

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vance, Sarah S.	2. Court or Organization United States District Court	3. Date of Report 07/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 500 Poydras Street Room C-255 New Orleans, Louisiana 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Tulane Admiralty Law Institute -- National Advisory Board
2. Board Member	Tulane Law School -- Dean's Advisory Board
3. Council Member; Executive Committee Member	American Law Institute
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Jones Walker LLP - income from law practice
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	April 30 - May 2, 2019	New York, NY	ABA Litigation Section Annual Meeting - Speaker	Travel, lodging, meals
2.	Emory University Law School	May 8-10, 2019	Boston, MA	Complex Litigation Program - Participant	Travel, lodging, meals
3.	American Bar Association	June 14-17, 2019	Berlin, Germany	Global Private Litigation Conference (ABA Antitrust Section) - Speaker	Travel, lodging, meals
4.	American Law Institute	October 15-18, 2019	New York, NY	Council Meeting	Travel, lodging, meals
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Vance, Sarah S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRRA #2, Rollover Merrill Lynch (H):									
2. --Bank of America, NA Rasp / Cash	A	Dividend	J	T					
3. --iShares iBoxx \$ Invt Grade Corp BD Fund (LQD)	A	Dividend	K	T	Buy (add'l)	08/16/19	J		
4. --iShares Barclays TIPS BO Protected SECS FD (TIP)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
5. --iShares Barclays 3-7 YEAR TRSY BD FD (IEI)	A	Dividend	K	T	Sold (part)	08/16/19	J	A	
6. --iShares Barclays MBS BON Fund (MBB)	B	Dividend	L	T					
7. --Vanguard Small Cap Value ETF (VBR)	A	Dividend	J	T	Sold (part)	03/20/19	J	B	
8.					Buy (add'l)	08/16/19	J		
9. --Vanguard Small CAP Growth ETF (VBK)	A	Dividend	K	T	Buy (add'l)	08/16/19	J		
10. --Vanguard Value ETF (VTV)	C	Dividend	M	T	Buy (add'l)	03/20/19	J		
11.					Buy (add'l)	08/16/19	J		
12. ----Vanguard Growth ETF (VUG)	B	Dividend	M	T	Buy (add'l)	08/16/19	J		
13. --Vanguard Intermediate Term Bond ETF (BIV)	B	Dividend	K	T	Sold (part)	08/16/19	K	A	
14. --Vanguard Short Term Bond (BSV)	A	Dividend			Sold	03/20/19	J	A	
15. --Vaneck Vectors J..P (EMLC)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
16. --Invesco Emerging Markets (PCY)	A	Dividend	J	T	Sold (part)	03/20/19	J	A	
17. --Invesco Preferred ETF (PGX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. --iShares iBoxx \$ High Yiel (HYG)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
19. --iShares INC CORE MSCI (IEMG)	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
20.					Sold (part)	08/16/19	J	A	
21. --iShares TR CORE MSCI EAF (IEFA)	B	Dividend	L	T	Sold (part)	03/20/19	K	A	
22.					Sold (part)	08/16/19	J	A	
23. --Vanguard Short Term (VCSH)	B	Dividend	K	T	Buy	03/20/19	J		
24.					Buy (add'l)	08/16/19	J		
25. --XTrackers USD High Yield (HYLB)	A	Dividend	J	T	Buy	03/20/19	J		
26. --Vanguard Intrmdiate-Term (VCIT)	A	Dividend	J	T	Buy	03/20/19	J		
27.					Buy (add'l)	08/16/19	J		
28. --Blackrock Liquidity Fund (TSTXX)	A	Dividend	K	T	Buy	08/16/19	K		
29.									
30. IRA #3, Merrill Lynch New Orleans (H):									
31. --Merrill Lynch Retirement Reserves / Cash	A	Dividend	J	T					
32. --Bank of America, NA Rasp / Cash	A	Dividend	K	T					
33. --iShares iBoxx \$ INVT Grade Corp BD Fund (LQD)	B	Dividend	L	T	Sold (part)	10/29/19	J	A	
34.					Buy (add'l)	11/05/19	J		

