

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 04/30/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2019 to 12/31/2019
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. DIRECTOR AND VICE PRESIDENT	CLAREMONT HOMEOWNERS ASSOCIATION
2. DIRECTOR	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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TEILBORG, JAMES A.	04/30/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. ++++ (2000, \$79,000.)		None	L	R					
2. (H) PACIFIC LIFE VARIABLE UNIVERSAL LIFE									
3. ---FIXED ACCOUNT-PACIFIC LIFE		None	M	T					
4. THERMOSURGERY, INC.		None	J	T					
5. (H) NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
6. --- NW NVIT MD CAP INDEX 1 (FORMERLY NVIT MID CAP INDEX 1)		None	K	T					
7. --- BNY MEL VIF APP (NAME CHANGE FROM DREY VIF APPRECIATION PT)		None	K	T					
8. --- INVESCO OPP VI DISC MDCP (FORMERLY OPP MIDCAP/VA NSS)		None	J	T					
9. ---INVESCO OPP VI DISC MD CP (FORMERLY OPP MS ST/VA NSS)		None	K	T					
10. --- JnsHndrsn VIT OVRSEAS SVC (FORMERY JNS ASP OVERSEAS SERVICE		None	J	T					
11. --- NW NVIT WF DISC1 (FORMERLY NVIT MULT-MGR MID CAP GROWTH)		None	J	T					
12. (H) EQUITABLE LIFE POLICY									
13. --- EQ/ INTERMEDIATE GOVERNMENT BOND		None	J	T					
14. --- EQ/ BLACK ROCK BASIC VALUE EQUITY		None	K	T					
15. --- EQ/ MID CAP INDEX		None	K	T					
16. --- EQ/LARGE CAP CORE ,MGD VOL (FORMERLY EQ/LARGE CAP CORE PLUS)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. --EQ/LARGE CAP GROWTH MGD VOL (-FORMERLY AXA LARGE CAP GROWTH)		None	K	T					
18. ---EQ/INTL CORE MNGD VOLAT (FORMERLY AXA INTL INTERNATIONAL CORE PLUS		None	J	T					
19. ---CHARTER SMALL CAP VALUE		None	J	T					
20. [REDACTED]		None	L	U					
21. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					
22. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	J	T	Redeemed (part)	06/25/19	J	B	
23. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2021-20524A (NO CONTROL)	A	Int./Div.	K	T					
24. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
25. (H) LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
26. ---AF BL CHP INCOME & GROWTH		None	L	T					
27. ---AF GROWTH		None	M	T					
28. ---AF GRWTH-INC		None	L	T					
29. ---AF GLBL SM CAP		None	K	T					
30. ---AF INT'L		None	L	T					
31. --- AF NEW WORLD		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
32. --- GLOBAL GROWTH-INCOME		None	K	T					
33. ---AF GLOBAL GROWTH		None	L	T					
34. ---AF BOND		None	K	T					
35. --- GLOBAL BOND		None	K	T					
36. --- GOV'T/AAA SECURITIES		None	K	T					
37. --- HIGH INCOME BOND		None	J	T					
38. AXA EQUITABLE WHOLE LIFE INSURANCE	A	Int./Div.	J	T					
39. MET. TOWER LIFE ACQ. GENERAL AMER. LIFE 04/30 /18	A	Int./Div.	J	T					
40. BANK OF AMERICA NA, RASP	A	Int./Div.	K	T					
41. ISHARES MBS ETF	B	Int./Div.	L	T	Sold (part)	01/18/19	J		
42.					Sold (part)	06/17/19	J		
43. (H) PRUDENTIAL RETIREMENT B SERIES ANNUITY									
44. ---AST ACADEMIC STRATEGIES ASSET ALLOCATION		None	L	T					
45. ---AST GOLDMAN SACHS MULTI-ASSET		None	L	T					
46. ---AST BLACKROCK GLOBAL STRATEGIES PORT.		None	L	T					
47. ---AST PRUDENTIAL GROWTH ALLOCATION		None	L	T					
48. ISHARES IBOX \$ INV GRADE CORP BD FD	B	Dividend	K	T	Sold (part)	01/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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49.					Buy (add'l)	03/22/19	J		
50.					Sold (part)	04/05/19	J	A	
51.					Sold (part)	06/17/19	J	A	
52. VANGUARD INTERMEDIATE TERM BOND ETF	B	Dividend	L	T	Sold (part)	01/18/19	J		
53.					Sold (part)	04/05/19	J		
54.					Sold (part)	06/17/19	L		
55. VANGUARD SHORT TERM BOND		None			Sold	01/18/19	J		
56. INVESCO EMERGING MARKET SOVEREIGN	A	Dividend	J	T	Sold (part)	01/18/19	J		
57.					Sold (part)	03/22/19	J		
58. ISHARES 3-7 YEAR TREASURY BOND ETF	A	Dividend	K	T	Sold (part)	01/18/19	J		
59.					Sold (part)	06/17/19	J	A	
60. ++++ [REDACTED] (2015, \$55,000.)		None	L	R					
61. VANGUARD GROWTH ETF	B	Dividend	M	T	Buy (add'l)	01/18/19	J		
62.					Sold (part)	04/05/19	K	C	
63.					Buy (add'l)	06/17/19	K		
64. ISHARES INC CORE MSCI EMERG MKTS ETF	B	Dividend	K	T	Buy (add'l)	01/18/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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65.					Sold (part)	04/03/19	J	B	
66.					Sold (part)	06/17/19	J	C	
67. VANGUARD VALUE ETF	D	Dividend	M	T	Buy (add'l)	01/18/19	K		
68.					Sold (part)	04/05/19	J	C	
69. INVESCO PREFERRED ETF	A	Dividend	J	T	Sold (part)	04/05/19	J		
70. ISHARES TIPS BOND ETF	A	Dividend	K	T	Sold (part)	01/18/19	J		
71.					Sold (part)	06/17/19	J	A	
72. VANGUARD SMALL CAP VALUE ETF	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
73.					Sold (part)	04/05/19	J	A	
74.					Buy (add'l)	06/14/19	J		
75. VANGUARD SMALL CAP GROWTH ETF	A	Dividend	K	T	Buy (add'l)	01/18/19	J		
76.					Sold (part)	04/05/19	J	A	
77. VANECK VECTORS. JPMORGAN EM LOCAL CURR CCY	A	Dividend	J	T					
78. ISHARES IBOXX HIGH YIELD CORP BD	A	Dividend	J	T	Sold (part)	01/18/19	K		
79. ISHARES TR CORE MSCI EAF	A	Dividend	L	T	Sold (part)	01/18/19	K		
80.					Sold (part)	04/03/19	J		
81.					Sold (part)	06/17/19	K		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
82. MERRILL LYNCH CASH ACCOUNT		None	J	T					
83. VANGUARD SHORT-TERM CORP BOND	B	Dividend	L	T	Buy	01/18/19	L		
84.					Sold (part)	04/05/19	J	A	
85. VANGUARD INTERMEDIATE-TERM CORPORATE BOND FD	A	Dividend	J	T	Buy	01/18/19	J		
86.					Sold (part)	06/17/19	J	A	
87. XTRACKERS USE HIGH YIELD CORP BOND ETF	A	Dividend	J	T	Buy	01/18/19	J		
88. BLACKROCK LIQUIDITY FUND T FD	A	Dividend	K	T	Buy	08/15/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES A. TEILBORG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544