					
74. TIAA Acc Sm-Cap Bl Ix T1		None	J	T					
75. TIAA Real Estate		None	J	T					
76. CREF Bond Market R3		None	J	T					
77. TIAA Acc Lifecycle 2040 T1		None	M	T					
78. TIAA Acc Lifecycle 2050 T1		None	J	T					
79. RETIREMENT PLAN #2 (H)									
80. CREF Stock R3		None	J	T					
81. CREF Growth R3		None	J	T					
82. CREF Equity Index R3		None	J	T					
83. CREF Global Equities R3		None	J	T					
84. TIAA Acc Intl Eq T1		None	J	T					
85. TIAA Acc Md-Cap Gr T1		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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86. TIAA Acc S&P 500 Ix T1		None	J	T					
87. TIAA Real Estate		None	J	T					
88. CREF Bond Market R3		None	J	T					
89. TIAA Acc Lifecycle 2040 T1		None	M	T					
90. RETIREMENT PLAN #3 (H)									
91. T-C Lifecycle 2040-INST	B	Int./Div.	K	T					
92. RETIREMENT PLAN #4 (H)									
93. CREF Stock R2		None	J	T					
94. CREF Growth R2		None	J	T					
95. CREF Global Equities R2		None	J	T					
96. T-C Lifecycle 2040-INST	A	Int./Div.	J	T					
97. RETIREMENT PLAN #5 (H)									
98. NYL Insurance Anchor IV		None			Sold	03/18/19	J		
99. Franklin Templeton Emerging Markets Debt Opportunities Fund (FEMDX)		None			Sold	03/18/19	K		
100. Loomis Sayles Global Bond Fund Institutional Class (LSGBX)		None			Sold	03/18/19	K		
101. JPMorgan Strategic Income Opportunities Fund Class R5 (JSORX)	A	Dividend			Sold	03/18/19	K		
102. Vanguard Target Retirement 2040 Fund (VFORX)		None			Buy (add'l)	03/08/19	J		