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35.					Buy (add'l)	11/20/19	J		
36. --ishares Barclays TIPS BO Protected SECS FD (TIP)	A	Dividend	K	T	Sold (part)	10/29/19	J	A	
37.					Buy (add'l)	11/05/19	J		
38.					Sold (part)	11/20/19	J		
39. --iShares Barclays 3-7 YEA TRSY BD FD (IEI)	A	Dividend	K	T	Sold (part)	10/29/19	J	A	
40.					Buy (add'l)	11/05/19	J		
41.					Sold (part)	11/20/19	J	A	
42. --iShares Barclays MBS BON Fund (MBB)	C	Dividend	L	T	Sold (part)	10/29/19	J	A	
43.					Buy (add'l)	11/05/19	J		
44. --Vanguard Small Cap Value ETF (VBR)	A	Dividend	K	T	Sold (part)	03/20/19	J	A	
45.					Sold (part)	10/29/19	J	A	
46.					Buy (add'l)	11/05/19	J		
47. --Vanguard Small Cap Growth ETF (VBK)	A	Dividend	K	T	Sold (part)	10/29/19	J	A	
48.					Buy (add'l)	11/05/19	J		
49.					Buy (add'l)	11/20/19	J		
50. --Vanguard Value ETF (VTV)	D	Dividend	N	T	Buy (add'l)	03/20/19	K		
51.					Sold (part)	10/29/19	J		

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52.					Buy (add'l)	11/05/19	J		
53.					Sold (part)	11/20/19	J		
54. --Vanguard Growth ETF (VUG)	B	Dividend	M	T	Sold (part)	10/29/19	J	A	
55.					Buy (add'l)	11/05/19	J		
56.					Buy (add'l)	11/20/19	J		
57. --Vanguard Intermediate Term Bond ETF (BIV)	B	Dividend	L	T	Sold (part)	10/29/19	J	A	
58.					Buy (add'l)	11/05/19	J		
59. --Vanguard Short Term Bond (BSV)	A	Dividend			Sold	03/20/19	L	A	
60. --Vaneck Vectors JP (EMLC)	A	Dividend	J	T	Sold (part)	10/29/19	J	A	
61.					Buy (add'l)	11/05/19	J		
62.					Sold (part)	11/20/19	J		
63. --iShares iBoxx \$ High Yield (HYG)	A	Dividend	J	T	Sold (part)	10/29/19	J	A	
64.					Buy (add'l)	11/05/19	J		
65.					Sold (part)	11/20/19	J	A	
66. --Invesco Emerging Markets (PCY)	A	Dividend	J	T	Sold (part)	03/20/19	K	A	
67.					Sold (part)	10/29/19	J		
68.					Buy (add'l)	11/05/19	J		

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69. --Invesco Preferred ETF (PGX)	A	Dividend	J	T	Sold (part)	10/29/19	J	A	
70.					Buy (add'l)	11/05/19	J		
71. --iShares INC CORE MSCI (IEMG)	B	Dividend	L	T	Buy (add'l)	03/20/19	K		
72.					Sold (part)	10/29/19	J		
73.					Buy (add'l)	11/05/19	J		
74.					Sold (part)	11/20/19	J		
75. --iShares TR Core MSCI EFT (IEFA)	C	Dividend	L	T	Sold (part)	03/20/19	L	A	
76.					Sold (part)	10/29/19	J	A	
77.					Buy (add'l)	11/05/19	J		
78.					Sold (part)	11/20/19	J	A	
79. --Vanguard Short Term (VCSH)	C	Dividend	L	T	Buy	03/20/19	J		
80.					Sold (part)	10/29/19	J		
81.					Buy (add'l)	11/05/19	J		
82.					Buy (add'l)	11/20/19	J		
83. --Vanguard Intrmdiate-Term (VCIT)	A	Dividend	J	T	Buy	03/20/19	J		
84.					Sold (part)	10/29/19	J		
85.					Buy (add'l)	11/05/19	J		

