

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hamilton, David F.	2. Court or Organization USCA - Seventh Circuit	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 211 South Indiana Avenue Suite 219 Bloomington, Indiana 47405		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Advisory Board, Center for Constitutional Democracy in Plural Societies
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Hamilton, David F.

Date of Report

05/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Jan-Dec	Indiana University Maurer School of Law - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The University of Pennsylvania	01/24/2019 - 01/26/2019	Philadelphia, PA	Moot Court Judge	Travel, Hotel, and Meals
2.	University of Southern California	02/18/2019 - 02/24/2019	Los Angeles, CA	Jurist in Residence	Travel, Hotel, and Meals
3.	American Academy of Appellate Lawyers	04/11/2019 - 04/13/2019	Philadelphia, PA	Speaker	Travel, Hotel, and Meals
4.					
5.					

Name of Person Reporting	Date of Report
Hamilton, David F.	05/14/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Northwestern Mutual 65 Whole Life Insurance Policy	C	Dividend	L	T					
2. Northwestern Mutual 65 Whole Life Insurance Policy	A	Dividend	K	T					
3. Northwestern Mutual Adjustable CompLife Whole Life Insurance Policy	C	Dividend	K	T					
4. Vanguard Money Market	A	Dividend	K	T					
5. Vanguard Total Stock Index - Admiral	B	Dividend	M	T					
6. Vanguard Tax Mgd. Cap. App.	A	Dividend	K	T					
7. Vanguard 500 Index Fund Admiral	A	Dividend	K	T					
8. Vanguard Tax Mgd. International Fund	B	Dividend	K	T					
9. Vanguard Tax Mgd. Small Cap	A	Dividend	K	T					
10. Vanguard Growth Index Roth IRA	A	Dividend	J	T					
11. CREF Growth Fund	A	Dividend	L	T					
12. CREF Global Equities	A	Dividend	L	T					
13. Fidelity Blue Chip Growth	A	Dividend			Sold	12/23/19	K		
14. Fidelity Growth Company	A	Dividend			Sold	12/23/19	L		
15. Fidelity Overseas	A	Dividend			Sold	12/23/19	J		
16. Fidelity Aggressive Growth	A	Dividend			Sold	12/23/19	K		
17. Fidelity Emerging Markets	A	Dividend			Sold	12/23/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Fidelity Spartan U.S. Equity Index (500 Index Inst.)	A	Dividend			Sold	12/23/19	L	A	
19. Bloomington, IN Rental Property - bought 1994 - \$118,000	B	Rent	M	R					
20. IU Credit Union	B	Interest	N	T					
21. Vanguard International Stock Index	A	Dividend	J	T					
22. Lincoln Financial Growth & Income Fund	A	Dividend	J	T					
23. ING Bank	A	Interest	L	T					
24. TC Lifecycle 2030	A	Dividend	N	T	Buy (add'l)	12/23/19	L		
25. Vanguard Short-Term Investment - Grade Bond	A	Dividend	K	T					
26. Vanguard Target Retirement 2030	A	Dividend	N	T	Buy	12/23/19	N		
27. CREF Social Choice	A	Dividend	L	T	Buy	12/10/19	L		

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(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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Name of Person Reporting Hamilton, David F.	Date of Report 05/14/2020
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Hamilton, David F.

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05/14/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ David F. Hamilton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544