

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Middle District of Florida	3. Date of Report 05/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address Sam M. Gibbons United States Courthouse 801 N. Florida Avenue Chamber 8B (Ste. 854) Tampa, FL 33602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|---|
| 1. Advisory Board Member | Salesian Youth Center (charitable organization) |
| 2. Director at Large Board Member (advisory) | Hillsborough Association for Women Lawyers |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|--------------|---|
| 1. June 2015 | Western Michigan University Cooley Law School and I have orally agreed that I will teach one semester or more per year, subject to Eleventh Circuit approval. |
| 2. | |
| 3. | |

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Name of Person Reporting

McEwen, Catherine P.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2019	Western Michigan University Cooley Law School	\$4,125.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The Florida Bar Business Law Section	1/16-17/19	Orlando, FL	Mid-year meeting	Hotel, mileage, meals
2.	National Conference of Bankruptcy Judges	1/26/19	Washington, D.C.	Legislative relations	Air fare, ground transportation, parking, meal, wifi
3.	University of Miami	6/6-7/19	Miami, FL	Seminar speaker	Air fare, hotel, parking, meals
4.	The Florida Bar Business Law Section and Federal Court Practice Committee	6/26-28/19	Boca Raton, FL	Annual meeting	Air fare, hotel, ground transportation, meals
5.	American Bankruptcy Institute	7/18-21/19	Amelia Island, FL	ABI Southeast Bankruptcy Workshop	Hotel, baggage fee, ground transportation, meals

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6.	Jacksonville Bankruptcy Bar Association	8/22-24/19	Ponte Vedra Beach, FL	JBBA Annual Seminar	Hotel, mileage, meals
7.	National Conference of Bankruptcy Judges	10/30 - 11/2/19	Washington, D.C.	Annual Conference	Air fare, hotel, baggage fee, ground transportation, parking

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Name of Person Reporting	Date of Report
McEwen, Catherine P.	05/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Turnaround Management Association	Registration waivers, dinners for self and guest at the TMA Southeast Regional Conf.	\$1,200.00
2. Raymond T. and Kathleen Hyer, Gardner-Gibson, Sun Coatings	Sky box tickets to Tampa Bay Buccaneers game and food	\$700.00
3. Tampa Bay Rays Baseball	One-half suite and food (estimated)	\$1,000.00
4. Tampa Bay Bar Association	Dues waiver, luncheons, holiday party, guest lunches, happy hours (estimated)	\$580.00
5. American Bankruptcy Institute	Registration waiver, including meals, at ABI Southeast Bankruptcy Workshop	\$420.00
6. The Florida Bar	Registration waiver, dinner (View from Bench), annual meeting lunch (estimated)	\$450.00

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. WELLS FARGO DDA/CD/Savings/MMA Accounts	A	Interest	M	T					
2. State Farm Bank Account	A	Interest	J	T					
3. SCHWAB IRA- Now MML INVESTORS SERVICES IRA (H) (4-40 BELOW)									
4. FDRXX	A	Interest	J	T	Buy	01/31/19	J		
5. GSPKX	A	Int./Div.	J	T	Buy	01/31/19	K		
6.					Sold (part)	05/23/19	K	A	
7. GLIFX	A	Int./Div.	K	T	Buy	01/31/19	K		
8.					Sold (part)	05/23/19	J	A	
9. WHGIX	A	Int./Div.	J	T	Buy	05/23/19	J		
10. MOAT	A	Int./Div.	K	T	Buy (add'l)	01/31/19	J		
11.					Buy (add'l)	05/23/19	J		
12. HEDJ	A	Int./Div.	J	T	Buy	01/31/19	J		
13.					Sold (part)	05/23/19	J	A	
14. EMB	A	Int./Div.	J	T	Buy (add'l)	01/31/19	J		
15.					Sold (part)	05/23/19	J	A	
16. FPE	A	Int./Div.	J	T	Buy	05/23/19	J		
17. REZ	A	Int./Div.	J	T	Buy	05/23/19	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PDVYX	A	Int./Div.			Buy	01/31/19	J		
19.					Sold	05/23/19	J	A	
20. IQFD	A	Int./Div.			Sold	01/30/19	J	A	
21. MLPX	A	Int./Div.			Sold	01/30/19	J	A	
22. EFV	A	Int./Div.			Sold	01/30/19	J	A	
23. EWU	A	Int./Div.			Sold	01/30/19	J	A	
24. QUAL	A	Int./Div.			Sold	01/30/19	J	A	
25. FNDE	A	Int./Div.			Sold	01/30/19	J	A	
26. SCHP	A	Int./Div.			Sold	01/30/19	J	A	
27. SPIB	A	Int./Div.			Sold	01/30/19	J	A	
28. EMLC	A	Int./Div.			Sold	01/30/19	J	A	
29. VDC	A	Int./Div.			Sold	01/30/19	J	A	
30. VEA	A	Int./Div.			Sold	01/30/19	J	A	
31. VWO	A	Int./Div.			Sold	01/30/19	J	A	
32. VGIT	A	Int./Div.			Sold	01/30/19	J	A	
33. VO	A	Int./Div.			Sold	01/30/19	J	A	
34. VMBS	A	Int./Div.			Sold	01/30/19	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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35. BSV	A	Int./Div.			Sold	01/30/19	J	A	
36. VBR	A	Interest			Sold	01/30/19	J	A	
37. BND	A	Int./Div.			Sold	01/30/19	K	A	
38. VTI	A	Int./Div.			Sold	01/30/19	J	A	
39. VTV	A	Int./Div.			Sold	01/30/19	J	A	
40. DBEF	A	Interest			Sold	01/30/19	J	A	
41. MASSMUTUAL ANNUITY IRA (H) (42-46 Below)									
42. MML EQUITY	A	Int./Div.			Sold	01/01/19	L	D	
43. MML GLOBAL	A	Int./Div.			Sold	01/01/19	K	C	
44. MML GROWTH & INCOME	A	Int./Div.			Sold	01/01/19	L	D	
45. MML MANAGED BOND	A	Int./Div.			Sold	01/01/19	M	E	
46. MML MID CAP GROWTH	A	Int./Div.			Sold	01/01/19	K	C	
47. MASSMUTUAL INDEX ANNUITY IRA (H)									
48. S7P 500 LOW VOLATILITY INDEX	A	Int./Div.	N	T	Buy	01/02/19	N		
49. BANK OF AMERICA CASH ACCOUNT (PARENTS)	A	Interest	K	T					
50. AMERICAN FUNDS ROTH IRA (H) (LINE 51 BELOW)									
51. AF GROWTH PORTFOLIO CL A	A	Int./Div.	J	T					

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(See Columns B1 and D4)

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3 Value Method Codes

(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: The name of State Farm Bank will be changed to U.S. Bank in 2020. This account is really not reportable because it has always had less than \$300 in it.

Part VII, line 3: Former Schwab IRA is now titled MML Investors Services IRA.

Part VII, Line 49: I am a signer on my parents' regular and money market checking accounts. Although I hold legal title, I am not a beneficial owner.

Also, as a reminder for the 2020 report, I opened savings accounts in April 2020 at Navy Federal Credit Union and Suncoast Federal Credit Union with less than \$1,000 each.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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