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86.					Buy (add'l)	11/20/19	J		
87.					Sold (part)	11/20/19	J		
88. --XTrackers USD High Yield (HYLB)	A	Dividend	J	T	Buy	03/20/19	J		
89.					Sold (part)	10/29/19	J		
90.					Buy (add'l)	11/05/19	J		
91. --Blackrock Liquidity Fund (TSTXX)	A	Dividend	K	T	Buy	11/05/19	J		
92.					Buy (add'l)	11/20/19	J		
93.									
94. Profit Sharing Retirement Plan - Fidelity Invest. Account (H)									
95. --Fidelity Growth Company K (FGCKX)	E	Dividend	O	T	Buy (add'l)	03/01/19	J		
96. --Fidelity Cash Reserves (FDRXX)	A	Int./Div.	J	T	Buy (add'l)	12/10/19	J		
97. --Pimco Income Fund CL A (PONAX)	E	Dividend	N	T	Buy (add'l)	09/16/19	J		
98.					Buy (add'l)	12/10/19	J		
99. --Conestoga Small Cap IS (CCALX)	C	Dividend	M	T					
100. --Goldman Sachs Small Value Int (GSSIX)	D	Dividend	N	T					
101. --Fidelity Emerging Markets (FKEMX)	A	Dividend	M	T	Buy (add'l)	03/01/19	K		
102. --Invesco Diversified Income Fund (DDFIX)	E	Dividend	O	T	Buy (add'l)	03/01/19	L		

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103. --Oakmark Int Investor CL (OAKIX)	C	Dividend	M	T					
104. --PIMCO Mortgage Opportunities CL I (PMZIX)	D	Dividend	M	T	Buy (add'l)	03/04/19	K		
105.					Buy (add'l)	09/16/19	J		
106.					Buy (add'l)	12/10/19	J		
107. --Fidelity Investments Money Market Gov't Portfolio - Class I (FIGXX)	A	Dividend	J	T					
108. --Fidelity US Bond Index (FXNAX)	D	Dividend	M	T	Sold (part)	03/01/19	J		
109.									
110. Cash Management Account, Merrill Lynch (H):									
111. --Merrill Lynch Cash Equivalent Account	A	Interest	J	T					
112. --iShares iBoxx\$ (LQD)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
113.					Buy (add'l)	11/20/19	J		
114. --iShares Tips (TIP)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
115.					Buy (add'l)	11/20/19	J		
116. --iShares 3-7 Year (IEI)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
117.					Buy (add'l)	11/20/19	J		
118. --iShares MBS ETF (MBB)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
119.					Buy (add'l)	11/20/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Vance, Sarah S.

Date of Report

07/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --iShares iBoxx\$ High Yield (HYG)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
121. --iShares Inc Core MSCI (IEMG)	A	Dividend	J	T	Buy (add'l)	03/20/19	J		
122.					Buy (add'l)	11/05/19	J		
123. --Invesco Emerging Markets (PCY)	A	Dividend	J	T	Sold (part)	03/20/19	J		
124.					Buy (add'l)	11/05/19	J		
125.					Buy (add'l)	11/20/19	J		
126. --Invesco Preferred ETF (PGX)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
127. --Vaneck Vectors J.P. (EMLC)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
128. --Vanguard Small Cap Value (VBR)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
129.					Buy (add'l)	11/20/19	J		
130. --Vanguard Small Cap (VBK)	A	Dividend	J	T	Buy (add'l)	11/05/19	J		
131.					Buy (add'l)	11/20/19	J		
132. --Vanguard Value ETF (VTV)	B	Dividend	K	T	Buy (add'l)	03/20/19	J		
133.					Buy (add'l)	11/05/19	J		
134.					Buy (add'l)	11/20/19	J		
135. --Vanguard Growth ETF (VUG)	A	Dividend	K	T	Buy (add'l)	11/05/19	J		
136.					Buy (add'l)	11/20/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Vance, Sarah S.

