

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MAHAN, James C.	2. Court or Organization U.S. District Court, Nevada	3. Date of Report 05/1/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 333 Las Vegas Boulevard South Suite 6085 Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Name of Person Reporting	Date of Report
MAHAN, James C.	05/1/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

MAHAN, James C.

Date of Report

05/1/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1									
2. -- Aberdeen Funds	A	Dividend	J	T	Sold (part)	02/13/19	J		
3. -- American Century Mutual Funds Growth Fund	A	Dividend	J	T					
4. -- American Century Invt TR Diversified BD FD CL 1 ACBPX	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
5. -- American Century Invt TR Diversified BD FD CL 1 ACBPX	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
6. -- American Century Invt TR Diversified BD FD CL 1	A	Dividend	J	T	Sold (part)	03/21/19	J		
7. -- American Europacific Growth Fund Fu CL F2	A	Dividend	J	T	Sold (part)	11/13/19	J		
8. -- American Europacific Diversified BD FD Instl CL	A	Dividend	J	T					
9. -- AQR FDS	A	Dividend	J	T					
10. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1 (X)	A		J	T	Buy	03/21/19	J		
11. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A		J	T	Buy (add'l)	03/22/19	J		
12. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A		J	T	Buy (add'l)	08/13/19	J		
13. --Blackrock Funds Global Long Short CR FD Instl	A	Dividend	J	T					
14. -- Brookfield INVT Funds	A	Dividend	J	T					
15. -- Deutsche Securities Tr	A	Dividend	J	T					
16. -- Eaton Vance Mutual FDS	A	Dividend	J	T					
17. -- Gabelli ABC Fund (X)	A	Dividend	J	T	Buy	02/13/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -- Goldman Sachs Tr Final Square Treas Instrs FD Instl CL	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
19. -- Goldman Sachs Tr Final Square Treas Instrs FD Instl	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
20. -- Goldman Sachs TR Final Square Tras Instrs FD Instl	A	Dividend	J	T	Sold (part)	07/12/19	J		
21. -- Goldman Sachs TR Final Square Treas Instrs FD Instl	A	Dividend	J	T	Buy (add'l)	08/13/19	J		
22. -- Goldman Sachs TR Final Square Treas Instrs FD Instl	A	Dividend	J	T	Sold (part)	10/11/19	J		
23. -- Fidelity 500 Index Fund	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
24. -- Fidelity 500 Index Fund	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
25. -- Fidelity 500 Index Fund	A	Dividend	J	T	Sold (part)	11/13/19	J		
26. -- Harbor FD	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
27. -- Harbor FD	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
28. -- Harbor FD	A	Dividend	J	T	Sold (part)	11/13/19	J		
29. -- Invesco Comstock Fund Class Y	A	Dividend	J	T	Sold (part)	02/13/19	J		
30. -- Invesco Comstock Fund Class Y	A	Dividend	J	T	Sold (part)	11/13/19	J		
31. -- John Hancock Funds II	A	Dividend	J	T	Buy (add'l)	08/13/19	J		
32. -- JP Morgan TR II Core BD FD Select CL	A	Dividend	J	T	Sold (part)	02/13/19	J		
33. -- JP Morgan TR II Core BD FD Select CL	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
34. -- JP Morgan Small Cap Equity Fund Class Select	A	Dividend	J	T	Sold (part)	11/13/19	J		

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35. -- JP Morgan Small Cap Equity Fund Class Select	A	Dividend	J	T	Sold (part)	02/13/19	J		
36. -- Meridian Fund Inc. Small Cap Growth FD Investor CL	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
37. -- Meridian Fund Inc. Small Cap Growth FD Investor CL	A	Dividend	J	T	Buy (add'l)	08/13/19	J		
38. -- Meridian Fund Inc. Small Cap Growth FD Incestor CL	A	Dividend	J	T	Sold (part)	11/13/19	J		
39. -- Metropolitan West FDS - Total Return BD FD CL 1	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
40. -- Metropolitan West FDS - Total Return BD FD CL 1	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
41. -- Metropolitan West FDS - Total Return BD FD CL 1	A	Dividend	J	T	Sold (part)	11/13/19	J		
42. -- MFS Ser TR I Value FD CL	A	Dividend	J	T	Buy (add'l)	08/13/19	J		
43. -- MFS Ser TR X Emerging Mkts Debt FD Class 1 (X)			J	T	Buy	08/12/19	J		
44. -- Newberger Berman Alternative FDS - Long Short Fund INSTL CL	A	Dividend	J	T					
45. -- Nuveen Invt FDS Inc. Real Estate Secs Fund CL I	A	Dividend	J	T					
46. -- Pimco FDS PAC INVT MGMT SER TOTAL RETURN FD INST CL PTTRX	A	Dividend	J	T					
47. -- Principal FDS Inc	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
48. -- Principal FDS Inc	A	Dividend		T	Buy (add'l)	08/12/19	J		
49. -- Principal FDS Inc	A	Dividend	J	T	Sold (part)	11/13/19	J		
50. -- Principal Global Real Estate Sec Fund Instl Class SHS POSIX (X)	A	Dividend	J	T	Buy	03/21/19	J		
51. -- T Rowe Price Blue Chip Growth Fund	A	Dividend	J	T	Sold (part)	02/13/19	J		

