

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boom, Claria H.	2. Court or Organization U.S. District Court for the ED and WD of Kentucky	3. Date of Report 06/03/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address 101 Barr Street Lexington, KY 40507		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Transylvania University Board of Regents
2. Vice President	Henry Clay Volleyball Booster Club
3. Member	Jasper H. Properties, LLC
4. Trustee	Claira Horn Boom Revocable Trust
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/3119	Denny Boom, Setzer Construction Wages
2. 9/30/19	Denny Boom, Buzz Oates Construction, Consulting Fees
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Boom, Claria H.	06/03/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Republic Bank and Trust	Mortgage on Investment Property, Lexington, KY	M
2.	Old National Bank	Mortgage of DKBB, Properties 2	L
3.	Field & Main Bank	Mortgage of SP RRTAI	O
4.	Limestone Bank	TAFL, LLC	O
5.	Central Bank & Trust Company	Personal Home Mortgage	O
6.	Sterling Bank	Cosign on Brother's Condo Mortgage	L

FINANCIAL DISCLOSURE REPORT

Page 4 of 24

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Charles Schwab Bank Deposit Account	A	Interest	O	T					
2. Bowling Green KY Indpt Sch Dist Rev	A	Interest			Sold	10/25/19	K	A	
3. Campbell & Kenton Cnty KY Rev	B	Interest	K	T					
4. Fayette Cnty KY Sch Dist Fin Corp Rev	A	Interest	K	T					
5. Greenville KY GO	A	Interest	K	T					
6. Hardin Cnty KY Wtr Dist #002	A	Interest	K	T					
7. Houston TX Cmnty College Dist Rev Ref	B	Interest			Sold	10/25/19	K	A	
8. Jefferson Cnty KY Sch Dist Ser A Rev	A	Interest			Sold	10/25/19	K	A	
9. Jefferson Cnty KY Sch Dist Ser A Rev	B	Interest	K	T					
10. KY Infrastructure Auth R	A	Interest	K	T					
11. KY St Turnpike Ref Rev preref @100 orig 7-1-26	B	Interest	K	T					
12. KY St Turnike Auth Dev Road Rev	B	Interest			Sold	10/25/19	K	A	
13. Lexington Fayette Urban Cnty KY Ref GO	B	Interest	K	T	Sold (part)	10/25/19	K	A	
14. Louisville & Jefferson Cnty Ky GO	B	Interest	K	T					
15. Louisville & Jefferson Cnty KY Met Swr Dist Rev	B	Interest	K	T					
16. Louisville & Jefferson Cnty KY Swr & Drain Rev		None		T	Matured	11/01/19	K	A	
17. Louisville & Jefferson Cnty KY Rev	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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18. Marshall Cnty KY Sch Dist Fin	B	Interest	K	T					
19. Morehead St Univ Ky Gen Rcpts	A	Interest	K	T					
20. Murray KY St Univ Gen Rcpts Rev	B	Interest	K	T					
21. Northern KY Univ Revs	B	Interest	K	T	Sold (part)	10/25/19	K	A	
22. Paducah KY GO	B	Interest	K	T					
23. Russell Cnty Public Library Dist KY GO	A	Interest	K	T					
24. Scott Cnty KY Sch Dist Fin Corp Rev	B	Interest	K	T					
25. Univ Of KY Gen Rcpts Rev	B	Interest	K	T					
26. Warren Cnty KY GO	B	Interest	K	T					
27. Wayne Cnty KY Sch Dist Fin Cor	A	Interest			Sold	10/25/19	K	A	
28. Winchester KY Util Sys Rev	B	Interest	L	T					
29. Apple Inc	B	Dividend	M	T	Sold (part)	05/24/19	K	D	
30.					Sold (part)	10/25/19	K	E	
31.					Sold (part)	11/04/19	J	C	
32. AbbVie Inc	C	Dividend	L	T	Sold (part)	01/03/19	J	A	
33.					Sold (part)	01/17/19	J	B	
34.					Sold (part)	01/29/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 24