Date of Report

07/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --Vanguard Intermediate (BIV)	A	Dividend	J	T	Buy (add'l)	03/20/19	J		
138.					Buy (add'l)	11/05/19	J		
139.					Buy (add'l)	11/20/19	J		
140. --Vanguard Short Term Bond (BSV)	A	Dividend			Sold	03/20/19	J	A	
141. --iShares TR Core MSCI EAF (IEFA)	A	Dividend	J	T	Sold (part)	03/20/19	J		
142.					Buy (add'l)	11/05/19	J		
143. --Vanguard Short-Term (VCSH)	A	Dividend	J	T	Buy	03/20/19	J		
144.					Buy (add'l)	11/05/19	J		
145.					Buy (add'l)	11/20/19	J		
146. --XTrackers USD High Yield (HYLB)	A	Dividend	J	T	Buy	03/20/19	J		
147.					Buy (add'l)	11/05/19	J		
148.					Buy (add'l)	11/20/19	J		
149. --Vanguard Intrmdiate-Term (VCIT)	A	Dividend	J	T	Buy	03/20/19	J		
150.					Buy (add'l)	11/05/19	J		
151.					Buy (add'l)	11/20/19	J		
152. --Blackrock Liquidity Fund (TSTXX)	A	Dividend	J	T	Buy	11/20/19	J		
153.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Vance, Sarah S.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Checking and Money Market Accounts, J.P. Morgan	A	Interest	M	T					
155.									
156. Judicial & Justice Federal Credit Union	A	Interest	J	T					
157.									
158. Merrill Lynch: (H):									
159. --iShares INC Core MSCI Emerging MKTS ETF (IEMG)	A	Dividend	K	T	Sold (part)	03/20/19	K	A	
160.					Buy (add'l)	03/20/19	J		
161.					Buy (add'l)	03/25/19	J		
162.					Sold (part)	08/16/19	J	A	
163. --Vanguard Small Cap Growth ETF (VBK)	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
164. --Vanguard Value ETF (VTV)	C	Dividend	M	T	Buy (add'l)	03/20/19	J		
165.					Buy (add'l)	03/25/19	J		
166.					Buy (add'l)	08/16/19	J		
167. --Vanguard Growth ETF (VUG)	B	Dividend	M	T	Buy (add'l)	03/25/19	K		
168.					Buy (add'l)	08/16/19	J		
169. --Vanguard Intermediate Term Bond ETF (BIV)	B	Dividend	K	T	Sold (part)	08/16/19	K	A	
170. --Vanguard Short Term Bond (BSV)	A	Dividend			Sold	03/20/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Vance, Sarah S.

Date of Report

07/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Vaneck Vectors JP (EMLC)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
172. --Invesco Emerging Markets (PCY)	A	Dividend	J	T	Sold (part)	03/20/19	J	A	
173. --Invesco Preferred ETF (PGX)	A	Dividend	J	T					
174. --Vanguard Small Cap value ETF (VBR)	A	Dividend	J	T	Sold (part)	03/20/19	J	A	
175.					Buy (add'l)	08/16/19	J		
176. --iShares iBoxx \$ IVT Grade CORP BD (LQD)	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
177.					Buy (add'l)	08/16/19	J		
178. --iShares iBoxx \$ High Yield (HYG)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
179. --iShares TIPS Bond ETF (TIP)	A	Dividend	J	T	Sold (part)	08/16/19	J	A	
180. --iShares 3-7 Year treasury Bond ETF (IEI)	A	Dividend	K	T	Sold (part)	08/16/19	J	A	
181. --iShares MBS ETF (MBB)	B	Dividend	K	T					
182. --ML Bank Deposit Program	A	Dividend	K	T					
183. --iShares TR Core MSCI EAF (IEFA)	B	Dividend	L	T	Sold (part)	08/16/19	J	A	
184. --Vanguard Short-Term (VCSH)	B	Dividend	K	T	Buy	03/20/19	K		
185.					Buy (add'l)	03/25/19	J		
186.					Buy (add'l)	08/16/19	J		
187. --Vanguard Intrmdiate-Term (VCIT)	A	Dividend	J	T	Buy	03/20/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	08/16/19	J		
189. --XTrackers High Yield (HYLB)	A	Dividend	J	T	Buy	03/20/19	J		
190. --Blackrock Liquidity Fund (TSTXX)	A	Dividend	K	T	Buy	08/16/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Vance, Sarah S.

Date of Report

07/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sarah S. Vance

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544