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52. -- Wells Fargo Emerging Markets Equity Fund Class Inst (X)	A	Dividend	J	T	Buy	08/14/19	J		
53. -- Wells Fargo Emerging Markets Equity Fund Class Inst	A	Dividend	J	T	Sold (part)	11/13/19	J		
54. -- Wells Fargo Funds TR Special Mid Cap Value FD CL 1 (X)	A	Dividend	J	T	Buy	08/12/19	J		
55. JOINT TENANCY ACCOUNT									
56. -- Aberdeen US Small Cap EQ Fund Instl Class	D	Dividend	J	T	Sold (part)	02/13/19	J		
57. -- American Century Invt TR Diversified BD FD CL 1 ACBPX	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
58. -- American Century Invt TR Diversified BD FD CL 1	E	Dividend	J	T	Sold (part)	03/21/19	K		
59. -- American Europacific Growth Fund FU CL F2	A	Dividend	J	T					
60. -- AQR FDS Managed Futures Strategy FD CL 1	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
61. -- Baird FDS Inc Aggregate BD FD instl SHS Class 1 (X)	A	Dividend	K	T	Buy	03/21/19	K		
62. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A	Dividend	J	T	Buy (add'l)	03/22/19	J		
63. -- Baird FDS Inc Aggregate BD FD Instl SHS Class 1	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
64. -- Blackrock Funds Global Long/Short CR FD Instl	A	Dividend	J	T					
65. -- Brookfield Invt Funds global Listed Real Estate FD CL Y	A	Dividend	J	T					
66. -- Deutsche Secs TR Enhanced Commodity	A	Dividend	J	T					
67. -- DWS Advanced Commodity Strategy Fund Inst SKIRX	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
68. -- Eaton Vance Mutual FDS Trust Global Macro	A	Dividend	J	T					

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69. -- Fidelity 500 Index Fund (X)	A	Dividend	J	T	Buy	02/13/19	J		
70. -- Fidelity 500 Index Fund	A	Dividend	J	T	Buy (add'l)	02/14/19	J		
71. -- Fidelity 500 Index Fund	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
72. -- Gabelli ABC Fund (X)	A	Dividend	J	T	Buy	02/13/19	J		
73. -- Goldman Sachs TR Final Square	A	Dividend	J	T	Sold (part)	02/13/19	J		
74. -- Harbor Fund Small Cap Value FD Instl CL (X)	A	Dividend	J	T	Buy	02/13/19	J		
75. -- Harbor Fund Small Cap Value FD Instl CL	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
76. -- Invesco Comstock Fund	D	Dividend	K	T	Sold (part)	02/13/19	J		
77. -- John Hancock FDS II Global Absolute Return	C	Dividend	J	T	Sold (part)	02/13/19	J		
78. -- John Hancock Funds II Absolute Return Currency FD CL 1	A	Dividend	J	T	Buy (add'l)	02/13/19	J		
79. -- JP Morgan TR 1 Small Cap Equity Fund	D	Dividend	J	T	Sold (part)	02/13/19	J		
80. -- JP Morgan TR II Core BD FD Select CL	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
81. -- Meridian FD Inc Small Cap Growth FD Investor CL (X)	A	Dividend	J	T	Buy	02/13/19	J		
82. -- Meridian FD Inc Small Cap Growth FD Investor CL	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
83. -- Metropolitan West FDS Total Return BD FC CL 1	A	Dividend	K	T	Buy (add'l)	02/13/19	J		
84. -- Metropolitan West FDS Total Return BD FD CL 1	A	Dividend	K	T	Buy (add'l)	08/12/19	J		
85. -- MFS Ser TR X Emerging Mkts Debt FD Class 1 (X)	A	Dividend	J	T	Buy	08/12/19	J		

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86. -- MFS Ser TR X Emerging Mkts Debt FD Class 1	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
87. -- MFS Ser TR X Emerging Mkts Debt FD Class 1	A	Dividend	J	T	Buy (add'l)	12/06/19	J		
88. -- Neuberger Berman Alternative FDS -- Long Short Fund Instl CL	A	Dividend	J	T					
89. -- Nuveen Invt FDS Inc Real Estate Secs Fund CL I	A	Dividend	J	T					
90. -- Pimco FDS Pac Invt Mgmt Ser Diversified Income FD Instl CL	A	Dividend	J	T					
91. -- Pimco FDS Pac Invt MGMT Ser Emerging Mkts BD FD Instl CL	A	Dividend	J	T					
92. -- Principal FDS Inc High Yield FD Instl CL	A	Dividend	J	T	Buy (add'l)	03/21/19	J		
93. -- Principal FDS Inc High Yield FD Instl CL	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
94. -- Principal FDS Inc Midcap Fund Instl Class	A	Dividend	J	T	Buy (add'l)	08/12/19	J		
95. -- Principal Global Real Estate Securities Fund Inst Cl SHS POSIX (X)	A	Dividend	J	T					
96. -- T Rowe Price Blue Chip Growth Fund	D	Dividend	J	T	Sold (part)	02/13/19	J		
97. -- Wells Fargo Emerging Markets Equity Fund Class Inst	A	Dividend	J	T					
98. -- Wells Fargo Funds TR Special Mid Cap Value FD Cl 1 (X)	A	Dividend	J	T	Buy	08/12/19	J		
99. J & E Mahan Agency (Alpine)	C	Int./Div.	N	U					
100. Mahan Heirs Partnership	G	Int./Div.			Sold	09/30/19	M		
101. U.S. Bank	A	Int./Div.	M	T					

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Name of Person Reporting

MAHAN, James C.

Date of Report

05/1/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None

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Name of Person Reporting

MAHAN, James C.

Date of Report

05/1/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James C. MAHAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544