

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Simms, Gina L.	2. Court or Organization U.S. District Court, District of Maryland	3. Date of Report 07/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2019 to 12/31/2019
7. Chambers or Office Address United States Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	██████ (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Suntrust Bank	Personal Loan	K
2.	Navient	College Loan	N
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. INDIVIDUAL ASSETS (H)									
2. JUSTICE FEDERAL CREDIT UNION (cash)	A	Int./Div.	J	T					
3. BANK OF AMERICA (cash)	A	Interest	J	T					
4. SUNTRUST BANK (cash)	A	Interest	J	T					
5. PNC BANK (cash)	A	Interest	K	T					
6. WELLS FARGO BANK (cash)	A	Interest	J	T					
7. TD BANK (cash)	A	Interest	J	T					
8. NEW YORK LIFE (whole life policy)	A	Int./Div.	K	T					
9. US TREASURY DIRECT SERIES EE SAVINGS BOND		None	J	T					
10. US TREASURY DIRECT SERIES I SAVINGS BOND		None	K	T					
11. AMERICAN FUNDS, NEW PERSPECTIVE FUND A (ANWPX)	A	Dividend	J	T					
12. THE WALT DISNEY COMPANY	A	Dividend	K	T					
13. BROKERAGE ACCOUNT #1 (H)									
14. AMERIPRISE INSURED MONEY MARKET (cash)	A	Interest	J	T					
15. BRISTOL MYERS SQUIB COMPANY (BMY)		None	J	T	Buy	11/19/19	J		
16. EATON VANCE ATLANTA CAP SMID CAP CL I (EISMX)	B	Dividend	K	T					
17. IVY SCIENCE AND TECHNOLOGY CL I (ISTIX)	A	Dividend			Sold	11/19/19	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. EVENTIDE HEALTHCARE & LIFE SCIENCES CL I (ETIHX)	A	Dividend	K	T					
19. INVESCO OPPENHEIMER INTL SMALL-MID COMPANY CL Y (OSMYX)	A	Dividend	J	T					
20. TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX) (Y)									
21. AT&T INC (T)	A	Dividend	J	T					
22. AMAZON.COM INC (AMZN)		None	J	T	Buy	11/19/19	J		
23. APPLE INC (AAPL)	A	Dividend	J	T					
24. DXC TECHNOLOGY CO (DXC)	A	Dividend	J	T					
25. GENERAL ELECTRIC CO (GE) (Y)									
26. MIRCROSOFT CORP (MSFT)	A	Dividend	J	T	Buy	11/19/19	J		
27. MONDELEZ INTL INC COM (MDLZ)	A	Dividend			Sold	04/08/19	J	B	
28. NORTHROP GRUMMAN CORP (NOC)	A	Dividend	K	T	Buy	05/06/19	K		
29. PGIM TOTAL RETURN BOND CL Z (PDBZX)	A	Dividend	J	T	Buy	11/19/19	J		
30. PGIM HIGH YEILD CL Z (PHYZX)	A	Dividend	J	T	Buy	11/19/19	J		
31. PGIM SHORT TERM CORP BOND CL Z (PIFZX)	A	Dividend	J	T	Buy	11/19/19	J		
32. PROCTOR & GAMBLE CO (PG)	A	Dividend			Sold	04/08/19	J	B	
33. TAPESTRY INC (TPR)	A	Dividend	J	T	Buy	06/18/19	J		
34. TARGET CORP (TGT)	A	Dividend			Sold	04/08/19	J	A	