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103.					Sold	03/18/19	K		
104. American Century Mid Cap Value Fund Institutional Class (AVUAX)		None			Sold	03/18/19	K		
105. MFS Value Fund Class R3 (MEIHX)		None			Sold	03/18/19	K		
106. Invesco Equity & Income Fund (ACEIX)		None			Sold	03/18/19	L		
107. Loomis Sayles Growth Fund Class A (LGRRX)		None			Sold	03/18/19	K		
108. The Hartford Midcap Fund Class Y (HMDYX)		None			Sold	03/18/19	K		
109. Ivy Small Cap Growth Fund (WSGAX)		None			Sold	03/18/19	J		
110. Vanguard Tax-Managed SC Adm Shs (VTMSX)		None			Sold	03/18/19	J		
111. Acadian Emerging Mkts Fund Investor Class (AEMGX)		None			Sold	03/18/19	K		
112. Oakmark International Fund Investor Class (OAKIX)		None			Sold	03/18/19	K		
113. T. Rowe Price Real Estate Fund (TRREX)		None			Sold	03/08/19	J		
114. BROKERAGE #2 (H)									
115. Dreyfus Deposit (Cash)	A	Interest	K	T	Open	04/12/19	J		
116. Ishares Tr Core S&P Total US Stk Mkt ETF (ITOT)	A	Dividend	J	T	Buy	04/18/19	K		
117.					Sold (part)	07/02/19	J	A	
118.					Sold (part)	07/17/19	J	A	
119.					Sold (part)	11/14/19	K	B	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Ishares Tr Core S&P 500 ETF (IVV)	C	Dividend	M	T	Buy	04/18/19	M		
121.					Sold (part)	07/17/19	J	A	
122.					Sold (part)	11/14/19	J	A	
123. Ishares Tr IBOXX USD Invt Grade Corp BD ETF (LQD)	A	Dividend			Buy	04/18/19	K		
124.					Sold (part)	07/02/19	J	A	
125.					Sold	10/01/19	J	A	
126. Ishares Tr Global Tech ETF (IXN)	A	Dividend	K	T	Buy	04/18/19	K		
127.					Sold (part)	07/17/19	J	A	
128. Ishares Tr Barclays 20+ yr Treas BD ETF (TLT)	A	Dividend	J	T	Buy	07/02/19	J		
129. Ishares Tr MBS ETF (MBB)	A	Dividend	K	T	Buy	04/18/19	K		
130.					Buy (add'l)	07/02/19	J		
131.					Sold (part)	07/17/19	J	A	
132. Ishares Tr Intermediate-Term CR BD ETF (IGIB)	A	Dividend	K	T	Buy	04/18/19	K		
133.					Sold (part)	07/17/19	J	A	
134. Ishares Tr US Treas BD ETF (GOVT)	B	Dividend	L	T	Buy	04/18/19	L		
135.					Sold (part)	07/17/19	J	A	
136.					Buy (add'l)	10/01/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Bulsara, Sanket J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/14/19	J		
138. Ishares Tr MSCI USA Minimum Volatility ETF (USMV)	A	Dividend	J	T	Buy	04/18/19	K		
139.					Buy (add'l)	07/02/19	J		
140.					Sold (part)	07/17/19	J	A	
141.					Sold (part)	11/14/19	J	A	
142. Ishares US ETF Tr Short MTY BD ETF (NEAR)	A	Dividend	J	T	Buy	04/18/19	K		
143.					Sold (part)	07/02/19	J	A	
144.					Sold (part)	07/17/19	J	A	
145.					Sold (part)	10/01/19	J	A	
146. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend	K	T	Buy	10/01/19	K		
147.					Buy (add'l)	11/14/19	J		
148. Ishares Tr Edge MSCI USA Size Factor ETF (SIZE)	A	Dividend	K	T	Buy	11/14/19	K		
149. Ishares Tr Edge MSCI USA Momentum Factor ETF (MTUM)	A	Dividend			Buy	04/18/19	J		
150.					Sold (part)	07/17/19	J	A	
151.					Sold	10/01/19	J	A	
152. Ishares Tr Core MSCI EAFE ETF (IEFA)	B	Dividend	K	T	Buy	07/02/19	J		
153.					Sold (part)	07/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. iShares Core MSCI Emerging ETF (IEMG)	B	Dividend	K	T	Buy (add'l)	04/18/19	K		
155.					Buy (add'l)	07/02/19	J		
156.					Sold (part)	07/17/19	J	A	
157.					Buy (add'l)	10/01/19	J		
158.					Buy (add'l)	11/14/19	J		
159. IRA #3 (H)									
160. Dreyfus Deposit (Cash)	A	Interest	J	T	Open	03/26/19	L		
161. Ishares Tr Core S&P Total US Stk Mkt ETF (ITOT)	A	Dividend	K	T	Buy	03/27/19	J		
162.					Buy (add'l)	03/28/19	K		
163.					Sold (part)	07/02/19	J	A	
164.					Buy (add'l)	10/01/19	J		
165.					Sold (part)	11/14/19	K	A	
166. Ishares Tr Core S&P 500 ETF (IVV)	B	Dividend	M	T	Buy	03/27/19	K		
167.					Buy (add'l)	03/28/19	L		
168.					Sold (part)	11/14/19	J		
169. Ishares Tr IBOXX USD Invt Grade Corp BD ETF (LQD)	A	Dividend			Buy	03/27/19	J		
170.					Buy (add'l)	03/28/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Bulsara, Sanket J.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	04/09/19	J		
172.					Sold (part)	07/02/19	J	A	
173.					Sold	10/01/19	J	A	