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35.					Buy (add'l)	06/27/19	J		
36. Adobe Systems		None	K	T					
37. Analog Devices	A	Dividend	L	T	Sold (part)	05/24/19	J	B	
38.					Buy (add'l)	09/13/19	K		
39. Ameren	A	Dividend	L	T	Buy	10/25/19	L		
40. iShares Core Total U.S. Bond Mkt ETF	C	Dividend	M	T					
41. Allstate	A	Dividend	K	T	Sold (part)	02/22/19	J	B	
42.					Sold (part)	10/25/19	J	D	
43. Amgen	B	Dividend	L	T	Sold (part)	10/25/19	J	C	
44. Ameriprise Financial	B	Dividend	L	T					
45. Amazon.com		None	K	T					
46. Amphenol Cl A	A	Dividend			Buy	04/02/19	J		
47.					Buy (add'l)	04/26/19	J		
48.					Sold	09/13/19	K	A	
49. Broadcom LTD	A	Dividend	K	T	Buy	08/12/19	J		
50. Avery Dennison Corp	A	Dividend	K	T	Buy (add'l)	03/20/19	J		
51. Boeing	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 24

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Boom, Claria H.

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52. Alibaba Group Holdings Ltd. Spon ADR		None	J	T	Buy	02/28/19	J		
53. BB&T Corporation	B	Dividend							
54. Brighthouse Financial Inc		None	J	T					
55. BHP Billiton Ltd-Sponsored ADR	A	Dividend	J	T					
56. Bank of New York Co	A	Dividend			Sold	04/12/19	J	A	
57. BlackRock	A	Dividend	K	T					
58. Bristol-Myers Squibb	A	Dividend	K	T					
59. BNP Paribas - ADR		None	J	T	Buy	10/23/19	J		
60. Bank of Nova Scotia	B	Dividend			Sold	07/25/19	K	A	
61. BP PLC	A	Dividend			Sold	08/22/19	J	A	
62. Berkshire Hathaway Class B		None			Sold	10/25/19	K	D	
63. Citigroup	B	Dividend	K	T	Sold (part)	10/25/19	K	D	
64. CACI International		None	K	T					
65. Cardinal Health	A	Dividend			Sold (part)	02/22/19	J	B	
66.					Sold	10/25/19	K	C	
67. Chubb Corp	A	Dividend	J	T					
68. CBS Corporation	A	Dividend			Sold (part)	06/18/19	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 24

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69.					Sold (part)	08/07/19	J	A	
70.					Sold	08/14/19	J	A	
71. Celanese Corp Series A	A	Dividend	K	T	Buy	08/14/19	K		
72. Citizens Financial Group Inc	A	Dividend	K	T	Buy	07/25/19	K		
73. Checkpoint Software		None	J	T	Sold (part)	10/23/19	J	C	
74. Comcast Cl A	A	Dividend	K	T					
75. CMS Energy	A	Dividend	K	T					
76. Columbia Banking	B	Dividend	L	T	Buy (add'l)	04/29/19	J		
77. Cisco Systems	C	Dividend	M	T	Sold (part)	04/02/19	J	B	
78.					Sold (part)	05/24/19	J	C	
79.					Sold (part)	08/12/19	J	C	
80.					Sold (part)	10/25/19	K	D	
81.					Sold (part)	12/04/19	J	B	
82. Corteva Inc	A	Dividend	J	T					
83. Central Valley Community Bancorp	B	Dividend	L	T	Buy (add'l)	04/29/19	J		
84. Chevron Corp	C	Dividend	L	T	Sold (part)	01/15/19	J	B	
85.					Sold (part)	10/25/19	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 24

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86. Danone ADR	A	Dividend	J	T					
87. DuPont de Nemours Inc	A	Dividend	J	T					
88. Diageo	A	Dividend	K	T					
89. Walt Disney	A	Dividend	K	T	Buy	04/12/19	J		
90. .		None			Buy (add'l)	04/18/19	J		
91. Digital Realty Trust Inc.	A	Dividend	K	T	Buy	01/29/19	K		
92. Dow Inc	A	Dividend	J	T					
93. DowDuPont Inc	A	Dividend							
94. WisdomTree DEFA ETF	B	Dividend	K	T					
95. Electronic Arts		None	K	T	Buy (add'l)	01/10/19	J		
96.					Buy (add'l)	03/12/19	J		
97. Airbus Group NV ADR	A	Dividend	J	T					
98. Emerson Electric	A	Dividend	K	T					
99. iShares MSCI Pacific ex Japan	A	Dividend	J	T	Sold (part)	10/25/19	J	B	
100. Eaton Corp PLC	A	Dividend	K	T					
101. Entergy	B	Dividend	L	T	Sold (part)	10/25/19	J	C	
102. iShares MSCI Japan ETF	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 24

