

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Alquist, Nancy V.	2. Court or Organization BANKRUPTCY COURT, DISTRICT OF MARYLAND	3. Date of Report 08/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address CHAMBERS 2A UNITED STATES COURTHOUSE 101 W. LOMBARD STREET BALTIMORE, MD 21201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Alquist, Nancy V.

Date of Report

08/14/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Commerce Dept; Commercial Law Development Program	April 25-May 3, 2019	Kabul, Afghanistan	Meeting/teaching/seminar	Travel, lodging
2.	Commerce Dept; Commercial Law Development Program	July 12-21, 2019	Kabul, Afghanistan	Meeting/teaching/seminar	Travel, lodging
3.	American Bankruptcy Institute	August 1-3, 2019	Hershey, PA	Mid-Atlantic Bankruptcy Workshop	Mileage, lodging
4.	Commerce Dept; Commercial Law Development Program	November 10-16, 2019	Kiev, Ukraine	Meeting/teaching/seminar	Travel, lodging
5.					

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. PERSONALLY OWNED (HEADER)									
2. M & T CHECKING	A	Interest	J	T					
3. VANGUARD INSTITUTIONAL INDEX FUND	A	Dividend	O	T					
4. HARBOUR CAPITAL APPRECIATION RET	A	Dividend	N	T					
5. SPOUSE OWNED (HEADER)									
6. M & T CHECKING	A	Interest	J	T					
7. BAIRD MONEY MARKET	A	Interest	J	T					
8. IRA (HEADER)									
9. BAIRD MONEY MARKET	A	Interest	J	T					
10. DAVIS GLOBAL CL A DGFAX	A	Dividend	K	T					
11. FEDERATED KAUFMANN SMALL CAP CL A FKASX	A	Dividend			Sold	10/17/19	L	E	
12. OPPENHEIMER GLOBAL OPPTYS CL A OPGIX	A	Dividend	K	T					
13. EDGEWOOD GROWTH INSTL CL REINVESTMENTS EGFIX		None	K	T					
14. AMERICAN CENTURY EQUITY INCOME CL 1	A	Interest	K	T					
15. FMI LARGE CAP INSTL C; REINVESTMENTS FMIQX	A	Dividend	K	T					
16. JOHN HANCOCK CLASSIC VALUE CL 1 JCPIX	A	Dividend	K	T					
17. HARBOR SMALL CAP VALUE INSTL CL REINVESTMENTS HASCX	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. OAKMARK INTL INSTL CL REINVESTMENTS OANIX	A	Dividend	K	T					
19. LOOMIS SAYLES GROWTH CL Y REINVESTMENTS LSGRX	A	Dividend	K	T					
20. MFS INSTL INTL EQUITY REINVESTMENTS MIEIX	A	Dividend	K	T					
21. PARNASSUS MID CAP INSTL CL REINVESTMENTS PPFMX	A	Dividend	K	T					
22. PEAR TREE POLARIS FOREIGN VALUE INSTL CL REINVESTMENTS QFVIX	A	Dividend	K	T					
23. T ROWE PRICE GROWTH STOCK INVESTOR CL PRGFX	A	Dividend	K	T					
24. PRINCIPAL MID CAP INSTL CL REINVESTMENTS PCBIX	A	Dividend	J	T					
25. STERLING CAPITAL MID VALUE INSTL CL REINVESTMENTS OVEIX	A	Dividend			Sold	12/13/19	J	A	
26. VIRTUS KAR SMALL CAPM GROWTH CL I REINVESTMENTS PXSGX		None	J	T					
27. WELLS FARGO EMERGING MARKETS EQUITY INTL CL REINVESTMENTS EMGNX	A	Dividend	J	T					
28. PRINCIPAL DIVERSIFIED REAL ASSET INSTL CL REINVESTMENTS PDRDX	A	Dividend	K	T					
29. BAIRD SHORT TERM BOND INSTL CL REINVESTMENTS SSBIX	A	Dividend	L	T					
30. BLACKROCK HIGH YIELD BOND INSTL CL REINVESTMENTS BHYIX	A	Dividend	J	T					
31. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL REINVESTMENTS BSIIX	A	Dividend	K	T					
32. DODGE & COX INCOME REINVESTMENTS DODIX	A	Dividend	L	T					
33. METROPOLITAN WEST TOTAL RETURN BOND CL I REINVESTMENTS MWTIX	A	Dividend	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
34. NUANCE MIDCAP NMVLX	A	Dividend	K	T	Buy	12/23/19	K	A	
35. GRW OF AMER A	A	Dividend			Sold	3/6/19	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nancy V. Alquist

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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