

FINANCIAL DISCLOSURE REPORT
FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hoffman, Carl W.	2. Court or Organization District of Nevada	3. Date of Report 07/06/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final	6. Reporting Period 01/01/2019 to 08/04/2019
	5b. ☒ Amended Report	
7. Chambers or Office Address Lloyd George Federal Courthouse 333 Las Vegas Boulevard Rm 3014 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust
2. Member	LLC (no reportable assets)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Nevada Public Employee Retirement System	\$30,152.92
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Clark County School District - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Specialized Loan Services	Mortgage, Property #1, Las Vegas, Nevada (VIIA, line 3)	M
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America Cash Account	A	Interest	K	T					
2. Silver State Credit Union Cash Account	A	Interest	J	T					
3. Property #1, Las Vegas, Nevada	D	Rent	N	W					
4. Property #2, Brunswick County, NC		None	L	W					
5. Property #3, Lafollette, TN		None	K	W					
6. Pimco 2040	A	Int./Div.	J	T					
7. (H) VOYA (Mut Fund),(457 Plan)(5 funds) (H)									
8. -Templeton Global Bond Fund	B	Int./Div.	K	T					
9. -Pimco VIT Real Return Portfolio	B	Int./Div.	K	T					
10. -Voya Global Advantage	B	Int./Div.	J	T					
11. -Voya Large Cap Value	C	Int./Div.	K	T					
12. -Voya True Price Cap Appreciation	C	Int./Div.	K	T					
13. (H) TIAA CREF (Mutual Fund)(457 Plan(5 funds)(H)									
14. -CREF Stock	A	Int./Div.	J	T					
15. -CREF Growth	A	Int./Div.	K	T					
16. -CREF Bond Market	A	Int./Div.	J	T					
17. -CREF Global Equities	A	Int./Div.	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -CREF Equity Index	A	Int./Div.	J	T					
19. Fidelity Investments Freedom 2020 (Mutual Fund)(457 plan)	A	Int./Div.	M	T					
20. USAA Exempt Long Term (Mutual Fund)	B	Int./Div.	L	T	Buy (add'l)	02/14/19	J		
21. First Eagle Gold Fund Class C (Mutual Fund)	A	Int./Div.	J	T					
22. T Rowe Price Blue Chip Growth (Mutual Fund)	C	Int./Div.	M	T					
23. T Rowe Price International Discovery (Mutual Fund)	B	Int./Div.	K	T	Sold (part)	05/20/19	K	C	
24. T Rowe Price Capital Appreciation (Mutual Fund)	D	Int./Div.	M	T					
25. T Rowe Price Retirement 2030 (Mutual Fund)	D	Int./Div.	M	T					
26. T Rowe Price Retirement 2020 (Mutual Fund)	B	Int./Div.	K	T	Sold (part)	07/23/19	J	B	
27. (H) VALIC Funds (6 Mutual Funds below) (H)									
28. -Short Term Fixed Fund	A	Int./Div.	J	T					
29. -Capital Appreciation Fund	A	Int./Div.	J	T					
30. -Large Cap Value Fund	A	Int./Div.	J	T					
31. -Mid Cap Index Fund	A	Int./Div.	J	T					
32. -Science and Technology Fund	A	Int./Div.	J	T					
33. -Asset Allocation Fund	A	Int./Div.	J	T					
34. (H) Lucia Capital Group IRA (6 funds below)(H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. -RBC Insured Deposit	A	Int./Div.	J	T					
36. -Health Care Select Sector SPDR Fund	A	Int./Div.	J	T					
37. -SHS Ben Int Energy	A	Int./Div.	J	T					
38. -Financial Select Sector SPDR Fund	A	Int./Div.	J	T					
39. -Technology Select Sector SPDR Fund	A	Int./Div.	J	T					
40. -Real Estate Select Sector SPDR Fund	A	Int./Div.	J	T					
41. TIAA-CREF TRoePrice Ret 2040 (Mutual Fund)(457 Plan)	A	Int./Div.	L	T					
42. Silver State Roth IRA Cash Equivalent	A	Int./Div.	J	T					
43. (H) Vanguard Mutual Funds (6 Funds Below) (H)									
44. -Prime Money Market Mutual Fund	A	Int./Div.	J	T					
45. -Wellington Mutual Fund	A	Int./Div.	L	T					
46. -Equity Income Mutual Fund	B	Int./Div.	M	T					
47. -Small Cap Mutual Fund	A	Int./Div.	L	T					
48. -Target Retirement 2035 Mutual Fund	A	Int./Div.	O	T					
49. -Emerging Markets Stock Index Mutual Fund	A	Int./Div.	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Positions, [REDACTED] Trust, all assets appear in Part VII, Investments and Trusts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Carl W. Hoffman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544