

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Baum, Redfield T.                                                                                                     | <b>2. Court or Organization</b><br><br>U.S. Bankruptcy Court (AZ)                                                                                                                                                                      | <b>3. Date of Report</b><br><br>06/25/2020                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. Bankruptcy Judge                                          | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2019<br>to<br>12/31/2019 |
|                                                                                                                                                                                            | <b>5b.</b> <input type="checkbox"/> Amended Report                                                                                                                                                                                     |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>230 North First Ave.<br>Phoenix, AZ 85003                                                                                                      |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                        |                                                                  |

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions )*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

|             |                                                            |
|-------------|------------------------------------------------------------|
| 1. Director | Arizona State University College of Law Alumni Association |
| 2.          |                                                            |
| 3.          |                                                            |
| 4.          |                                                            |
| 5.          |                                                            |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions )*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Baum, Redfield T.        | 06/25/2020     |

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions )

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

| DATE | SOURCE AND TYPE | INCOME<br>(yours, not spouse's) |
|------|-----------------|---------------------------------|
| 1.   |                 |                                 |
| 2.   |                 |                                 |
| 3.   |                 |                                 |
| 4.   |                 |                                 |

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.  
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

| DATE | SOURCE AND TYPE |
|------|-----------------|
| 1.   |                 |
| 2.   |                 |
| 3.   |                 |
| 4.   |                 |

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.  
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

| SOURCE | DATES | LOCATION | PURPOSE | ITEMS PAID OR PROVIDED |
|--------|-------|----------|---------|------------------------|
| 1.     |       |          |         |                        |
| 2.     |       |          |         |                        |
| 3.     |       |          |         |                        |
| 4.     |       |          |         |                        |
| 5.     |       |          |         |                        |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Baum, Redfield T.        | 06/25/2020     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. ATT                                                                                                                     | E                                      | Dividend                               | N                                              | T                                  | Sold<br>(part)                            | 11/7/19          | J                        | A                       |                                                            |
| 2. Agilent                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 4/29/19          | J                        | A                       |                                                            |
| 3.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 4.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 5. American Cap Fund                                                                                                       | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6. American Mutual Fund                                                                                                    | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 7.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 8. Berkshire Hathaway                                                                                                      |                                        | None                                   | O                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 9. Cigna                                                                                                                   | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 10.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 11.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 12. Clorox                                                                                                                 | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. Comerica                                                                                                               | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14. Conoco Phillips                                                                                                        | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 15. Cognizant                                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 16. Comcast                                                                                                                | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 17. Citigroup                                                                                                              | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Baum, Redfield T.

Date of Report

06/25/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 19. CapitalWorld Fund                                                                                                      | B                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 3/13/18                 | J                               |                                |                                                                   |
| 20. Diebold Nixdorf                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 4/29/19                 | J                               | A                              |                                                                   |
| 21.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 22. Fundamental Investors                                                                                                  | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 3/13/18                 | J                               |                                |                                                                   |
| 23. EuroPacific Fund                                                                                                       | A                                      | Dividend                                      | K                                              | T                                         | Buy<br>(add'l)                                  | 3/13/18                 | J                               |                                |                                                                   |
| 24. Frontier Comm.                                                                                                         |                                        |                                               | J                                              | T                                         | Sold                                            | 04/29/19                | J                               | A                              |                                                                   |
| 25. ExxonMobil                                                                                                             | E                                      | Dividend                                      | O                                              | T                                         | Sold<br>(part)                                  | 11/16/19                | J                               | A                              |                                                                   |
| 26. General Electric                                                                                                       | D                                      | Dividend                                      | M                                              | T                                         | Sold<br>(part)                                  | 03/13/18                | M                               | E                              |                                                                   |
| 27. Gap                                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 28. Gartner                                                                                                                |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 29. GrowthFund of America                                                                                                  | A                                      | Dividend                                      | L                                              | T                                         | Buy<br>(add'l)                                  | 3/13/18                 | J                               |                                |                                                                   |
| 30. MicroFocusIntl PLC                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Sold                                            | 04/29/19                | J                               | A                              |                                                                   |
| 31.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 33. Stifel Nicolaus Insured Bank Program                                                                                   | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 34. Invest.Co.Amer.Fund                                                                                                    | B                                      | Dividend                                      | L                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Baum, Redfield T.

Date of Report

06/25/2020

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35. Johnson & Johnson                                                                                                      | C                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          | D                       |                                                            |
| 36. J.W.Smucker                                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 37. Keysight Tech.                                                                                                         |                                        |                                        | J                                              | T                                  | Sold                                      | 04/29/19         | J                        | A                       |                                                            |
| 38. Merck                                                                                                                  | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 39. NewPerspectFund                                                                                                        | A                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 40. Pfizier                                                                                                                | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 41. Phillips 66                                                                                                            | B                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 42. Royal Dutch                                                                                                            | D                                      | Dividend                               | M                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 43. Perspecta Inc                                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 04/29/19         | J                        | A                       |                                                            |
| 44. S.W.Gas                                                                                                                | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 45. SW Airlines                                                                                                            | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 46.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 47. SmallCapWorldFund                                                                                                      | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 3/13/18          | J                        |                         |                                                            |
| 48. Three M Co.                                                                                                            | A                                      | Dividend                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 49. Wash.MutualInvest Fund                                                                                                 | A                                      | Dividend                               | L                                              | T                                  | Buy<br>(add'l)                            | 3/13/18          | J                        |                         |                                                            |
| 50.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 51. International Growth & Income                                                                                          | B                                      | Dividend                               | L                                              | T                                  |                                           |                  |                          |                         |                                                            |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. Verizon                                                                                                                | D                                      | Dividend                               | M                                              | T                                  | Sold<br>(part)                            | 11/06/19         | J                        | A                       |                                                            |
| 53. VodafoneGroup                                                                                                          | B                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 54. Windstream                                                                                                             |                                        |                                        | J                                              | T                                  | Sold                                      | 04/29/19         | J                        | A                       |                                                            |
| 55. Virtus Global Dividend & Investment Fund                                                                               | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 1/13/18          | J                        | B                       |                                                            |
| 56. Unti Group                                                                                                             | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 04/29/19         | J                        | A                       |                                                            |
| 57. Kinder Morgan                                                                                                          | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 4/29/19          | J                        | A                       |                                                            |
| 58. JP Morgan Chase Bank Account                                                                                           | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 59. HP Inc                                                                                                                 | A                                      | Dividend                               | J                                              | T                                  |                                           |                  | J                        |                         |                                                            |
| 60. Hewlett Packard Enterprisespin off                                                                                     | A                                      | Dividend                               | J                                              | T                                  |                                           |                  | J                        |                         |                                                            |
| 61. Kansas Southern                                                                                                        | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 62. CSX                                                                                                                    | A                                      | Dividend                               | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 63. DXC Techlology Company (Spinoff from<br>Hewlett Packard Enterprises)                                                   | A                                      | Dividend                               | J                                              | T                                  | Sold                                      | 04/29/19         | J                        | A                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

**FINANCIAL DISCLOSURE REPORT**

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|                                 |                       |
|---------------------------------|-----------------------|
| <b>Name of Person Reporting</b> | <b>Date of Report</b> |
| Baum, Redfield T.               | 06/25/2020            |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Baum, Redfield T.

Date of Report

06/25/2020

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Redfield T. Baum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544