

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Orenstein, James	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 05/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (full-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 225 Cadman Plaza East Brooklyn, NY 11021		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct professor	New York University School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	New York University School of Law, wages for teaching	\$27,815.00
2. 2019	New York Times, royalties for published essay	\$1,200.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Department of Justice -- U.S. Attorney's Office, Eastern District of New York
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	United States Judiciary -- Federal Judicial Center	April 14-15, 2019	Miami, FL	Magistrate Judge workshop (panelist)	Travel, lodging, food
2.	United States Judiciary -- Administrative Office of U.S. Courts	May 9, 2019	Washington, DC	Present at online seminar (panelist)	Travel, food
3.	United States Judiciary -- Federal Judicial Center	May 28-31, 2019	Raleigh, NC	Law and technology workshop (attendee)	Travel, food, lodging
4.	United States Judiciary -- Federal Judicial Center	July 14-17, 2019	Redondo Beach, CA	Magistrate Judge workshop (panelist, attendee)	Travel, food, lodging
5.	United States Judiciary -- Federal Judicial Center	September 15-18, 2019	Redwood City, CA	Law and technology workshop (panelist)	Travel, food, lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Robert Jones	Tickets to Carnegie Hall concert & dinner	\$5,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Department of Education Direct Loan Servicing	Family member's student loan	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase bank accounts (with family member #2)	A	Interest	J	T					
2. IBM	A	Dividend	K	T					
3. Verizon	A	Dividend	K	T					
4. ██████████ Real Estate Trust (as appraised on 11/12/2008)		None	K	Q					
5. JO UBS ACCT (...12)	A	Dividend	J	T					
6. --UBS Bank USA Deposit Acct.		None	J	T					
7. --Vanguard High Divid. Yield ETF	A	Dividend	J	T	Sold (part)	10/29/19	J	A	
8. JO UBS ACCT. (...83)		None	N	T					
9. --JPMorgan 529 Moderate Growth Portfolio Fund Class A		None	N	T					
10. JO UBS ROTH (...47)	E	Int./Div.	O	T					
11. --UBS BANK USA Deposit Acct.	A	Interest	J	T					
12. --Alphabet Class A		None	K	T					
13. --Alphabet Class C		None	K	T					
14. --Goldman Sachs ActiveBeta US Large Cap Fund	B	Dividend	L	T					
15. --Ishares Trust Core S&P Smallcap	B	Dividend	L	T	Sold (part)	07/17/19	J	B	
16. --Ishares Select Divid ETF	A	Dividend	K	T					
17. --SPDR S&P Midcap 400	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Fidelity Advisor New Insights Fund	D	Dividend	L	T	Sold (part)	01/30/19	J	A	
19. --Cohen & Steers Ltd Duration Pfd & Income Fund Inc	B	Dividend	K	T	Buy (add'l)	01/15/19	J		
20. -- Nuveen Preferred Securities Income Fund	B	Dividend	K	T	Buy (add'l)	01/15/19	J		
21. -- Pioneer High Income Trust	B	Dividend	K	T	Buy (add'l)	01/15/19	J		
22. --Western Asset High Income Fund II	C	Dividend	K	T					
23. --AB (Alliance Bernstein) High Income Fund	C	Dividend	L	T					
24. --Putnam Diversified Income Trust	B	Dividend	K	T					
25. --Templeton Global Total Return Class A	B	Dividend	K	T	Sold (part)	10/29/19	J		
26. --Invesco Balanced-Risk Allocation Fund A	C	Dividend	K	T					
27. --Nuveen Real Asset Income Fund Class A	B	Dividend	K	T	Sold (part)	04/26/19	J		
28. FAMILY #2 UBS ROTH (...48)	D	Int./Div.	M	T					
29. --UBS Bank USA Dep Acct	A	Interest	J	T					
30. --American Funds Capital World Growth & Income Fund Cl A	A	Dividend	K	T					
31. --American Funds New World Fund Cl A	A	Dividend	J	T					
32. --American Funds Smallcap World Fund Cl A	B	Dividend	K	T					
33. --American Funds Euro Pacific Growth Fund Cl A	A	Dividend	J	T					
34. --American Funds Growth Fund of America Cl A	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --American Funds New Perspective Fund Cl A	A	Dividend	K	T					
36. --American Funds Washington Mutual Investors Fund Cl A	B	Dividend	K	T					
37. --American Funds American Balanced Fund Cl A	A	Dividend	K	T	Buy (add'l)	01/15/19	J		
38. --American Funds Income Fund of America	A	Dividend	K	T	Buy (add'l)	01/15/19	J		
39. JO UBS ACCT (... 29)		None	L	T					
40. --CollegeAmerica 529 Bond Fund of America		None	K	T					
41. --CollegeAmerica 529 Capital World Growth & Income Fund		None	L	T					
42. --CollegeAmerica 529 Euro Pac Growth Fund		None	K	T					
43. --CollegeAmerica 529 Growth Fund of America		None	L	T					
44. --CollegeAmerica 529 Income Fund of America		None	L	T					
45. --CollegeAmerica 529 New Perspective Fund		None	L	T					
46. --CollegeAmerica 529 Smallcap World Fund		None	L	T					
47. --CollegeAmerica 529 Washington Mutual Investors Fund		None	L	T					
48. JOINT UBS ACCT (.. 48)	D	Int./Div.	P1	T					
49. --UBS Bank USA Dep Acct	A	Interest	J	T					
50. --Sallie Mae Ednotes Callable	A	Interest	J	T					
51. --Wentzville Mo Sch Municipal Bond		None			Redeemed	03/01/19	K	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. --Yorba Linda CA Municipal Bond		None			Sold	07/29/19	J	A	
53. --Chicago IL Bd of Ed Municipal Bond		None	K	T					
54. --Chicago IL Lakefront Municipal Bond		None	K	T					
55. --NJ Trans Trust Municipal Bonds		None	M	T	Sold (part)	07/31/19	K	D	
56.					Sold (part)	10/31/19	K	D	
57. --Escondido CA UHSD Municipal Bond		None			Sold	07/29/19	K	D	
58. --Massachusetts Bay Trans Municipal Bond		None	L	T	Buy (add'l)	01/22/19	K		
59.					Sold (part)	07/29/19	K	C	
60. --Washington Clackamas Municipal Bond		None	K	T					
61. --Triborough Bridge & Tunnel NY Municipal Bond		None	K	T	Buy	01/22/19	K		
62. --Leander TX Independent Sch. Municipal Bond		None	K	T	Buy	01/22/19	K		
63. --Blackstone Real Estate Income Trust Inc Cl S	D	Dividend	N	T	Buy	01/29/19	N		
64. --John Hancock New York Venture Annuity (see components lines #-#)			N	T					
65. ---- Manulife Inv. Mgmt. Fundamental Large Cap fund		None	L	T					
66. ----Manulife Inv. Mgmt. Mid Cap Index fund		None	M	T					
67. ----Manulife Inv. Mgmt. 500 Index Fund		None	L	T					
68. ----Western Asset High Yield Fund		None	K	T					

