

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Klindt, James R.	2. Court or Organization U.S. District Court-Middle FL	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 300 N. Hogan Street Suite 5-111 Jacksonville, FL 32202-4249		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

Name of Person Reporting	Date of Report
Klindt, James R.	05/15/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Klindt, James R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Savings Account - Jacksonville Federal Credit Union	B	Interest	J	T					
2. Florida College Prepaid Plan - Tuition; Dormitory; Admin fee	A	Interest	J	T	Redeemed (part)	01/15/19	J	A	
3. American Mutual Funds American Balanced Fund - A	D	Dividend	M	T					
4. American Mutual Funds Income Fund of America/College America	A	Dividend	J	T					
5. American Mutual Funds New Perspective Fund/College America	A	Dividend	J	T					
6. American Mutual Funds American High Income Trust/College	A	Dividend	J	T					
7. American Mutual Funds Intermediate Bond Fund of America	A	Dividend	J	T					
8. American Mutual Funds Capital World Growth and Income	A	Dividend	J	T					
9. American General Life Insurance	A	Interest	K	T					
10. John Hancock IRA									
11. - Lifestyle Growth Portfolio	D	Dividend	L	T	Sold (part)	02/18/19	J	A	
12. - Lifestyle Growth MVP	B	Dividend	K	T	Sold (part)	02/18/19	J	A	
13. - T. Rowe Price Assoc. Capital Appreciation Value	E	Dividend	N	T	Sold (part)	02/18/19	J	A	
14. Voya Financial	A	Interest	K	T					
15. American Funds Tax-Advantaged Income Portfolio	A	Dividend	K	T	Sold (part)	07/17/19	J	A	
16. Morgan Stanley Brokerage Account									
17. - Cash - Morgan Stanley Bank N.A.	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Klindt, James R.

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. - Mutual Fund - Loomis Sayles Core Plus BD	A	Dividend	K	T					
19. - Mutual Fund - Lord Abbett Bond Deb C	A	Dividend	J	T					
20. - Mutual Fund - Loomis Sayles GLB EQ & INC	A	Dividend	K	T					
21. - JP Morgan Equity Income C (OINCX)	A	Dividend	K	T					
22. - Unit A AM European Select 18- 2Q (AEUR2Q18)	A	Dividend			Sold	07/03/19	K	A	
23. - Unit FT INC Div EQU ALLOC 18 (IDEA18)	A	Dividend	K	T					
24. -Unit FT S&P Div AR TGT 25 2Q18 (AR2Q18)	A	Dividend			Sold	06/10/19	K	B	
25. - Unit FT Dow Target 10 May 18 (TVMY18)	A	Dividend			Sold	06/03/19	L	C	
26. - Unit AAM Europeaen Select 19-2Q (AEUR2Q19)	A	Dividend	K	T	Buy	07/03/19	K		
27. - Unit FT S&P Div AR Tot 25 2Q19 (AR2Q19)	A	Dividend	K	T	Buy	06/10/19	K		
28. - Unit First Trust Value Line Target Safety (V53Q19)	A	Dividend	K	T	Buy	07/25/19	K		
29. - Unit First Trust American Agenda 8 (AAGN8)	B	Dividend	K	T	Buy	03/14/19	K		
30. - Unit FT Dow Target 10May (TVMY19)	C	Dividend	L	T	Buy	06/03/19	L		
31. Sammons Retirement									
32. - Alger Capital Appreciation Institutional	A	Dividend			Sold	07/08/19	J	A	
33. -American Century Inflation- Adjusted Bond	A	Dividend			Sold	07/08/19	J	A	
34. - BlackRock Equity Dividend	A	Dividend			Sold	07/08/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. - Columbia Contrarian Core R	A	Dividend			Sold	07/08/19	J	A	
36. - Columbia Dividend Opportunity R	A	Dividend			Sold	07/08/19	J	A	
37. - Columbia Income Builder R	A	Dividend			Sold	07/08/19	J	A	
38. - Eaton Vance Atlanta Capital SMID - Cap	A	Dividend			Sold	07/08/19	J	A	
39. - Eaton Vance Balanced R	A	Dividend			Sold	07/08/19	J	A	
40. - Fidelity Advisor Leveraged Cost K M	A	Dividend			Sold	07/08/19	J	A	
41. - Fidelity Advisor Strategic Income M	C	Dividend			Sold	07/08/19	J	A	
42. - Franklin Income R	A	Dividend			Sold	07/08/19	J	A	
43. - Franklin Mutual Shares R	A	Dividend			Sold	07/08/19	J	A	
44. - Franklin Rising Dividends R	A	Dividend			Sold	07/08/19	J	A	
45. - Ivy Balanced R	A	Dividend			Sold	07/08/19	J	A	
46. - Ivy International Core Equity R	A	Dividend			Sold	07/08/19	J	A	
47. - Lord Abett Short Duration Income R3	A	Dividend	J	T					
48. - Oppenheimer Core Bond R	A	Dividend			Sold	07/08/19	J	A	
49. - Oppenheimer Senior Floating Rate R	A	Dividend			Sold	07/08/19	J	A	
50. - Royce Opportunity	A	Dividend			Sold	07/08/19	J	A	
51. - T. Rowe Price Blue Chipgrowth R	A	Dividend			Sold	07/08/19	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. - T. Rowe Price Intl Value Equity R	A	Dividend			Sold	07/08/19	J	A	
53. - Templeton Global Bond R	A	Dividend			Sold	07/08/19	J	A	
54. - Templeton Global Total Return R	A	Dividend			Sold	07/08/19	J	A	
55. - Western Asset Core Plus Bond R	A	Dividend			Sold	07/08/19	J	A	
56. - Franklin Dyna Tech R	A	Dividend			Sold	07/08/19	J	A	
57. - J Hancock Disciplined Value RI	A	Dividend			Sold	07/08/19	J	A	
58. - Janus Henderson Balanced R	B	Dividend			Sold	07/08/19	J	A	
59. - Janus Henderson Triton R	A	Dividend			Sold	07/08/19	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Klindt**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544