

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cohen, Mark H.	2. Court or Organization U. S. District Court, Georgia	3. Date of Report 05/07/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 1921 United States Courthouse 75 Ted Turner Drive, S.W. Atlanta, Georgia 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Cohen, Mark H.

Date of Report

05/07/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting Cohen, Mark H.	Date of Report 05/07/2020
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Cohen, Mark H.

Date of Report

05/07/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America (accounts)	A	Interest	M	T					
2. CIT Bank (CD)	B	Interest			Distributed	07/19/19	L	A	
3. Iberia Bank (CD)	C	Interest	L	T					
4. Promise One Bank (formerly NOA Bank) (CD)	C	Interest	L	T					
5. IRA Account #1									
6. --Amer Balanced Fund ABALX	D	Dividend	N	T					
7. --Amer Growth Fund AGTHX	B	Dividend			Sold	05/29/19	K	A	
8. --Amer Fds Inc Fund AMECX	D	Dividend	N	T					
9. --Amer Smallcap World Fund SMCWX	D	Dividend	N	T					
10. --Capital Income Builder Fund CAIBX	D	Dividend	M	T					
11. --New World Fund NEWFX	A	Dividend	M	T	Buy	05/29/19	K		
12. IRA Account #2									
13. --JP Morgan Large Cap Growth Fund OLGAX	D	Dividend	M	T					
14. --Metropolitan West Total Return Fund MWTIX	B	Dividend	L	T					
15. --Galliard Retirement Income Fund	B	Dividend	M	T					
16. Brokerage Account									
17. --Amer Funds Washington Mut Fund WMFFX	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

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18. --AMG TimeSquare Mid Cap Growth Fund TQMIX	A	Dividend			Sold	05/30/19	K	B	
19. --Delaware Value Fund DDVIX	A	Dividend			Sold	05/30/19	K	A	
20. --Deutsche Enhanced Comm. Strategy Fund SKIRX	A	Dividend			Sold	05/30/19	J	A	
21. --Eagle Small Cap Growth Fund Carillon Ser Tr HSIIX	A	Dividend			Sold	05/30/19	J	A	
22. --Eaton Vance Income Fund EIBIX	A	Dividend			Sold	05/30/19	J	A	
23. --Goldman Sachs Fin Sq Treasury Instrum Fund FTIXX	A	Dividend			Sold	05/30/19	J	A	
24. --Hotchkis & Wiley Mid Cap Value Fund	A	Dividend			Sold	02/21/19	K	A	
25. --Invesco Oppenheimer Devel Mkts Fund ODVYX	A	Dividend	K	T					
26. --John Hancock Classic Value Fund HWMIX	A	Dividend	K	T	Sold (part)	05/30/19	J	A	
27. --Lazard Emerging Mkts Equity Fund LZEMX	A	Dividend			Sold	05/30/19	K	A	
28. --Lord Abbott High Municipal Bond Fund HYMIX	C	Dividend	L	T	Buy	05/30/19	L		
29. --MFS Emerging Mkts Debt Fund MEDIX	A	Dividend			Sold	05/30/19	J	A	
30. --MFS Ser TR I Value Fund MEIIX	A	Dividend			Sold	05/30/19	K	A	
31. --MainStay Large Cap Growth Fund MLAIX	A	Dividend			Sold	05/30/19	K	A	
32. --Principal Funds, Inc. Global Real Estate POSIX	A	Dividend	K	T					
33. --T Rowe Price Real Estate TRREX	A	Dividend			Sold	05/30/19	J	A	
34. --T Rowe Price Int'l Funds TROSX	A	Dividend			Sold	05/30/19	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

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35. --Victory Sycamore Small Co. Opportunity Fund VSOIX	A	Dividend	K	T					
36. --Voya Large Cap Growth Fund PLCIX	A	Dividend	K	T					
37. --Wells Fargo Core Bond Fund MBFIX	A	Dividend			Sold	05/30/19	J	A	
38. --Wells Fargo Emerging Growth Fund WEMIX	A	Dividend	K	T					
39. --William Blair Int'l Growth Fund BIGIX	A	Dividend			Sold	03/19/19	K	C	
40. --Ishares U. S. Real Estate Exch. Traded Fund IVR	A	Interest	K	T	Buy	05/30/19	J		
41. --City of Atlanta Airport Passenger Facility Bond	A	Interest	J	T	Buy	05/31/19	J		
42. --City of Atlanta Water & Wastewater Rev Bond	A	Interest	K	T	Buy	05/31/19	K		
43. --City of Atlanta Devel. Auth. New Downtown Stadium Bond	A	Interest	J	T	Buy	05/30/19	J		
44. --Fulton County Devel. Auth. Rev. Bond	A	Interest	J	T	Buy	05/31/19	J		
45. --Georgia State Series A Tanche Bond	A	Interest	K	T	Buy	05/30/19	K		
46. --Georgia State Series A G/O Bond	A	Interest	K	T	Buy	05/30/19	K		
47. --Georgia Private Colleges & Univ. Rev. Bond	A	Interest	K	T	Buy	05/30/19	K		
48. New York Life Whole Life Policy	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Cohen, Mark H.

Date of Report

05/07/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Cohen, Mark H.

Date of Report

05/07/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark H. Cohen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544