

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Silverstein, Laurie S.	2. Court or Organization U.S. Bankruptcy Court, Delaware	3. Date of Report 07/26/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge -Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Bankruptcy Court 824 Market Street Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer	Watersfield Homeowners Association
2. Director	Delaware Bar Foundation
3. Executive Committee	Milton & Hattie Kutz Home
4. Co-President	Delaware Bankruptcy Inns of Court
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Silverstein, Laurie S.

Date of Report

07/26/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	The Pennsylvania State University, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Administrative Office	1/16/2019 to 1/18/2019	Phoenix, AZ	Judicial Conference Budget Committee Meeting	Transportation, Lodging, Food
2.	American College of Bankruptcy	3/14/2019 to 3/17/2019	San Diego, CA	Induction Ceremony/CLE	Transportation, Lodging
3.	Administrative Office	7/9/2019 to 7/11/2019	Washington, D.C.	Judicial Conference Budget Committee Meeting	Transportation, Lodging, Food
4.	ABI	8/1/2018 to 8/3/2019	Hershey, Pa	CLE	Transportation
5.	National Conference of Bankruptcy Judges	10/29/2019 to 10/31/2019	Washington, D.C.	NCBJ Annual Conference	Transportation, Lodging

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Smugglers Notch Resort	Condo Fee	J
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank account	A	Interest	M	T					
2. Artisans' Bank account	B	Interest	N	T					
3. WSFS Bank accounts	A	Interest	O	T					
4. M&T Bank accounts	A	Interest	M	T					
5. Bank of America account	A	Interest	L	T					
6. TD Bank student account		None	J	T					
7. Smugglers Notch Resort	D	Rent	M	R					
8. Pennsylvania 529 Guaranteed Savings Plan			L	T					
9. Vanguard Wellington Fund Investor Shares	E	Int./Div.	P1	T					
10. Vanguard Windsor II Fund Investor Shares	E	Int./Div.	P1	T					
11. Vanguard Target Retirement Income Fund	D	Int./Div.	N	T					
12. RBC Bank Deposit Program		None	L	T					
13. FPACX		None			Sold	02/05/19	J	A	
14. PRWCX	A	Dividend	J	T	Buy (add'l)	02/05/19	J		
15. HCN	B	Dividend	K	T	Donated (part)	12/27/19	J		
16. MO	B	Dividend	K	T					
17. MRK	B	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. NSEIX	A	Dividend			Sold	02/05/19	K	B	
19. POSKX	E	Dividend	N	T					
20. VFIAX	D	Dividend	O	T					
21. VTMGX		None			Sold	02/05/19	M	D	
22. AMVFX	A	Dividend	J	T	Buy (add'l)	02/05/19	J		
23. VEIRX	C	Dividend	M	T	Buy (add'l)	02/05/19	K		
24. LSWWX	A	Dividend	J	T	Buy (add'l)	02/05/19	J		
25. PRDGX	C	Dividend	M	T	Buy (add'l)	02/05/19	L		
26. PRIDX		None			Sold	02/05/19	J	A	
27. VGSTX		None			Sold	02/05/19	J	A	
28. VDADX	B	Dividend	M	T	Buy (add'l)	02/05/19	K		
29. DRGO	C	Dividend	M	T	Sold (part)	02/05/19	L	B	
30. IYY		None			Sold	02/05/19	N	E	
31. TRBCX	A	Dividend	N	T	Buy (add'l)	02/05/19	M		
32. DODBX	B	Dividend	J	T	Buy	02/05/19	J		
33. VLCAX	D	Dividend	N	T	Buy	02/05/19	N		
34. RPGAX		None			Sold	02/05/19	J	A	

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									
36. CD-- BMO Harris Bank NA	B	Interest			Buy	01/11/19	L		
37.					Redeemed	10/23/19	L		
38. CD--State Bank of India		None			Redeemed	01/02/19	L		
39. CD--Bank of China	B	Interest			Redeemed	04/15/19	L		
40. CD --BMO Harris Bank NA	A	Interest			Redeemed	07/01/19	L		
41. CD--Chemical Bank		None	L	T	Buy	07/12/19	L		
42. CD--Goldman Sachs Bank		None	M	T	Buy	07/12/19	L		
43. ML Bank Deposit Program	A	Int./Div.	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII line 7, Smugglers Notch Resort -- fractional share purchased January, 2003 for \$142,600. Located in Smuggler's Notch Resort, VT

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Part VII line 8, Pennsylvania 529 Guaranteed Savings Plan appreciates each year based on Harrisburg College tuition increase. This account is not invested in stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Laurie S. Silverstein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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