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35. UNITEDHEALTH GROUP INC (UNH)	A	Dividend	J	T	Buy	11/19/19	J		
36. VALERO ENERGY CORP (VLO)	A	Dividend	J	T					
37. WALMART STORES INC (WMT)	A	Dividend			Sold	06/18/19	J	B	
38. RIVERSOURCE LIFE INSURANCE CO RAVA 5 ADVANTAGE VARIABLE ANNUITY (H)									
39. COLUMBIA VP-MNGD VOL GROWTH FD CL 2	A	Dividend	L	T					
40. IRA #1 (H)									
41. AMERIPRISE INSURED MONEY MARKET (cash)	A	Interest	J	T					
42. ABB LIMITED (ABB)	A	Dividend	K	T	Buy	04/24/19	K		
43. APTIV PLC (APTV)	A	Dividend			Sold	04/08/19	J		
44. ALBEMARLE CORP (ALB)	A	Dividend	K	T	Buy (add'l)	04/24/19	K		
45. ALPHABET INC CL C (GOOG)		None	J	T					
46. ANHEUSER BUSCH (BUD)	A	Dividend			Buy	02/20/19	J		
47.					Sold	07/10/19	J		
48. AMAZON COM INC (AMZN)		None	J	T					
49. BAYER A G SPON ADR (BAYRY)	A	Dividend	J	T					
50. BIOGEN INC (BIIB)		None	K	T	Buy	04/24/19	K		

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51. BRISTOL MYERS SQUIBB COMPANY (BMY)	A	Dividend	J	T	Buy	05/06/19	J		
52. CHEVRON CORP (CVX)	A	Dividend	J	T					
53. CISCO SYSTEMS INC (CSCO)	A	Dividend			Sold	04/08/19	J		
54. CITIZENS FINANCIAL GROUP INC (CFG)	A	Dividend	J	T					
55. COCA-COLA COMPANY (KO)	A	Dividend			Sold	06/07/19	J		
56. COMCAST CORP CL A NEW (CMCSA)	A	Dividend	J	T					
57. CREDIT SUISSE GROUP (CS)	A	Dividend	J	T	Buy	02/20/19	J		
58. CVS HEALTH CORP (CVS)	A	Dividend	K	T	Buy	04/24/19	K		
59. DANA INC (DAN)		None			Sold	02/20/19	J		
60. EXPEDIA GROUP INC (EXPE)	A	Dividend	J	T	Buy	04/24/19	J		
61. EXXON MOBIL CORP (XOM)	A	Dividend	J	T	Buy	07/10/19	J		
62. FACEBOOK INC CL A (FB)		None	J	T					
63. FASTENAL CO (FAST)	A	Dividend			Sold	04/08/19	J		
64. FEDEX CORP (FDX)	A	Dividend	J	T					
65. FIRST HORIZON NATIONAL CORP (FHN)	A	Dividend	J	T					
66. GOLDMAN SACHS GROUP INC (GS)	A	Dividend	J	T					
67. HOME DEPOT INC (HD)	A	Dividend			Buy	02/20/19	J		

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68.					Sold	04/08/19	J		
69. INTL BUSINESS MACHINES CORP (IBM)	A	Dividend	J	T					
70. INTERNATIONAL PAPER COMPANY (IP)	A	Dividend	J	T					
71. JPMORGAN CHASE & CO (JPM)	A	Dividend			Sold	11/19/19	J		
72. JOHNSON & JOHNSON (JNJ)	A	Dividend			Sold	04/08/19	J		
73. MERCK & COMPANY INC NEW (MRK)	A	Dividend			Sold	04/08/19	J		
74. ORANGE SPONS ADR (ORAN)	A	Dividend	J	T					
75. PFIZER INC (PFE)	A	Dividend	J	T					
76. PROCTER & GAMBLE CO (PG)	A	Dividend			Sold	04/08/19	J		
77. PRUDENTIAL FINANCIAL INC (PRU)	A	Dividend	J	T					
78. REGENERON PHARMACEUTICALS INC (REGN)		None	J	T					
79. ROYAL DUTCH SHELL PLC (RDS/A)	A	Dividend			Buy	04/24/19	K		
80.					Sold	11/19/19	J		
81. SCHLUMBERGER LTD (SLB)	A	Dividend	J	T	Buy	04/24/19	K		
82. 3M COMPANY (MMM)	A	Dividend			Sold	11/19/19	J		
83. TOTAL S A SPON ADR (TOT)	A	Dividend	J	T					
84. UNITED PARCEL SERVICE INC CL B (UPS)	A	Dividend			Sold	04/08/19	J		