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103. iShares MSCI United Kingdom Index	A	Dividend	K	T					
104. Exelon Corp	B	Dividend			Sold	10/25/19	L	A	
105. iShares MSCI EMU ETF	A	Dividend	K	T	Sold (part)	10/25/19	J	A	
106. Facebook, Inc.		None	K	T	Buy (add'l)	03/05/19	J		
107. FedEx Corp	B	Dividend	L	T	Buy (add'l)	01/11/19	K		
108. Fidelity National Information	A	Dividend	K	T	Buy	05/22/19	J		
109.					Buy (add'l)	06/11/19	J		
110. Fifth Third Bancorp	A	Dividend	K	T					
111. Fortis Inc.	A	Dividend			Sold	12/16/19	J	B	
112. General Dynamics	A	Dividend			Sold (part)	02/19/19	J	A	
113.					Sold	02/20/19	J	A	
114. Gilead Sciences	A	Dividend	K	T	Sold (part)	03/06/19	J	A	
115.					Buy (add'l)	03/12/19	J		
116. Gaming & Leisure Pptys Com	B	Dividend	K	T					
117. Alphabet Inc Class C		None	K	T					
118. Alphabet Inc Class A		None	M	T	Buy (add'l)	11/19/19	J		
119. GlaxoSmithKline plc ADR	A	Dividend	J	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 11 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Huntington Bancshares Inc	B	Dividend	L	T					
121. Home Depot	B	Dividend	L	T	Sold (part)	02/28/19	J	D	
122.					Sold (part)	10/25/19	J	D	
123. Harding Loevner Emerging Markets Advisor	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
124. Harding Loevner Inst Emerging Markets	B	Dividend	M	T					
125. Hoya Corp SA	A	Dividend	J	T	Buy (add'l)	01/04/19	J		
126. Honeywell International Inc	B	Dividend	L	T	Sold (part)	10/25/19	K	D	
127. Humana	A	Dividend	L	T					
128. iShares Nasdaq Biotech Index	A	Dividend	K	T	Sold (part)	03/12/19	J	B	
129. International Business Machines	A	Dividend			Sold	10/25/19	J	C	
130. iShares U.S. Oil Equipment & Services ETF	A	Dividend	K	T	Buy	10/22/19	K		
131. Intel	A	Dividend	K	T	Buy (add'l)	02/27/19	J		
132.					Sold (part)	05/22/19	J	A	
133. Illinois Tool Works	A	Dividend	J	T	Sold (part)	01/10/19	J	A	
134. iShares U.S. Consumer Services ETF		None			Sold	03/12/19	J	B	
135. Johnson & Johnson	A	Dividend	K	T	Sold (part)	06/27/19	J	A	
136.					Sold (part)	10/25/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 12 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. JPMorgan Chase and Company	C	Dividend	M	T					
138. JPMorgan U.S. Small Company Fund Inst	B	Dividend	M	T	Sold (part)	10/18/19	J	A	
139.					Sold (part)	10/25/19	K	A	
140.					Buy (add'l)	12/12/19	J		
141. Keycorp	A	Dividend	K	T					
142. Kimberly Clark	B	Dividend	K	T					
143. Eli Lilly	A	Dividend			Sold (part)	01/29/19	J	B	
144.					Sold (part)	07/15/19	J	B	
145.					Sold	08/21/19	K	D	
146. Las Vegas Sands	A	Dividend	K	T	Buy	05/24/19	J		
147. LyondellBasell Industries NV	A	Dividend			Sold	08/14/19	K	A	
148. Lloyds Banking Group PLC		None	J	T	Buy	11/20/19	J		
149. Mastercard Cl A	A	Dividend	L	T					
150. Matthews Pacific Tiger Fund Inv Class	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
151.					Buy (add'l)	12/17/19	J		
152. Marriott International CL A	A	Dividend	L	T	Buy (add'l)	03/27/19	J		
153. McDonalds	A	Dividend	K	T	Buy (add'l)	04/24/19	K		