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69. ----Wellington Management Mid Cap Stock Fund		None	K	T					
70. ----Wellington Management Small Cap Value fund		None	K	T					
71. ----Wells Capital Core Bond fund		None	K	T					
72. ----Templeton-Global fund		None	J	T					
73. ----Templeton-Int'l Value fund		None	K	T					
74. --Lincoln Life Moneyguard Reserve	B	Distribution	L	T					
75. JOINT UBS ACCT (...49)	F	Int./Div.	P1	T					
76. --UBS Bank USA Dep Acct	A	Interest	J	T					
77. --UBS RMA Government Money Market Fund		None			Buy	01/07/19	P1		
78.					Sold (part)	01/09/19	J		
79.					Sold (part)	01/14/19	K		
80.					Sold (part)	01/14/19	N		
81.					Sold (part)	01/15/19	M		
82.					Sold (part)	01/17/19	J		
83.					Sold (part)	01/22/19	M		
84.					Sold (part)	01/25/19	J		
85.					Sold (part)	01/29/19	N		

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86.					Sold (part)	02/01/19	K		
87.					Buy (add'l)	02/01/19	J		
88.					Buy (add'l)	02/04/19	J		
89.					Sold (part)	02/05/19	J		
90.					Sold	02/12/19	K		
91. --AT&T Inc	B	Dividend			Sold	07/31/19	K	D	
92. --Salesforce.com Inc		None	K	T	Sold (part)	04/03/19	K	E	
93.					Donated (part)				
94.					Donated (part)				
95.					Donated (part)				
96.					Donated (part)				
97.					Donated (part)				
98. --Ishares S&P 500 Value ETF	B	Dividend	L	T					
99. --Ishares S&P 500 Growth ETF	A	Dividend	L	T	Sold (part)	07/29/19	K	D	
100. --Fidelity Advisor New Insights Fund Cl A	C	Dividend	L	T	Buy (add'l)	01/14/19	J		
101.					Buy (add'l)	02/12/19	J		
102.					Sold (part)	02/25/19	K	B	

