

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BERGER, ROBERT D.	2. Court or Organization U.S. BANKRUPTCY COURT DISTRICT OF KANSAS	3. Date of Report 04/22/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 125 ROBERT J. DOLE U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	HOBBY LOBBY - Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Missouri Bar Association	03/10/2014-03/11/2014	Springfield, MO	Bankruptcy CLE speaker	Lodging, meals, tips, mileage
2. National Association of Consumer Bankruptcy Attorneys	04/09/2014-04/13/2014	New York, NY	Bankruptcy CLE speaker	Airfare, baggage fees, ground transportation, lodging, meals, tips, airport parking, mileage airport to residence
3. American Bankruptcy Institute/Univ. of Missouri Law School	10/17/2014	Kansas City, MO	Bankruptcy CLE speaker	Mileage
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WELLS FARGO/MONEY MARKET ACCOUNTS	A	Interest	J	T					
2. CAPITOL FEDERAL	A	Interest	J	T					
3. IRON COUNTY, MICHIGAN (LAND, ONE ACRE)		None	J	W					
4. OPPENHEIMER GOLD & SPL A OPGSX**	A	Dividend	J	T					
5. OPPENHEIMER DEVELOPING MARKETS A OADMV**	A	Dividend	J	T					
6. OPPENHEIMER EQUITY INCOME FUND A OAEIX**	A	Dividend	J	T					
7. GABELLI UTILITIES FD CL AAA SHS GABUX	A	Dividend			Sold	03/10/14	J	B	
8. SODASTREAM INT'L SODA		None			Buy	03/10/14	J		
9. SODA STREAM INT'L SODA		None			Sold	07/02/14	J	A	
10. RYDEX EQUAL WEIGHT RSP	A	Dividend			Sold	03/10/14	J	A	
11. HIGHLAND FLT RT HFRZX	A	Dividend			Sold	03/10/14	J	A	
12. PHILLIPS 66 PSV	A	Dividend	J	T	Buy	05/31/14	J		
13. S&P SHORT FUND SH		None			Sold	04/09/14	J	A	
14. NASDAQ SHORT FUND PSQ		None			Sold	04/09/14	J	A	
15. GABELLI GOLD GLDIX	A	Dividend			Buy	07/02/14	J		
16. GABELLI GOLD GLDIX	A	Dividend			Sold	09/23/14	J	A	
17. PUTNAM CAPITAL SPECTRUM FUND PVSIX	A	Dividend	J	T	Buy	07/02/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 Q = \$250,001 - \$500,000	R = \$500,001 - \$1,000,000 S = More than \$1,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. UNDER ARMOUR UA		None	J	T	Buy	09/23/14	J		
19. NORDSTROM'S JWN	A	Dividend	J	T	Buy	09/23/14	J		
20. CERNER CERN	A	Dividend	J	T	Buy	06/19/14	J		
21. STRATASYS LTD SSYS		None	J	T	Buy	05/23/14	J		
22. DASSAULT SYSTEMES DASTY		None	J	T	Buy	05/23/14	J		
23. NINTENDO ADR NTDOY	A	Dividend			Buy	01/22/14	J		
24. NINTENDO ADR NTDOY	A	Dividend			Sold	06/05/14	J	A	
25. 3D SYSTEMS DDD		None	J	T	Buy	05/23/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

ASSETS MARKED WITH TWO ASTERISKS (**), PART VII, INVESTMENTS AND TRUSTS, LINES 4-6, ARE OWNED BY MEMBERS OF REPORTING PERSON'S [REDACTED].

ON OCCASION, OPTIONS (CALLS) WERE SOLD ON SOME OF THE STOCKS LISTED.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT D. BERGER**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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