

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Jeffrey S.	2. Court or Organization U.S. District, Northern Calif	3. Date of Report 05/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court, Northern District of Calif. 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Lecturer	University of California, Berkeley School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2019	Orrick, Herrington and Sutcliffe Defined Benefit Plan - Retired Partner
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan - Dec	Orrick Herrington Defined Benefit Plan	\$23,400.00
2. Jan- Dec	UC Berkeley - School of Law	\$6,470.88
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. ISHARES MSCI Eafe Index Fund	A	Dividend			Sold	01/18/19	K		
2. ISHARES US Consumer Noncyclic	A	Dividend			Sold (part)	01/23/19	K	C	
3.					Sold (part)	04/03/19	L	D	
4.					Sold	10/21/19	K	C	
5. ISHARES DJ US Energy Sector	A	Dividend			Sold	01/08/19	L		
6. Consumer Discretionary Sector SPDR	A	Dividend			Sold (part)	01/23/19	K	C	
7.					Sold (part)	03/21/19	K	C	
8.					Sold (part)	04/03/19	K	D	
9.					Sold	10/21/19	J	C	
10. Health Care Select Sector SPDR	A	Dividend			Sold (part)	01/23/19	K	C	
11.					Sold (part)	03/21/19	K	C	
12.					Sold (part)	04/03/19	L	D	
13.					Sold	10/21/19	J	B	
14. Industrial Select Sector SPDR	A	Dividend			Sold (part)	01/24/19	K		
15.					Sold	03/21/19	K	A	
16. Provident Credit Union	A	Interest	J	T					
17. Orrick Investments 2000 LLC	A	Interest	J	U					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Pollock Montgomery Washington Tower Investors II Partnership	A	Distribution	J	U					
19. Pollock 2007-2008 Independent Investors, LLC	A	Distribution	J	U					
20. Morgan Stanley Cash Account	A	Interest	J	T					
21. Oppenheimer Developing Mkts Y (ODVYX)	A	Dividend			Sold	10/21/19	K	A	
22. TTLC RIA 2 LLC	A	Interest	L	U					
23. TTLC Talavera, LLC	A	Int./Div.	J	U					
24. TTLC Lee Farms, LLC	A	Int./Div.	J	U					
25. TTLC Livermore Center, LLC	A	Int./Div.	M	U	Buy (add'l)	05/18/19	K		
26. TTLC Mirza, LLC	A	Int./Div.	L	U					
27. Morgan Stanley Bank Account	A	Interest	L	T					
28. Legg Mason Scholars Choice 529 Age Based Option: Age 10-12	E	Int./Div.	M	T					
29. Legg Mason Scholars Choice 529 Age Based Option: Age 4-6	D	Int./Div.			Sold	12/02/19	M	E	
30. Legg Mason Scholars Choice 529 Age Based Option: 7-10	A	Int./Div.	M	T	Buy	12/02/19	M		
31. Legg Mason Scholars Choice 529 Age Based Option: Age 0-3	C	Int./Div.			Sold	04/01/19	M	D	
32. Legg Mason Scholars Choice 529 Age Based Option: Age 4-6	B	Int./Div.	M	T	Buy	04/01/19	M		
33. Condo Rental - Cabo San Lucas, Baja Sur, Mexico 7/18 (\$ 385,000)	A	Rent	N	R					
34. TTLC Tarob 4, LLC	A	Int./Div.	L	U					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. TTLC High Point, LLC	A	Int./Div.	K	U					
36. TTLC Sunnyvale FC LLC	A	Int./Div.	L	U					
37. TTLC Cardoso, LLC	C	Distribution			Distributed	07/01/19	K		
38. TTLC Calistoga, LLC	A	Int./Div.	L	U					
39. The True Life Companies, LLC	B	Int./Div.	O	U	Buy (add'l)	01/24/19	M		
40. True Life Elite Fund 1 LP	A	Int./Div.	N	U	Buy	01/24/19	M		
41.					Buy (add'l)	02/28/19	L		
42.					Buy (add'l)	03/01/19	K		
43. GOFXX	A	Interest			Buy	05/30/19	L		
44.					Sold (part)	06/20/19	J		
45.					Sold	10/28/19	L		
46. Morgan Stanley IRA # 1 (H)									
47. - Bank Deposit Program	A	Interest	M	T					
48. -ISHARES FTSE/Xinua China 25	A	Dividend	K	T					
49. -ISHARES - MSCI EAFE Index Fund	C	Dividend	L	T					
50. -ISHARES - MSCI Emerging Markets Index	A	Dividend	K	T					
51. -ISHARES - S&P Europe 350 Index	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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52. -California St CA GO- Taxable 6.2%	B	Interest			Matured	03/15/19	L		
53. -Energy Select Sector SPDR	A	Dividend	K	T					
54. -Bank of America Corp 5.49% Bond	A	Interest			Redeemed	03/15/19	K		
55. -First TR Exchange Traded FD Dow Jones	A	Dividend	L	T					
56. -Ishares TR Russell Mid-Cap ETF	A	Dividend	K	T					
57. -Ishares TR S&P Small-Cap 600 Growth	A	Dividend	K	T					
58. -Blackrock Global Allocation I (MALOX)	B	Dividend	M	T					
59. -Clearbridge Energy MLP FD Inc (CEM)	B	Dividend	J	T					
60. -Clearbridge Energy MLP OPP FD (EMO)	A	Dividend	J	T					
61. -Cons Discret Sel Sect Spdr Fd (XLY)	A	Dividend	K	T					
62. -Ishares Russell 2000 ETF (IWM)	A	Dividend	K	T					
63. -Principal Preferred Sec Inst (PPSIX)	C	Dividend	M	T					
64. -SPDR Trust Series 1 (SPY)	D	Dividend	N	T					
65. -Telsa Motors Inc (TSLA)		None	L	T					
66. - Ishares Core High Dividend ETF (HDV)	C	Dividend	L	T					
67. -Vanguard Dividend Appreciation (VIG)	C	Dividend	M	T					
68. -Doubleline Core Fixed Inc (DBLFX)	D	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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69. -Van Eck Emerging Markets Y (EMRYX)	C	Dividend	M	T					
70. -Alerian MLP ETF (AMLPE)	B	Dividend	J	T					
71. -Blockrock Strategic Inc (BSIIX)	C	Dividend	M	T					
72. -Templeton Global BD FD (TBGAX)	C	Dividend	K	T					
73. -Principal Midcap Instl (PCBIX)	A	Dividend	M	T					
74. -Clearbridge All Cap Value I (SFVYX)	A	Dividend	K	T					
75. -Utilities Sel Sec SPDR FUND (XLU)	B	Dividend	L	T					
76. -Putname Short Duration Income Y (PSDYX)	C	Dividend	M	T					
77.									
78. Morgan Stanley IRA # 2 (H)									
79. -Invesco Bric ETF (EEB)	A	Dividend	J	T					
80. -Invesco QQQ Trust, Series 1 (QQQ)	A	Dividend	K	T					
81. -iShares S&P 500/Barr Growth	A	Dividend	K	T	Sold (part)	01/29/19	J	B	
82. -iShares S&P Global 100	A	Dividend	J	T					
83. - Bank Deposit Program	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jeffrey S. White**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544