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103.					Buy (add'l)	03/13/19	J		
104.					Sold (part)	04/02/19	K	C	
105. --Hennessey Cornerstone Growth Fund Institutional Class		None	K	T	Sold (part)	01/14/19	J	A	
106.					Sold (part)	04/02/19	K	D	
107.					Sold (part)	07/30/19	J	C	
108. --Janus Henderson Global Equity Income Fund Class I	C	Dividend	L	T	Buy (add'l)	01/14/19	K		
109.					Buy (add'l)	02/12/19	J		
110.					Buy (add'l)	03/13/19	J		
111.					Sold (part)	04/02/19	K	A	
112.					Sold (part)	07/30/19	J	B	
113. --JP Morgan U.S. Large Cap Core Plus Fund A	E	Dividend	L	T					
114. --Miller Convertible Bond Fund Cl I	B	Dividend	K	T	Buy	01/15/19	L		
115.					Sold (part)	06/06/19	J	A	
116.					Sold (part)	07/29/19	K	C	
117. --Neuberger Berman Equity Income Fund Class I	C	Dividend	K	T	Buy (add'l)	01/14/19	J		
118.					Buy (add'l)	02/12/19	J		
119.					Sold (part)	02/25/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	03/13/19	J		
121.					Sold (part)	04/02/19	K	A	
122. --Neuberger Berman Intrinsic Value Fund Class A	B	Dividend	K	T	Sold (part)	02/25/19	J	C	
123.					Sold (part)	04/02/19	K	C	
124. --Nuveen Large Cap Core Fund Class Instl.	A	Dividend	K	T	Buy	01/14/19	L		
125.					Buy (add'l)	02/12/19	K		
126.					Buy (add'l)	03/13/19	K		
127.					Sold (part)	04/02/19	K	B	
128.					Sold (part)	07/02/19	J	A	
129.					Sold (part)	07/26/19	K	B	
130.					Sold (part)	11/20/19	J	A	
131.					Sold (part)	11/27/19	J	A	
132.					Sold (part)	12/04/19	J	A	
133. --Oakmark Fund Class I	C	Dividend	L	T	Sold (part)	02/25/19	J	B	
134.					Sold (part)	07/30/19	J	D	
135. --T. Rowe Price QM US Small Cap Growth Equity Fund Cl NL	B	Dividend	K	T	Buy	01/14/19	K		
136.					Buy (add'l)	02/12/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	02/25/19	K	A	
138.					Buy (add'l)	03/13/19	J		
139.					Sold (part)	04/02/19	K	A	
140.					Sold (part)	07/26/19	J	B	
141. --Blackrock MuniYield Fund Inc	B	Dividend	K	T	Buy	01/15/19	K		
142. --Invesco Municipal Trust	B	Dividend	K	T	Buy	01/15/19	K		
143. --Nuveen Enhanced Municipal Credit Opportunities Fund	B	Dividend	K	T	Buy	01/15/19	K		
144. --Columbia Mortgage Opportunities Fund Class Instl	B	Dividend	L	T	Buy	04/10/19	L		
145.					Sold (part)	11/27/19	K	A	
146. --Virtus Emerging Markets Opportunities Fund Class I		None			Buy (add'l)	01/14/19	J		
147.					Buy (add'l)	02/12/19	J		
148.					Sold	02/21/19	L	D	
149. --Loomis Sayles Strategic Income Fund Class A	B	Dividend	L	T					
150. --Lord Abbett Floating Rate Fund	C	Dividend	L	T	Buy (add'l)	04/10/19	K		
151.					Sold (part)	10/28/19	J		
152. --Mainstay Mackay High Yield Municipal Bond Fund Cl I	C	Dividend	L	T	Buy	01/14/19	L		
153.					Sold (part)	11/27/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. --Mainstay Mackay Short Duration High Yield Fund Cl I	C	Dividend	L	T	Buy	04/10/19	L		
155. --Nuveen High Yield Municipal Bond Fund I	C	Dividend	L	T	Buy (add'l)	01/14/19	K		
156. --Allianzgi Structured Return Fund Class P	B	Dividend	K	T	Buy	04/10/19	K		
157. --Gateway Fund Class Y	B	Dividend	L	T	Buy (add'l)	01/14/19	K		
158. --JPMorgan Hedged Equity Fund Cl I	A	Dividend	K	T	Buy	04/10/19	K		
159. --First Eagle Global Fund Class A	C	Dividend	L	T	Buy (add'l)	01/14/19	K		
160.					Sold (part)	04/03/19	K	B	
161.					Sold (part)	07/26/19	K	C	
162. JO/FAMILY #3 UBS ACCT (... 77)	B	Dividend	K	T					
163. --UBS Bank USA Dep Acct		None	J	T					
164. --Amer Funds Capital World Growth & Income Fund Cl A	B	Dividend	K	T					
165. JO/FAMILY #4 UBS ACCT (... 79)	B	Dividend	K	T					
166. --UBS Bank USA Dep Acct		None	J	T					
167. --Amer Funds Capital World Growth & Income Fund Cl A	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, generally: For all asset sales resulting in a loss or no net gain, I have left Column D(4) blank. I have reported all dividend income, including dividends that were reinvested; however, pursuant to the Committee's instructions, I no longer separately report reinvestment transactions.

Part VII, line 4: The valuation of my share of the [REDACTED] Real Estate Trust is based on an appraisal of the value of the property held in trust as of January 23, 2008 (the date I inherited my share). The appraisal was reported on November 12, 2008.

Part VII, lines 65-67: I had previously reported these assets under the name "JH" (as an abbreviation for "John Hancock") based on the description in derivative statements from UBS. Having checked the statements directly from John Hancock, I have corrected the name to start with "Manulife Inv. Mgmt."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James Orenstein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544