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Mondelez International	B	Dividend	K	T	Sold (part)	02/20/19	J	B	
155.					Sold (part)	10/17/19	J	C	
156.					Sold (part)	10/25/19	J	B	
157. Medtronic PLC	A	Dividend	K	T	Buy	08/21/19	K		
158. MetLife	A	Dividend	K	T					
159. Matthews Pacific Tiger Fund Inst Class	B	Dividend	K	T	Buy (add'l)	01/30/19	J		
160.					Sold (part)	10/18/19	J	A	
161.					Buy (add'l)	12/17/19	J		
162. McCormick & Co	A	Dividend	K	T					
163. Altria Group	C	Dividend	J	T	Sold (part)	10/03/19	K	D	
164. Morrison Street Debt Opportunities Fund II	D	Dividend	M	U	Buy (add'l)	02/28/19	K		
165.					Buy (add'l)	08/26/19	K		
166.					Buy (add'l)	11/08/19	K		
167. Marathon Petroleum	A	Dividend			Sold	10/25/19	K	D	
168. Merck	B	Dividend	L	T	Buy (add'l)	01/03/19	J		
169.					Sold (part)	04/24/19	K	B	
170. Microsoft	C	Dividend	M	T	Sold (part)	06/11/19	J	C	

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/25/19	J	D	
172. Motorola Solutions		None	J	T	Buy	12/04/19	J		
173. Nextera Energy Inc		Dividend	K	T	Buy	02/20/19	K		
174. NICE Systems Limited ADR		None	J	T	Buy	08/21/19	J		
175. Nike		Dividend	L	T	Buy (add'l)	02/28/19	J		
176. Nutrien Ltd		Dividend	J	T	Sold (part)	10/23/19	J	A	
177. Novo-Nordisk A/S - Spons ADR		Dividend	J	T					
178. Occidental Petroleum	B	Dividend			Sold (part)	01/15/19	J	A	
179.					Sold (part)	04/25/19	K	A	
180.					Sold	05/03/19	L	A	
181. Precision Drilling Corporation		None			Sold	01/03/19	J	A	
182. Pepsico	B	Dividend	K	T	Sold (part)	10/25/19	K	D	
183. Procter And Gamble	B	Dividend	K	T	Buy (add'l)	01/10/19	J		
184.					Buy (add'l)	02/08/19	J		
185.					Sold (part)	10/01/19	J	B	
186. Progressive Corp	B	Dividend	J	T	Sold (part)	12/17/19	J	A	
187. Packaging Corporation of America	A	Dividend			Sold	03/20/19	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Philip Morris International Inc		None	K	T	Buy	10/01/19			
189.					Buy (add'l)	10/03/19			
190.					Buy (add'l)	10/17/19			
191. Phillips 66	A	Dividend	J	T					
192. Quorum Health Corp		None	J	T	Buy	03/14/19	J		
193.					Buy (add'l)	10/25/19	J		
194. Roche Holdings Ltd Spon ADR	A	Dividend	J	T					
195. Raytheon	A	Dividend	K	T	Buy (add'l)	05/24/19	K		
196. RWE Aktiengesellschaft SA		None	J	T	Buy	12/17/19	J		
197. Safran SA	A	Dividend	J	T					
198. SAP AG-Sponsored ADR	A	Dividend	J	T	Sold (part)	08/21/19	J	B	
199. Schneider Electric SA Unsp ADR		None			Sold	01/30/19	J	A	
200. iShares MSCI EAFE Small Cap index ETF	B	Dividend	K	T	Sold (part)	10/25/19	J	A	
201. Six Flags Entertainment Corp	B	Dividend	K	T					
202. Schlumberger	B	Dividend	K	T	Buy (add'l)	05/03/19	K		
203.					Sold (part)	10/22/19	K	A	
204. Sumitomo Mitsui Financial Group	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 16 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Sony Corp ADR	A	Dividend	K	T					
206. Suntrust Banks (X)	A	Dividend							
207. Suncor Energy	A	Dividend	J	T					
208. Schwab International Index Fund	D	Dividend	N	T	Buy (add'l)	01/22/19	J		
209.					Buy (add'l)	03/27/19	J		
210.					Sold (part)	10/18/19	K	A	
211.					Sold (part)	10/25/19	J	B	
212. Schwab S&P 500 Index Fund	D	Dividend	O	T	Sold (part)	01/15/19	J	B	
213.					Buy (add'l)	01/22/19	K		
214.					Buy (add'l)	02/27/19	J		
215.					Sold (part)	03/14/19	J	B	
216.					Sold (part)	10/18/19	K	A	
217.					Sold (part)	10/25/19	L	E	
218.					Buy (add'l)	12/20/19	J		
219. Schwab Small Cap Index Select	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
220.					Buy (add'l)	03/27/19	J		
221.					Buy (add'l)	12/20/19	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 17 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Stryker	A	Dividend	K	T	Buy (add'l)	02/11/19	J		
223.					Buy (add'l)	03/06/19	J		
224.					Sold (part)	08/21/19	J	B	
225. AT & T	D	Dividend	L	T	Sold (part)	03/05/19	J	A	
226.					Sold (part)	10/25/19	K	D	
227. Toronto Dominion Bank	A	Dividend	J	T					
228. Truist Financial Corporation	A	Dividend	K	T					
229. TJX Companies	A	Dividend	K	T					
230. Telekomunikasi Indonesia (Persero)		None	J	T	Buy	07/09/19	J		
231.					Buy (add'l)	09/03/19	J		
232. Thermo Fisher Scientific	A	Dividend	L	T	Sold (part)	01/01/19	J	B	
233. Travelers Companies	B	Dividend	K	T					
234. Tyson Foods, Inc Cl A	A	Dividend	K	T					
235. Texas Instruments	A	Dividend	K	T					
236. Unilever NV New York Shrs	A	Dividend	J	T	Buy	03/22/19	J		
237.					Buy (add'l)	08/22/19	J		
238. UniCredit S.p.A. Unsponsored ADR		None	J	T	Buy	12/17/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 18 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