174. Ishares Tr Global Tech ETF (IXN)	A	Dividend	K	T	Buy	03/27/19	J		
175.					Buy (add'l)	03/28/19	K		
176.					Sold (part)	04/09/19	J	A	
177.					Sold (part)	11/14/19	J	A	
178. Ishares Tr Barclays 20+ yr Treas BD ETF (TLT)	A	Dividend	J	T	Buy	07/02/19	J		
179. Ishares Tr Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T	Buy	03/27/19	J		
180.					Buy (add'l)	03/28/19	J		
181.					Sold (part)	07/02/19	J	A	
182. Ishares Tr Core S&P Small-Cap ETF (IJR)	A	Dividend			Buy	03/27/19	J		
183.					Sold (part)	04/09/19	J	A	
184.					Buy (add'l)	03/27/19	J		
185.					Sold	10/01/19	J		
186. Ishares Tr MBS ETF (MBB)	A	Dividend	K	T	Buy	04/09/19	J		
187.					Buy (add'l)	07/02/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Ishares Tr Intermediate-Term CR BD ETF (IGIB)	A	Dividend	J	T	Buy	03/27/19	J		
189.					Buy (add'l)	03/28/19	J		
190. Ishares Tr JPMorgan USD Emerging Mkts Bd ETF (EMB)		None			Buy	03/27/19	J		
191.					Buy (add'l)	03/28/19	J		
192.					Sold	04/09/19	J		
193. Ishares Tr US Treas BD ETF (GOVT)	A	Dividend	L	T	Buy	03/27/19	J		
194.					Buy (add'l)	03/28/19	K		
195.					Buy (add'l)	10/01/19	J		
196.					Buy (add'l)	11/14/19	J		
197. Ishares Tr MSCI USA Minimum Volatility ETF (USMV)	A	Dividend	K	T	Buy	03/27/19	J		
198.					Buy (add'l)	03/28/19	J		
199.					Buy (add'l)	07/02/19	K		
200.					Sold (part)	11/14/19	J	A	
201. Ishares US ETF Tr Short MTY BD ETF (NEAR)	A	Dividend	J	T	Buy	03/27/19	J		
202.					Buy (add'l)	03/28/19	K		
203.					Sold (part)	04/09/19	J		
204.					Sold (part)	07/02/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	10/01/19	J		
206. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend	K	T	Buy	10/01/19	K		
207.					Buy (add'l)	11/14/19	J		
208. Ishares Tr Edge MSCI USA Size Factor ETF (SIZE)	A	Dividend	K	T	Buy	11/14/19	K		
209. Ishares Tr Edge MSCI USA Momentum Factor ETF (MTUM)	A	Dividend			Buy	03/27/19	J		
210.					Buy (add'l)	03/28/19	J		
211.					Sold (part)	04/09/19	J		
212.					Sold	10/01/19	J	A	
213. Ishares Tr Core MSCI EAFE ETF (IEFA)	B	Dividend	K	T	Buy	03/27/19	J		
214.					Buy (add'l)	03/28/19	K		
215.					Buy (add'l)	10/01/19	J		
216.					Sold (part)	11/14/19	J	A	
217. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	B	Dividend	K	T	Buy	03/27/19	J		
218.					Buy (add'l)	03/28/19	J		
219.					Buy (add'l)	07/02/19	J		
220.					Buy (add'l)	10/01/19	J		
221.					Buy (add'l)	11/14/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. IRA #4 (H)									
223. Ishares Tr Core S&P Total US Stk Mkt ETF (ITOT) (X) (Y)									
224. Ishares Tr Core S&P 500 ETF (IVV)	A	Dividend	J	T	Buy	03/28/19	J		
225. Ishares IBOXX USD Invt Grade Corp BD ETF (LQD) (X) (Y)									
226. Ishares Global Tech ETF (INX)	A	Dividend	J	T	Buy	03/28/19	J		
227. Ishares Tr MBS ETF (MBB) (X)	A	Dividend	J	T					
228. Ishares Tr US Treas BD ETF (GOVT)	A	Dividend	J	T	Buy	03/28/19	J		
229. Ishares Tr MSCI USA Minimum Volatility ETF (USMV) (X)	A	Dividend	J	T					
230. Ishares US ETF Tr Short MTY BD ETF (NEAR) (X) (Y)									
231. Ishares Tr Edge MSCI USA Quality Factor ETF (QUAL)	A	Dividend	J	T	Buy	10/01/19	J		
232. Ishares Tr Core MSCI EAFE ETF (IEFA)	A	Dividend	J	T	Buy	03/28/19	J		
233. Ishares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	J	T	Buy	03/28/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 6, Column C(2): The estimated value of this investment is determined by the LLC based upon the market value of its holdings (rental real estate); a more precise valuation method is not available.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

PIMCO Foreign Bd USD Hedged Cl A (PFOAX) was PIMCO Foreign Bd USD Hedged Cl D (PFODX).
PIMCO Incm Cl A (PONAX) was PIMCO Incm Cl D (PONDY).

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Name of Person Reporting

Bulsara, Sanket J.

Date of Report

07/20/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sanket J. Bulsara**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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