

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McAliley, Chris M.	2. Court or Organization U.S. Dist Court, S. Dist. FL	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address C Clyde Atkins US Courthouse 301 North Miami Avenue 6th Floor Miami, Florida 33128		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Settlor & Trustee	Trust #1
2. Settlor & Trustee	Trust #2
3. Settlor	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

McAliley, Chris M.

Date of Report

05/08/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
McAliley, Chris M.	05/08/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. HARTFORD HEALTHCARE FUND CL I (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
2. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
3. FIDELITY LOW PRICE STOCK (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
4. VANGUARD DIVIDEND GROWTH (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
5. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
6. FIDELITY ADVISOR INT'L GROWTH FUND (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
7. ARTISAN HIGH INCOME FUND ADVISOR (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
8. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #1)	A	Dividend			Sold	05/03/19	J	A	
9. FIDELITY GOVERNMENT CASH RESERVES (TRUST #1)	A	Interest			Distributed	05/31/19	K		
10.									
11. HARTFORD HEALTHCARE FUND CL I (TRUST #2)	A	Dividend			Distributed	07/31/19	J		
12. PRIMECAP ODYSSEY AGGRESSIVE GROWTH FUND (TRUST #2)	A	Dividend			Distributed	07/31/19	J		
13. FIDELITY LOW PRICE STOCK (TRUST #2)	A	Dividend			Distributed	07/31/19	J		
14. VANGUARD DIVIDEND GROWTH (TRUST #2)	A	Dividend			Distributed	07/31/19	J		
15. VANGUARD SHORT TERM INVMT GRADE ADMIRAL (TRUST #2)	A	Dividend			Distributed	07/31/19	K		
16. FIDELITY ADVISOR INT'L GROWTH FUND (TRUST #2)	A	Dividend			Distributed	07/31/19	J		
17. ARTISAN HIGH INCOME FUND ADVISOR (TRUST #2)	A	Dividend			Distributed	07/31/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (TRUST #2)	A	Dividend			Distributed	07/31/19	K		
19. FIDELITY GOVERNMENT CASH RESERVES (TRUST #2)	A	Interest			Distributed	07/31/19	J		
20.									
21. PRIMECAP ODYSSEY AGGRESSIVE GRWTH FD (IRA)	D	Dividend	M	T					
22. FIDELITY LOW PRICE STOCK (IRA)	D	Dividend	M	T	Sold (part)	10/23/19	J	B	
23. VANGUARD DIVIDEND GROWTH (IRA)	D	Dividend	M	T	Sold (part)	10/08/19	K	E	
24. PRIMECAP ODYSSEY STOCK FUND (IRA)	A	Dividend			Sold	02/01/19	K	E	
25. VANGUARD SHORT TERM INVEST GRADE ADMIRAL (IRA)	B	Dividend	L	T					
26. FIDELITY ADVISOR INT'L GROWTH FUND (IRA)	A	Dividend	L	T	Sold (part)	07/11/19	K	D	
27. HARTFORD HEALTHCARE FUND CL F (IRA)	A	Dividend			Sold	01/08/19	K	C	
28. ARTISAN HIGH INCOME FUND ADVISOR (IRA)	B	Dividend			Sold	10/04/19	K	D	
29. VANGUARD INTERMEDIATE TERM INVMT GR ADMIRAL (IRA)	B	Dividend	L	T					
30. FIDELITY ADVISOR HEALTHCARE (IRA)	A	Dividend	K	T	Buy	01/09/19	K		
31. FIDELITY ADVISOR INT'L SMALL CAP (IRA)	A	Dividend	K	T	Buy	07/11/19	K		
32. ARTISAN INT'L SMALL MID FND (IRA)	A	Dividend	K	T	Buy	07/11/19	K		
33. BAILLIE GIFFORD LONG TERM GLOBAL (IRA)	A	Dividend	K	T	Buy	02/01/19	K		
34. FIDELITY ADVISOR TOTAL BOND (IRA)	A	Dividend	K	T	Buy	10/04/19	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

TRUST #1 ALL ASSETS SOLD, CASH DISTRIBUTED AND TRUST CLOSED.

TRUST #2 ALL ASSETS DISTRIBUTED AND TRUST CLOSED.

TRUST #3 REPORTED ON PART I, HAS NO REPORTABLE ASSETS.

ASSETS ON PART VII "INVESTMENTS AND TRUSTS" THAT WERE FULLY SOLD IN 2018 WERE REMOVED/DELETED FROM THE SCHEDULE.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Chris M. McAliley**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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