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239. UnitedHealth Group	B	Dividend	L	T	Sold (part)	02/11/19	J	C	
240.					Sold (part)	04/18/19	J	B	
241.					Buy (add'l)	07/15/19	J		
242. Union Pacific	A	Dividend	K	T					
243. United Technologies	A	Dividend	K	T					
244. Visa Inc	A	Dividend	K	T					
245. Versus Capital Multi-Manager RE Fund Inst	C	Dividend	L	T					
246. Versus Capital Real Assets Fund, LLC	A	Dividend	K	T					
247. Vanguard FTSE Developed Market ETF	A	Dividend	J	T	Sold (part)	08/21/19	J	A	
248. Voya Financial Inc		None	J	T	Buy	12/17/19	J		
249. Vanguard Int-Term Tax-Exempt *Restricted*	A	Dividend	J	T	Buy (add'l)	01/22/19	J		
250. Vanguard FTSE Emerging Market ETF	B	Dividend	K	T	Sold (part)	10/25/19	K	C	
251. Verizon Communications	C	Dividend	M	T	Buy	05/24/19	J		
252.					Buy (add'l)	06/18/19	J		
253.					Buy (add'l)	08/07/19	J		
254.					Buy (add'l)	08/14/19	J		
255. Waste Connections	A	Dividend	K	T	Buy (add'l)	01/15/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Wells Fargo	A	Dividend	J	T					
257. Waste Management	A	Dividend			Sold	10/25/19	K	D	
258. Wal-Mart DE Mexico S.A.B. de C.V ADR	A	Dividend			Sold	08/30/19	J	A	
259. Energy Select Sector SPDR	A	Dividend	K	T	Buy	04/26/19	K		
260.					Sold (part)	05/03/19	K	A	
261.					Buy (add'l)	10/18/19	K		
262. Technology Select Sect SPDR	A	Dividend			Sold	10/25/19	K	E	
263. Xilinx	A	Dividend			Sold (part)	02/27/19	J	C	
264.					Sold	04/26/19	J	C	
265. Consumer Staples SPDR	A	Dividend	K	T	Sold (part)	02/08/19	J	A	
266.					Buy (add'l)	02/20/19	J		
267. Exxon Mobil	B	Dividend	K	T	Buy	05/03/19	L		
268.					Sold (part)	10/18/19	K	A	
269. XPO Logistics Inc		None	K	T	Buy	01/11/19	J		
270. Zoetis	A	Dividend	K	T	Buy (add'l)	01/17/19	J		
271. Jasper H. Properties, LLC	A	Rent	N	W					
272. Central Bank Account (Claria)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 20 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. American Funds Balanced Fund (College Fund)	B	Int./Div.	M	T					
274. American Funds Growth Fund (College Fund)	B	Int./Div.	N	T					
275. Thrift Savings Fund (Claria Gov't Ret. Fund)	A	Int./Div.	K	T					
276. 401k (BCC)	C	Int./Div.	M	T					
277. Guardian Life Insurance (Cash Value)		None	N	T					
278. Lincoln Financial Life Insurance (Cash Value)		None	J	T					
279. Placer Athens LLP, 1, 2 (3%) 400 acres/indus Roseville, Ca.		None	N	U					
280. Cali Alii Prop/Maui Condo		None	M	W					
281. Yolo Partners,LLC (14.25%) 70 Acres, El Macero, Ca.		None	O	W					
282. SP RRTAI,LLC Jeffersonville, In (14.25) Magnolia/ Toyota	D	Distribution	O	U					
283. SP CJE, LLC, Cookeville,Tenn (12.5%) Fed Ex	D	Distribution	L	U					
284. SP LSX, LLC San Antonio (5.14%) XPO Freight July,2011	D	Distribution	L	U					