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85. VERIZON COMMUNICATIONS INC (VZ)	A	Dividend			Sold	04/08/19	J		
86. WESTPAC BANKING CORP SPON ADR (WBK)	A	Dividend	J	T					
87. IRA #2 (H)									
88. AMERIPRISE INSURED MONEY MARKET (cash)	A	Interest	K	T					
89. AMERICAN FUNDS, CAPITAL WORLD GROWTH & INCOME CL F2 (WGFIX)	A	Dividend			Sold	11/19/19	J		
90. AMERICAN FUNDS, FUNDAMENTAL INVESTORS CL F2 (FINFX)	A	Dividend			Sold	11/19/19	J		
91. AMERICAN FUNDS, GROWTH FUND OF AMERICA CL F2 (GFFFX)		None			Sold	11/19/19	K		
92. AMERICAN FUNDS, INVESTMENT COMPANY OF AMERICA CL F2 (ICAFX)	A	Dividend			Sold	11/19/19	J		
93. AMERICAN FUNDS, NEW ECONOMY CL F2 (NEFFX)		None			Sold	11/19/19	K		
94. AMERICAN FUNDS, NEW PERSPECTIVE CL F2 (ANWFX)		None			Sold	11/19/19	J		
95. AMERICAN FUNDS, SMALLCAP WORLD CL F2 (SMCFX)		None			Sold	11/19/19	J		
96. BLACKROCK MID CAP GROWTH EQUITY INST CL (CMGIX)	A	Dividend	J	T	Buy	11/19/19	J		
97. DELAWARE SMALL CAP CORE CL I (DCCIX)	A	Dividend	J	T	Buy	11/19/19	J		
98. FIDELITY ADVISOR INTL CAP APPREC CL I (FCPIX)	A	Dividend	J	T	Buy	11/19/19	J		
99. MFS INTL DIVERSIFICATION CL I (MDIJX)	A	Dividend	J	T	Buy	11/19/19	J		
100. PGIM TOTAL RETURN BOND CL Z (PDBZX)	A	Dividend	J	T	Buy	11/19/19	J		

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101. PGIM HIGH YIELD CL Z (PHYZX)	A	Dividend	J	T	Buy	11/19/19	J		
102. PGIM SHORT TERM CORP BOND CL Z (PIFZX)	A	Dividend	J	T	Buy	11/19/19	J		
103. INVESCO QQQ ETF (QQQ)	A	Dividend	J	T	Buy	11/19/19	J		
104. SPDR S&P 500 ETF (SPY)		None	J	T	Buy	11/19/19	J		
105. SPDR DOW JONES INDUSTRIAL AVERAGE ETF (DIA)		None	J	T	Buy	11/19/19	J		
106. 401(k) #1 (H)									
107. AMERICAN FUNDS EUROPACIFIC GROWTH FUND CLASS R6		None	K	T					
108. VANGUARD INSTITUTIONAL 500 INDEX TRUST		None	M	T	Sold (part)	05/15/19	J		
109.					Sold (part)	11/15/19	J		
110. HOTCHKINS & WILEY SMALL CAP VALUE FUND DLASS I		None	K	T	Buy (add'l)	08/15/19	J		
111.					Sold (part)	11/15/19	J		
112. DODGE & COX INTERNATIONAL STOCK FUND`		None	K	T	Sold (part)	11/15/19	J		
113. VANGUARD EXPLORER FUND ADMIRAL		None	K	T					
114. VANGUARD HIGH YIELD CORP FUND ADMIRAL CLASS		None	K	T	Buy (add'l)	11/15/19	J		
115.									
116. VANGUARD INSTITUTIONAL TOTAL BOND MARKET INDEX TRUST		None	K	T	Sold (part)	08/15/19	J		
117.					Buy (add'l)	11/15/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
118. BLACKROCK LIQUIDITY FUNDS FED FUND CLASS INSTITUTIONAL		None	K	T	Buy (add'l)	11/15/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Simms, Gina L.

Date of Report

07/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Simms, Gina L.

Date of Report

07/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gina L. Simms**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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