

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Zipps, Jennifer G.	2. Court or Organization United States District Court, District of Arizona	3. Date of Report 04/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 405 W. Congress, Ste 5170 Tucson, AZ 85701		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. April, 2019	National Conference of Bar Examiners is a Multi-State Bar Examination Meeting	\$3,500.00
2. Oct, 2019	National Conference of Bar Examiners is a Multi-State Bar Examination Meeting	\$3,500.00
3. 2019	Arizona State Retirement System Annuity	\$14,158.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Conference of Bar Examiners	04/11/2019 - 04/14/2019	Nashville, TN	Constitutional Law Drafting Committee Meeting	Travel, lodging, food in conjunction with meeting
2.	National Conference of Bar Examiners	10/24/2019 - 10/27/2019	Grand Canyon, AZ	Constitutional Law Drafting Committee Meeting	Travel, lodging, food in conjunction with meeting
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America	A	Interest	K	T					
2. Princess Jennifer LLC (LLC owns shopping ctr in NM)	D	Dividend	K	W					
3. Metropolitan Life money market	C	Interest			Closed	06/25/19	O	A	
4. Bank of America (Accounts)	A	Interest	K	T					
5. Fidelity Growth Co. Mutual Fund	D	Dividend	M	T					
6. Fidelity U.S. Government Money Market (SPAXX) (checking account)	A	Dividend	L	T					
7. Fidelity Arizona Municipal Income Fund	C	Dividend	M	T					
8. Fidelity Spartan 500 Index Fund	C	Dividend	M	T					
9. Fidelity Select Industrials Portfolio	A	Dividend	J	T					
10. Fidelity Select Consumer Discretionary Portfolio	A	Dividend	K	T					
11. Fidelity Select Technology	A	Dividend	K	T					
12. Fidelity Select Communications Services Portfolio	A	Dividend	K	T					
13. Fidelity Select Healthcare	A	Dividend	K	T					
14. Fidelity Select Financial Services	B	Dividend	K	T					
15. Fidelity Rollover IRA	A	Dividend	O	T					
16. Fidelity Contrafund	D	Dividend	M	T	Sold (part)	04/29/19	K	F	
17. Fidelity Large Cap Value Enhanced Index		None			Sold	04/02/19	M	E	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. Fidelity Small Cap Index Fund	A	Dividend			Sold	09/10/19	M	A	
19. Fidelity Event Driven Opportunities		None			Sold	03/18/19	K	D	
20. Fidelity Growth Strategies Fund	D	Dividend	M	T					
21. Fidelity Blue Chip Growth Fund	D	Dividend	N	T	Buy (add'l)	04/29/19	M		
22. Fidelity U.S. Government Money Market (SPAXX)	A	Dividend	J	T					
23. Fidelity Select Medical Technology		None	K	T	Buy	03/18/19	K		
24. Fidelity Mid Cap Index Fund	C	Dividend	M	T	Buy	09/10/19	M		
25. Fidelity Large Cap Value Index Fund	D	Dividend	M	T	Buy	04/02/19	M		
26. Merrill Lynch Bank Deposit Account	A	Interest	J	T					
27. Calamos Market Neutral Income Mutual Fund	A	Dividend	J	T	Buy	07/22/19	J		
28. Clearbridge Small Cap Mutual Fund	A	Dividend	J	T	Buy	07/22/19	J		
29. Hartford Growth Opportunties Mutual Fund	B	Dividend	K	T	Buy	07/22/19	K		
30. Ishares 20+ Year Treasury Bond ETF	A	Dividend	K	T	Buy	07/22/19	K		
31. John Hancock Intl Growth Mutual Fund	A	Dividend	K	T	Buy	07/22/19	K		
32. Mainstay MacKay High Yield Muni Bond Mutual Fund	B	Dividend	L	T	Buy	07/22/19	L		
33. Mainstay MacKay Tax Free Bond Mutual Fund	C	Dividend	M	T	Buy	07/22/19	M		
34. Natixis Gateway Fund	A	Dividend	K	T	Buy	07/22/19	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. T Rowe Price Divdend Growth Fund	A	Dividend	L	T	Buy	07/22/19	L		
36. T Rowe Price Emerging Markets Fund	A	Dividend	J	T	Buy	07/22/19	J		
37. Tortoise MLP & Energy Income Fund	A	Dividend	J	T	Buy	07/22/19	J		
38. Vangaurd Total Stock Market ETF	A	Dividend	L	T	Buy	07/22/19	L		
39. Virtus Vontobel Emerging Market Fund	A	Dividend	J	T	Buy	07/22/19	J		
40. Wldsomtree International Equity ETF	A	Dividend	K	T	Buy	07/22/19	K		
41. CD Berkshire Bank Boston 2/10/20		None	L	T	Buy	08/05/19	K		
42. CD Berkshire Bank Boston 8/7/2020		None	L	T	Buy	08/05/19	K		
43. CD Bank of America 5/14/2020		None	L	T	Buy	08/05/19	K		
44. CD Synovus Bank 11/15/2019	A	Interest			Buy	08/05/19	K		
45.					Redeemed	11/15/19	K		
46. CD Bank of China 3/30/2020		None	L	T	Buy	12/19/19	K		
47. Bank of America Preferred Deposit Account	B	Interest	L	T					
48. Merrill Lynch Bank Deposit Account	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The value for the LLC listed on line 2 is the cost of the interest in the LLC when obtained just prior to the purchase of the shopping center in August 2006.

The Metropolitan Life money market account on line 3 was a settlement option for a life insurance policy. It had no assets selected by or reported to the policyholder.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer G. Zipps**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544