285. SP UPW,LLC (16.43%) Pasco, Wa. XPO Freight Dec. 2011	E	Distribution	M	U					
286. SP CLD,LLC (12.6%)Collierville, Tn Fed Ex Ground March 2011	D	Distribution	M	U					
287. TAFL,LLC (13.34%) Lex, Ky. Office Bld., March 2013	E	Distribution	L	U					
288. SP XSO,LLC (8.84%)Sioux Falls, SD XPO Freight April 8th, 2013	D	Distribution	M	U					
289. SP XFD,LLC (6.93%) Fond Du Lac, Wis XPO Freight June 21,2013	D	Distribution	M	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. RPG,LLC (4.23%) (CHP/Grass Valley) Sept.2011	D	Distribution	L	U					
291. DKBB,LLC/Oak Cap 2 /Pac West R.E. Inv Fund March 2013	E	Distribution	N	U					
292. Green Hat LLC (25%) inv/fund	C	Distribution	L	U					
293. Oakland Condo (res/brother)		None	M	R					
294. DKBB Properties, LLC Office Commercial office Bld		None	L	U					
295. DKBB Properties II,LLC –Capstone 15% approx value /hc \$54,840		None	M	U					
296. OCP III,LLC 2.59% R.E. Inv Fund Dec. 2015	E	Distribution	N	U					
297. Chimney Cove, LLC, H.H. S.C. 3.3% Multi Family Dec.2015		None	L	U					
298. SP LMA,LLC (16.6%) Lima, Ohio Fed Ex Freight Feb. 2016	E	Distribution	N	U					
299. SP,SBN,LLC (3.23%) South Bend, In. Fed Ex Freight April 2016	D	Distribution	L	U					
300. Boom Cor LLC (50%) Truckee Land/ Grocery		None	L	U					
301. DKBB SBN,LLC Fed Ex Freight July 2016	A	Distribution	J	U					
302. SP MKE,LLC via Greenhat Fed Ex Fr. Aug.2017	B	Distribution	K	U					
303. SP WRN,LLC Pontiac, Mich 2.3% Fed Ex Freight Nov. 2018	D	Distribution	M	U					
304. BoomCor I,LLC /SCH BoomCor,LLC Sac Apart. Feb. 2019	D	Distribution	M	U	Buy	02/13/19	M		
305. SP RDU, LLC, Raleigh/Dur NC 1.37% Fed Ex Freight, Mar. 2019	D	Distribution	M	U	Buy	03/13/19	M		
306. Central Valley Bancorp 3,563 shares		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Apostles General Partnership 396 shares		None	M	U					
308. Apostles GP 2		None	L	U					
309. Aztec Mustang,LLC		None	J	U					
310. Aztec Mustang, LLC Dec. 2019		None			Sold (part)	12/18/19	J	A	
311. Sycamore Farms, LLC (70%) basis \$310,60420 acres walnuts, purchased Ju	E	Distribution	N	U					
312. Chalk Group,LLC/Horseco, Inc May 2017		None	K	U					
313. Camden Partners 555, LLC Nov.2017		None	J	U					
314. NPA,LLC Roseville land sold Oct. 2019		None			Sold	10/16/19	N		
315. Brett Construction Co. Stock		None	M	U					
316.									
317.									
318.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 23 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 24 of 24

Name of Person Reporting

Boom, Claria H.

Date of Report

06/03/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claria H. Boom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544