AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Silberman, Laurence H.	U.S. Court of Appeals D.C. Circuit	11/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
US Circuit Court Judge - Senior Status	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2019 **to** 12/31/2019
7. Chambers or Office Address		
333 Constitution Avenue, N.W. Room 3500 Washington, DC 20001		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Silberman, Laurence H.	11/12/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2019	Georgetown University Law Center, Distinguished Visitor from the Judiciary, Fall	$50,000.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2019	Pension benefit - University of Texas MD Anderson
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.	Russell Lindner	Colonial Parking Pass	$400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Silberman, Laurence H.	11/12/2020

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A	B		C		D				
	Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Bank of America Account		None	J	T					
2.	Wells Fargo, N.A., Account, Washington, DC	A	Interest	M	T					
3.	(H) IRA #1									
4.	T. Rowe Price Capital Appreciation I Cl (TRAIX) (See Part VIII)	E	Dividend	P1	T	Redeemed (part)	01/02/19	J		
5.	(H) BROKERAGE ACCOUNT #1									
6.	--American Balanced Fund Cl A	C	Dividend	M	T					
7.	--Capital Income Bldr Cl A	C	Dividend	M	T					
8.	--American Funds Fundamental Invs Inc Cl A	C	Dividend	M	T					
9.	--Europacific Growth Fd Cl A	A	Dividend	K	T					
10.	--iShares Russell 2000 ETF (see note Part VIII)									
11.	--iShares MSCI Emerg Mkt ETF	A	Dividend	K	T					
12.	--Vanguard Small Cap ETF	B	Dividend	L	T					
13.	--Wash Mutl Inv Fd Inc Cl A	B	Dividend	L	T					
14.	(H) Georgetown University 401(k) Plan									
15.	--Fidelity FDM Idx 2060 IPR	B	Dividend	J	T	Sold (part)	06/28/19	M		
16.	--Fidelity Gov M Mkt	B	Interest	M	T	Buy	06/28/19	M		
17.	Rental Property #1, Washington, DC (See note Part VIII)	D	Rent	P1	S					

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. (H) IRA #2									
19. --First Eagle Overseas Fd Cl I		None			Sold (part)	02/18/19	J	A	
20.					Sold (part)	04/16/19	J	A	
21.					Sold	09/04/19	K	B	
22. --Lazard International Strategic Inst	B	Dividend	L	T					
23. --Brandywine Small Cap Value Manager	C	Int./Div.	M	T					
24. --Franklin Mutual International Cl Z	A	Dividend	K	T	Sold (part)	03/21/19	J	A	
25. --JP Morgan Value Adv Fund Cl L	D	Dividend	N	T					
26. --AIG Foc Div Strat Fund Cl W	D	Dividend	N	T	Sold (part)	06/13/19	L	A	
27. --Clearbridge Mid Cap Growth Fund Cl W		None	M	T					
28. --RBC Bank Cash	A	Interest	K	T	Buy (add'l)	03/21/19	J		
29.					Buy (add'l)	04/08/19	J		
30.					Buy (add'l)	04/16/19	J		
31.					Buy (add'l)	06/13/19	L		
32.					Buy (add'l)	09/04/19	K		
33.					Redeemed (part)	03/29/19	J		
34.					Redeemed (part)	04/15/19	J		

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35.					Redeemed (part)	06/19/19	K		
36.					Buy (add'l)	11/27/19	J		
37.					Redeemed (part)	12/02/19	J		
38. (H) IRA #3									
39. Vanguare International Growth Inv	A	Dividend	J	T					
40. Vanguard PRIMECAP Fund Investor	A	Dividend	J	T					
41.									

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	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 4: Partial redemptions listed are the first payments in a series of monthly, same-sized redemptions from the IRA account, with part taken from each of the investments listed. There were 12 such transactions in 2019.

Part VII, Line 10: Russell 2000 was sold in full in 2018 and is listed on the 2018 report as "Sold (part)" on 12/28/18. That sale closed out the holding.

Part VII, Line 17: This property, in Washington, DC, was assessed at $1,147,050 for 2020.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laurence H. Silberman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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